

Families of ternary (t, m, s) -nets related to BCH-codes

Yves Edel

Mathematisches Institut der Universität
Im Neuenheimer Feld 288
69120 Heidelberg (Germany)

Jürgen Bierbrauer

Department of Mathematical Sciences
Michigan Technological University
Houghton, Michigan 49931 (USA)

Abstract

A link between the theory of error-correcting codes and (t, m, s) -nets leads to the efficient construction of two families of very good ternary nets. These have parameters $(4r - 4, 4r, (3^{2r} + 1)/2)$ (for all $r \geq 2$) and $(2r - 4, 2r, (3^r - 1)/2)$ (for all odd $r \geq 3$). The underlying codes are cyclic codes.

1 Introduction

(t, m, s) -nets were defined by Niederreiter [5] in the context of quasi-Monte Carlo methods of numerical integration. Niederreiter pointed out close connections to certain combinatorial and algebraic structures. In the work of Lawrence, Mullen and Schmid [2, 4, 7] an equivalence is established between (t, m, s) -nets and a class of finite combinatorial structures, which contain orthogonal arrays as a subclass. For a recent survey see [6].

A systematic relationship with the theory of error-correcting codes was exhibited in [1], where we used the theory of *BCH*-codes to construct three infinite binary families and one ternary family of (t, m, s) -nets. In the present paper two more ternary families are constructed. For basic definitions concerning (digital) (t, m, s) -nets, orthogonal arrays and ordered orthogonal arrays we refer to [1] and its bibliography. A standard reference on coding theory is [3]. Our main result is the following:

Theorem 1 *Ternary digital nets with the following parameters exist and can be effectively constructed:*

1. $(4r - 4, 4r, (3^{2r} + 1)/2)$ -nets ($r \geq 2$).
2. $(2r - 4, 2r, (3^r - 1)/2)$ -nets (r odd, $r \geq 3$).

The first family uses ternary *BCH*-codes with parameters $[(3^{2r} + 1)/2, (3^{2r} + 1)/2 - 4r, 5]$ for $r \geq 2$. The second family uses *BCH*-codes $[(3^r - 1)/2, (3^r - 1)/2 - 2r, 5]$, for odd r , which are not only non-primitive but also non-narrow sense. The smallest net parameters we obtain are

$$(2, 6, 13)_3, (4, 8, 41)_3, (6, 10, 121)_3, (8, 12, 365)_3.$$

In the next section we list basic facts and definitions. The constructions are in the final section.

2 Basic definitions

Definition 1 *Let q be a prime-power. An $M_q(s, l, m, k)$ is an (m, sl) -matrix with entries in \mathbb{F}_q , where the columns are divided into s **blocks** $B_j, j = 1, 2, \dots, s$ of $l \leq k$ columns each, such that the following hold: whenever $k = \sum_{j=1}^s k_j$, where $k_j \leq l$ for all j , then the set of k columns consisting of the first k_j columns from each B_j is linearly independent.*

Observe that the columns of each block are linearly ordered: there is a first column, a second column, \dots . Denote the sets of columns as considered in Definition 1 as **qualifying collections**. We call s the **length**, l the **depth**, m the **dimension** and k the **strength**. Denote by (k_1, k_2, \dots, k_s) the **type** of the qualifying collection in question (terms $k_j = 0$ are omitted, the order

of the k_j is immaterial). Let an $M_q(s, l, m, k)$ be given. The collection of first columns per block forms an $M_q(s, 1, m, k)$, and an $M_q(s, 1, m, k)$ is an (m, s) -matrix each k columns of which are linearly independent. If $s > m$, then an $M_q(s, 1, m, k)$ is a check-matrix of a linear code $[s, s - m, k + 1]_q$. Finally we record the basic equivalence between nets and ordered orthogonal arrays in the linear case as follows:

Theorem 2 *The following are equivalent:*

- $M_q(s, k - 1, m, k)$
- A digital net, defined over \mathbb{F}_q , with parameters $(m - k, m, s)_q$.

3 The constructions

3.1 The first family

Let $r \geq 2$. We have to construct $M_3((3^{2r} + 1)/2, 3, 4r, 4)$. The first columns per block form a linear orthogonal array. We start by constructing this orthogonal array, which is then a check matrix of a ternary code $[(3^{2r} + 1)/2, (3^{2r} + 1)/2 - 4r, 5]$: Consider the tower of finite fields

$$\mathbb{F}_3 \subset \mathbb{F}_{3^r} \subset \mathbb{F}_{3^{2r}} \subset \mathbb{F}_{3^{4r}} = F.$$

Let $s = (3^{2r} + 1)/2$ and $W \subset F$ the multiplicative subgroup of order s . Choose a basis of $F \mid \mathbb{F}_3$, define the ternary $(4r, s)$ -matrix M whose columns are indexed by the $a \in W$, column a being the $4r$ -tuple of coefficients obtained when a is expanded with respect to the basis. In our notation we will make no distinction between $a \in W$ and the column indexed by a .

Lemma 1 *We have $W \cap \mathbb{F}_{3^{2r}} = \{1\}$.*

Lemma 1 follows from the fact that $\gcd(s, 3^{2r} - 1) = 1$. We will make repeated use of it. Our first observation is that M has rank $4r$. This is equivalent with the statement that the \mathbb{F}_3 -vector space generated by W is $\langle W \rangle = F$. In fact, it is obvious that $\langle W \rangle$ is closed under multiplication, so is a subfield. As $(3^{2r} + 1)/2$ divides the order of its multiplicative group, we obtain $\langle W \rangle = F$. In order to see that any four columns of M are linearly

independent we may invoke the theory of cyclic codes. In fact M is a check matrix of a narrow-sense BCH -code. The cyclotomic coset of 1 contains $\{-3, -1, 1, 3\}$. This follows from the fact that $1 \cdot 3^{2r} \equiv -1 \pmod{s}$. As this is an arithmetic progression of four numbers and the step length 2 is coprime to s , we conclude from the BCH -bound that M has indeed strength 4.

We proceed to the construction of the $M_3((3^{2r} + 1)/2, 3, 4r, 4)$. The blocks are indexed by the $a \in W$. Choose $\alpha \in \mathbb{F}_{3^r} \setminus \mathbb{F}_3, \beta \in \mathbb{F}_{3^{2r}} \setminus \mathbb{F}_{3^r}$. Define block B_a as $B_a = (a, \alpha a, \beta a)$. We have to check that each qualifying collection of 4 columns is linearly independent. Type (1,1,1,1) has been checked already.

- type (2,1,1)

Assume $a, \alpha a, b, c$ are linearly dependent ($a, b, c \in W$, different). Clearly αa must be involved in the relation. It is impossible that $\rho a = b$ for some $\rho \in \mathbb{F}_{3^r} \setminus \mathbb{F}_3$ as otherwise $\rho = b/a \in \mathbb{F}_{3^r} \cap W = \{1\}$, contradicting Lemma 1. This shows that we must have

$$\rho a = \gamma b + \delta c,$$

where $\rho \in \mathbb{F}_{3^r} \setminus \mathbb{F}_3$ and γ, δ are nonzero elements in \mathbb{F}_3 . Raise this equation to the power $2s = 3^{2r} + 1$. Observe that raising to power 3^{2r} is a field automorphism. We obtain

$$\rho^2 = (\gamma/b + \delta/c)(\gamma b + \delta c) = \gamma^2 + \delta^2 + \gamma\delta(x + 1/x),$$

where $1 \neq x = b/c \in W$. This shows that x must be in the quadratic extension $\mathbb{F}_{3^{2r}}$ of \mathbb{F}_{3^r} . We obtain our standard contradiction to Lemma 1.

- type (2,2)

Assume $a, \alpha a, b, \alpha b$ are linearly dependent ($a, b \in W, a \neq b$). Because of type (2,1,1) we know that αa and αb must be involved. It follows that the linear relation can be written as follows: $\alpha(a + \lambda b) = \gamma a + \delta b$, where $\lambda = \pm 1$. Raising this to power $2s$ again we obtain $\alpha^2(1/a + \lambda/b)(a + \lambda b) = (\gamma/a + \delta/b)(\gamma a + \delta b)$. After simplification and using $x = a/b$ this yields

$$\alpha^2(1 + \lambda^2 + \lambda x + \lambda/x) = \gamma^2 + \delta^2 + \gamma\delta(x + 1/x).$$

This yields a quadratic equation for x with leading coefficient $\alpha^2\lambda - \gamma\delta$. If this coefficient does not vanish, we obtain that $x \in \mathbb{F}_{3^{2r}}$. As $1 \neq x \in W$

the usual contradiction results. Assume the leading coefficient vanishes. We must have $\alpha^2 = -1, \lambda = -\gamma\delta$. In particular $\lambda^2 = \delta^2 = \gamma^2 = 1$. The basic equation simplifies to $1 = -1$, contradiction.

- type (3,1)

Assume $a, \alpha a, \beta a, b$ are linearly dependent ($a, b \in W, a \neq b$). We get $b = \rho a$ for some $\rho \in \mathbb{F}_{3^{2r}}$, leading to the same contradiction as before.

3.2 The second family

Let $r > 1$ be odd. We have to construct $M_3((3^r - 1)/2, 3, 2r, 4)$. Consider the field $F = \mathbb{F}_{3^r}$ and its subgroup W of order $s = (3^r - 1)/2$. Observe that s is odd. Put $u = (s - 1)/2 = (3^r - 3)/4$. Consider the cyclotomic coset $Z(u)$ containing u . We have $3u = (3s - 3)/2 \equiv (s - 3)/2 \equiv u - 1 \pmod{s}$. By induction we obtain

$$Z(u) = \{u\} \cup \left\{u - \frac{3^i - 1}{2} \mid i = 1, \dots, r - 1\right\}.$$

In particular $|Z(u)| = r$ and $-u \notin Z(u)$. As $-u = u + 1$ we see that $Z(u) \cup Z(-u)$ contains $\{u - 1, u, u + 1, u + 2\}$. It follows that the dual of the *BCH*-code defined by these exponents has dimension $4r$ and strength 4. A check matrix of this *BCH*-code may therefore be described as a ternary $(2r, s)$ -matrix, where the column indexed by a is (a^u, a^{-u}) . We have $a^{2u} = 1/a$. The column indexed by a^2 is therefore $(1/a, a)$. As s is odd the mapping $a \mapsto a^2$ is an automorphism of the cyclic group W . This shows that we can change the indexing and arrive at a check matrix as follows: Define the ternary $(2r, s)$ -matrix M whose columns are indexed by the $a \in W$, and where column a is $(a, 1/a)$ (the first r entries form the representation of a when expressed with respect to the basis, the second r entries represent $1/a$). Then M is a check matrix of a ternary code of dimension $s - 2r$ and minimum distance 5. We proceed to the construction of an $M_3((3^r - 1)/2, 3, 2r, 4)$. Choose an element $\rho \in F \setminus \mathbb{F}_3$. Then block B_a is defined as $B_a = \{(a, 1/a), (-a, 1/a), (0, \rho/a)\}$. We have to check that each qualifying collection of 4 columns is linearly independent. Type (1,1,1,1) has been dealt with already.

- type (2,1,1)

Assume $\alpha(a, 1/a) + \beta(-a, 1/a) + \gamma(b, 1/b) + \delta(c, 1/c) = 0$, where $\alpha, \beta, \gamma, \delta \in \mathbb{F}_3$ and a, b, c are different elements in W . We can assume $\beta = 1$. If $\alpha = 1$, then the first component shows $\gamma = \delta = 0$, the second component yields a contradiction. If $\alpha = -1$, then an analogous process yields a contradiction. We have $\alpha = 0$, hence

$$\begin{aligned} a &= \gamma b + \delta c \\ -1/a &= \gamma/b + \delta/c \end{aligned}$$

We observe that $\gamma\delta \neq 0$ as otherwise the first equation contradicts the fact that $W \cap \mathbb{F}_3 = \{1\}$. Simplify the second equation, take the reciprocal. This yields $a = -bc/(\gamma c + \delta b)$. Comparison with the first equation yields $(\gamma c + \delta b)(\gamma b + \delta c) = -bc$. The left-hand side is $(\gamma^2 + \delta^2)bc + \gamma\delta(b^2 + c^2)$. As $\gamma^2 = \delta^2 = 1$ this simplifies the equation to $\gamma\delta(b^2 + c^2) = 0$. It follows $b^2 = -c^2$, which shows $-1 \in W$, contradiction.

- type (2,2)

Assume $\alpha(a, 1/a) + \beta(-a, 1/a) + \gamma(b, 1/b) + \delta(-b, 1/b) = 0$, where $\alpha, \beta, \gamma, \delta \in \mathbb{F}_3$ and a, b are different elements of W . The first coordinate shows $\alpha = \beta, \gamma = \delta$. The second coordinate yields a contradiction.

- type (3,1)

Assume $\alpha(a, 1/a) + \beta(-a, 1/a) + \gamma(0, \rho/a) + \delta(b, 1/b) = 0$, with notation as before. The first coordinate shows $\alpha = \beta, \delta = 0$. The second coordinate yields $-\alpha/a + \gamma\rho/a = 0$, equivalently $\gamma\rho = \alpha$. If $\gamma = 0$, then all coefficients vanish, contradiction. If $\gamma \neq 0$, then $\rho \in \mathbb{F}_3$, a final contradiction.

References

- [1] Y.Edel and J.Bierbrauer: *Construction of digital nets from BCH-codes, Monte Carlo and Quasi-Monte Carlo Methods 1996, Lecture Notes in Statistics* **127**(1997),221-231.
- [2] K. M. Lawrence: *A combinatorial characterization of (t, m, s)-nets in base b, Journal of Combinatorial Designs* **4** (1996),275-293.

- [3] F.J.McWilliams, N.J.Sloane: *The Theory of Error-Correcting Codes*, North-Holland, Amsterdam 1977.
- [4] G. L. Mullen and W. Ch. Schmid: *An equivalence between (t, m, s) -nets and strongly orthogonal hypercubes*, *Journal of Combinatorial Theory A* **76** (1996), 164-174.
- [5] H.Niederreiter: *Point sets and sequences with small discrepancy*, *Monatshefte Mathematik* **104**(1987),273-337.
- [6] H.Niederreiter: *Constructions of (t, m, s) -nets, Monte Carlo and quasi-Monte Carlo methods 1998* (H.Niederreiter and J.Spanier,eds), pp. 70-85, Springer Berlin 2000.
- [7] W.C.Schmid: *(T, M, S) -nets: digital construction and combinatorial aspects*, PhD dissertation, Salzburg (Austria), 1995.