

Arithmetical Koch Groups

by Kay Wingberg at Heidelberg

Version: November 23, 2007

Let k be a number field, p a prime number and S a finite set of primes of k . The Galois group $G(k_S(p)|k)$ of the maximal p -extension of k which is unramified outside S is an important object in order to study the arithmetic of k . If all primes dividing p are in S , then a lot is known about the structure of $G(k_S(p)|k)$, in particular, it is of cohomological dimension less or equal to 2 (if $p = 2$ one has to require that k is totally imaginary).

If S is disjoint to the set S_p of primes above p , the group $G(k_S(p)|k)$ is very mysterious. By a famous theorem of Golod and Šafarevič, it is in general infinite, but on the other hand it is a so-called *fab* pro- p -group, i.e. the maximal abelian quotient of every open subgroup of $G(k_S(p)|k)$ is finite. Furthermore, nothing was known on the cohomological dimension of $G(k_S(p)|k)$ so far.

Recently, J. Labute [2] showed that pro- p -groups who have a presentation in terms of generators and relations of a certain type, so-called mild pro- p -groups, are of cohomological dimension equal to 2. A special case are pro- p -groups of *Koch type*, with certain further conditions on the relations (the linking diagram of the considered group has to be a non-singular circuit, see the definitions in the next section).

If $k = \mathbb{Q}$, then the group $G(\mathbb{Q}_S(p)|\mathbb{Q})$, $S \cap S_p = \emptyset$, is of Koch type, see H. Koch [1]. Labute used these results on the relation structure of $G(\mathbb{Q}_S(p)|\mathbb{Q})$ and ended up with a criterion on the set S for the group $G(\mathbb{Q}_S(p)|\mathbb{Q})$ to be of cohomological dimension 2. A. Schmidt [5] extended the result of Labute by arithmetic methods and weakened Labute's condition on S .

There is another case when the Galois group $G(k_S(p)|k)$, $S \cap S_p = \emptyset$, is of Koch type: k has to be an imaginary quadratic number field not containing the p -th roots of unity and its class number is not divisible by p . Therefore, if the linking diagram of $G(k_S(p)|k)$ is a non-singular circuit, then this group is of cohomological dimension equal to 2, see the paper of D. Vogel [6]. It seems that there are no other algebraic number fields k and sets S as the cases mentioned above such that the Galois group $G(k_S(p)|k)$ is of Koch type.

In this paper we will consider the maximal p -extension $k_S^T(p)$ of the number field k with restricted ramification at a finite set S containing S_p , which, in

addition, is completely decomposed at the finite set T . The groups $G(k_S^T(p)|k)$ are a rich source of pro- p -groups of Koch type. Under certain conditions on T and S (and conditions on k) we will show that $G(k_S^T(p)|k)$ is a pro- p Schur group (i.e. has as many generators as relations), is of Koch type, its maximal abelian quotient is finite, and the cohomological dimension is equal to 2. Moreover, if p is odd and k is totally real, and assuming that the Leopoldt conjecture holds for totally real number fields, then $G(k_S^T(p)|k)$ is a fab pro- p -group.

The author wants to thank J. Gärtner and A. Schmidt for helpful conversations concerning this paper.

1 Pro- p -groups of Koch type

Let p be a prime number and let G be a pro- p -group. We denote the cohomology groups $H^i(G, \mathbb{Z}/p\mathbb{Z})$ by $H^i(G)$, and put $h^i(G) = \dim_{\mathbb{F}_p} H^i(G)$ and

$$\chi_2(G) = \sum_{i=0}^2 (-1)^i h^i(G).$$

Let G_n be the n -th term in the lower p -central series defined recursively by $G_1 = G$ and $G_{n+1} = (G_n)^p [G_n, G]$. We recall some definitions.

Definition 1.1 *A pro- p -group G is called **Schur group** if $h^1(G) = h^2(G)$.*

Definition 1.2 *A pro- p -group G is called **fab** if U^{ab} is finite for all open subgroups U of G .*

For the notion of a pro- p duality group we refer to [4] III §4.

Proposition 1.3 *Let G be a fab pro- p -group of cohomological dimension equal to 2. Then G is a duality group. Furthermore, the strict cohomological dimension of G is equal to 3.*

Proof: In order to prove the first part of the proposition it suffices to show that the terms

$$D_i(G, \mathbb{Z}/p\mathbb{Z}) = \varinjlim_U H^i(U)^\vee$$

are trivial for $i = 0, 1$; here U runs through the open subgroups of G , and the transition maps are the duals of the corestriction maps, see [4] (3.4.6). For $i = 0$ this is clear, since G is infinite. For $i = 1$ we have

$$D_1(G, \mathbb{Z}/p\mathbb{Z}) = \varinjlim_U U^{ab}/p.$$

Since U^{ab} is finite for all open subgroups U of G , it follows from the group theoretical form of the principal ideal theorem, see [3] VI. (7.6), that

$$D_1(G, \mathbb{Z}/p\mathbb{Z}) = 0.$$

Suppose that $scd_p G = 2$, i.e. $H^2(U, \mathbb{Q}_p/\mathbb{Z}_p) = 0$ for all open subgroups U of G . From the exact sequence $0 \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow \mathbb{Q}_p/\mathbb{Z}_p \xrightarrow{p} \mathbb{Q}_p/\mathbb{Z}_p \rightarrow 0$, we obtain the exact sequence

$$0 \rightarrow ({}_pU^{ab})^\vee \rightarrow H^2(U) \rightarrow {}_pH^2(U, \mathbb{Q}_p/\mathbb{Z}_p) \rightarrow 0.$$

Since G is fab, we obtain

$$h^1(U) = \dim_{\mathbb{F}_p}(U^{ab}/p) = \dim_{\mathbb{F}_p}({}_pU^{ab}) = h^2(U),$$

i.e. $\chi_2(U) = 1$. Since $cd_p G = 2$, we have $\chi_2(U) = (G : U)\chi_2(G)$. This contradiction finishes the proof of the proposition. \square

Let G be a finitely represented pro- p -group and let $1 \rightarrow R \rightarrow F \rightarrow G \rightarrow 1$ be a minimal presentation, where F is the free pro- p -group on the generators x_1, \dots, x_d and $R = (w_1, \dots, w_r)$ is the normal subgroup of F generated by the elements w_i , $i = 1, \dots, r$.

Definition 1.4 *The minimal presentation $\langle x_1, \dots, x_d | w_1, \dots, w_r \rangle$ of the pro- p -group G is said to be of **Koch type** if $r \leq d$ and the relations w_i satisfy a congruence of the form*

$$w_i \equiv x_i^{p a_i} \prod_{i \neq j} [x_i, x_j]^{a_{ij}} \pmod{F_3}$$

with $a_i, a_{ij} \in \mathbb{Z}$. The group G is of Koch type if it has a presentation of Koch type.

Examples: 1. Let p be an odd prime and S a finite set of prime numbers not containing p . Let $G = G(\mathbb{Q}_S(p) | \mathbb{Q})$ be the Galois group of the maximal p -extension of \mathbb{Q} unramified outside S . We can assume that $S = \{q_1, \dots, q_d\}$ with $q_i \equiv 1 \pmod{p}$. Work of Koch [1] shows that $G = \langle x_1, \dots, x_d | w_1, \dots, w_d \rangle$ where

$$w_i \equiv x_i^{q_i - 1} \prod_{i \neq j} [x_i, x_j]^{b_{ij}} \pmod{F_3},$$

and $q_i \equiv g_j^{b_{ij}} \pmod{q_j}$, where g_j is a primitive root for the prime q_j . Observe that $r = d$.

2. Let p be an odd prime number and k an imaginary quadratic number field whose class number is not divisible by p , and which is different from $\mathbb{Q}(\sqrt{-3})$ if

$p = 3$. Let S be a set of primes of k whose norm is congruent to 1 mod p . If $G = G(k_S(p)|k)$ is the Galois group of the maximal p -extension of k unramified outside S , then G has a presentation of Koch type with $r = d$, see [1] or [6].

Let G be a pro- p -group of Koch type. Following Labute, we associate to $G = \langle x_1, \dots, x_d | w_1, \dots, w_r \rangle$ and $S = \{x_1, \dots, x_d\}$ a directed graph, denoted by $\Gamma_S(p)$, with vertices the elements of S and a directed edge $x_i x_j$ from x_i to x_j if

$$l(x_i, x_j) := a_{ij} \pmod{p} \neq 0.$$

The graph $\Gamma_S(p)$, together with the $l(x_i, x_j) \in \mathbb{Z}/p\mathbb{Z}$, $i, j \leq d$, is called the **linking diagram** of (G, S) .

Definition 1.5 *Let $G = \langle x_1, \dots, x_d | w_1, \dots, w_r \rangle$ be a pro- p -group of Koch type and let $\Gamma_S(p)$ be the associated linking diagram of (G, S) . The set S is called **strictly circular** with respect to p (and $\Gamma_S(p)$ a **non-singular circuit**) if there exists an ordering $S = \{v_1, \dots, v_d\}$ of the elements in S such that the following conditions are fulfilled:*

- (1) *The vertices v_1, \dots, v_d of $\Gamma_S(p)$ form a circuit $v_1 v_2 \dots v_d v_1$.*
- (2) *If i, j are both odd, then $v_i v_j$ is not an edge of $\Gamma_S(p)$.*
- (3) *If $l_{ij} = l(v_i, v_j)$, then $l_{12} l_{23} \dots l_{d-1, d} l_{d1} - l_{1d} l_{d1} l_{12} \dots l_{d, d-1} \neq 0$.*

We remark that condition (2) implies that d is even and $d \geq 4$ and that condition (3) is satisfied if there exists an edge $v_i v_j$ of the circuit $v_1 v_2 \dots v_d v_1$ such that $v_j v_i$ is not an edge $\Gamma_S(p)$.

Theorem 1.6 (Labute [2], Thm. 1.6.) *Let G be a pro- p -group of Koch type on the minimal set of generators S . If S is strictly circular with respect to p , then $\text{cd } G = 2$.*

2 Galois extensions of number fields which are completely decomposed at given primes

We will use the following notation. Let S, T be sets of primes of k . Then

$k_S(p)$ is the maximal p -extension of k which is unramified outside S ,

$k_S^T(p)$ is the maximal p -extension of k which is unramified outside S and completely decomposed at T .

Furthermore, $k(p)$ denotes the maximal p -extension of k . For a prime \mathfrak{p} of k , let $k_{\mathfrak{p}}$ be the completion of k with respect to \mathfrak{p} , $U_{\mathfrak{p}}$ the group of units and $\mu(k_{\mathfrak{p}})$ the group of roots of unity in $k_{\mathfrak{p}}$. We denote the decomposition group and inertia group of $G(k(p)|k)$ with respect to \mathfrak{p} by $G_{\mathfrak{p}}(k) = G_{\mathfrak{p}}(k(p)|k)$ and $T_{\mathfrak{p}}(k) = T_{\mathfrak{p}}(k(p)|k)$, respectively.

Considering the extension $k_S(p)|k$, the following primes cannot ramify in a p -extension, and are therefore redundant in S :

1. Complex primes.
2. Real primes if $p \neq 2$.
3. Primes $\mathfrak{p} \nmid p$ with $N(\mathfrak{p}) \not\equiv 1 \pmod{p}$.

Removing all these redundant places from S , we obtain a subset $S_{\min} \subseteq S$ which has the property that $G(k_S(p)|k) = G(k_{S_{\min}}(p)|k)$. Let

$$\tilde{S} = S \setminus (S_p \cup S_{\infty})$$

the subset of finite primes of S not above p , and let

$$n_S = \sum_{\mathfrak{p} \in S_p \cap S} n_{\mathfrak{p}}, \quad \delta_S = \sum_{\mathfrak{p} \in S_p \cap S} \delta_{\mathfrak{p}} - \delta,$$

where $n_{\mathfrak{p}} = [k_{\mathfrak{p}} : \mathbb{Q}_p]$,

$$\delta = \begin{cases} 1, & \mu_p \subseteq k, \\ 0, & \mu_p \not\subseteq k, \end{cases} \quad \text{and} \quad \delta_{\mathfrak{p}} = \begin{cases} 1, & \mu_p \subseteq k_{\mathfrak{p}}, \\ 0, & \mu_p \not\subseteq k_{\mathfrak{p}}. \end{cases}$$

Furthermore, $\theta = \theta(S)$ is equal to 1 if $\mu_p \subseteq k$ and $S_{\min} = \emptyset$, and zero in all other cases. Finally, $\mathbb{B}_S(k)$ denotes the dual of the Kummer group

$$V_S(k) = \{a \in k^{\times} \mid a \in k_{\mathfrak{p}}^{\times p} \text{ for } \mathfrak{p} \in S \text{ and } a \in U_{\mathfrak{p}} k_{\mathfrak{p}}^{\times p} \text{ for } \mathfrak{p} \notin S\} / k^{\times p}.$$

Proposition 2.1 *Let p be a prime number and assume that the number field k is totally imaginary if $p = 2$. Let T and $S = S_{\min}$ be finite sets of primes of k such that $T \cap S = \emptyset$. Then*

$$\begin{aligned} \chi_2(G(k_S^T(p)|k)) &\leq \theta + r_1 + r_2 - n_S + \#T, \\ h^1(G(k_S^T(p)|k)) &\geq 1 + \#\tilde{S} + \delta_S + n_S + \dim_{\mathbb{F}_p} \mathbb{B}_S - r_1 - r_2 - \#T. \end{aligned}$$

Proof: Since $T \cap S = \emptyset$, we have a surjection

$$\bigoplus_{\mathfrak{p} \in T} G_{\mathfrak{p}}(k)/T_{\mathfrak{p}}(k) \twoheadrightarrow (G(k_S(p)|k_S^T(p)))^{ab}_{G(k_S^T(p)|k)}$$

(here M_G denotes the G -coinvariants of a G -module M). Thus we obtain

$$\dim_{\mathbb{F}_p} H^1(G(k_S(p)|k_S^T(p)))^{G(k_S^T(p)|k)} \leq \#T.$$

Using [4] (8.7.11), the exact 5-term sequence

$$\begin{aligned} 0 \longrightarrow H^1(G(k_S^T(p)|k)) \longrightarrow H^1(G(k_S(p)|k)) \longrightarrow H^1(G(k_S(p)|k_S^T(p)))^{G(k_S^T(p)|k)} \\ \longrightarrow H^2(G(k_S^T(p)|k)) \longrightarrow H^2(G(k_S(p)|k)) \end{aligned}$$

gives us the inequalities

$$\begin{aligned} & h^2(G(k_S^T(p)|k)) - h^1(G(k_S^T(p)|k)) \\ & \leq h^2(G(k_S(p)|k)) - h^1(G(k_S(p)|k)) + \dim_{\mathbb{F}_p} H^1(G(k_S(p)|k_S^T(p)))^{G(k_S^T(p)|k)} \\ & \leq \theta - 1 + r_1 + r_2 - n_S + \#T \end{aligned}$$

and

$$h^1(G(k_S^T(p)|k)) \geq h^1(G(k_S(p)|k)) - \#T = 1 + \#\tilde{S} + \delta_S + n_S + \dim_{\mathbb{F}_p} \mathbb{B}_S - r_1 - r_2 - \#T.$$

□

Corollary 2.2 *With the assumptions of proposition (2.1) let*

$$c(S, T) = \max\{0, \theta + r_1 + r_2 - n_S + \#T\}.$$

Assume that

$$\#\tilde{S} \geq (1 + \sqrt{c(S, T)})^2 - (\delta_S + \dim_{\mathbb{F}_p} \mathbb{B}_S + \theta).$$

Then the group $G(k_S^T(p)|k)$ is infinite.

Proof: Let $G = G(k_S^T(p)|k)$ and suppose that this group is finite. Then, by the Golod Safarevič inequality, see [4] (3.9.7),

$$h^2(G) > \frac{h^1(G)^2}{4}.$$

From proposition (2.1) it follows that

$$c(S, T) - 1 \geq \theta - 1 + r_1 + r_2 - n_S + \#T \geq h^2(G) - h^1(G) > h^1(G)^2/4 - h^1(G),$$

hence

$$\#\tilde{S} + (\delta_S + \dim_{\mathbb{F}_p} \mathbb{B}_S + \theta) - c(S, T) + 1 \leq h^1(G) < 2 + 2\sqrt{c(S, T)},$$

which contradicts the assumption on $\#\tilde{S}$. \square

Let K_1, \dots, K_ρ be independent \mathbb{Z}_p -extensions of k such that $\tilde{k} = \bigcup_{i=1}^\rho K_i$ is the compositum of all \mathbb{Z}_p -extensions of k . Recall that $\tilde{k} \subseteq k_S(p)$, if $S_p \subseteq S$. We say that a finite set T of primes of k has the property $(*)$ if the following holds:

Property $(*)$: The cardinality of T is equal to ρ , and if $T = \{\mathfrak{p}_1, \dots, \mathfrak{p}_\rho\}$, then

$$\mathfrak{p}_i \text{ does not decompose in } K_i|k, \text{ i.e. } G_{\mathfrak{p}_i}(K_i|k) = G(K_i|k), \quad i = 1, \dots, \rho.$$

If S is a finite set of primes of k such that $S \cap T = \emptyset$, then it follows that the homomorphism

$$\bigstar_{\mathfrak{p} \in T} G_{\mathfrak{p}}(k(p)|k)/T_{\mathfrak{p}}(k(p)|k) \longrightarrow G(k_S(p)|k) \longrightarrow G(\tilde{k} \cap k_S(p)|k)$$

is surjective, and, in particular, $G(k_S^T(p)|k)^{ab}$ is finite.

Proposition 2.3 *Let p be a prime number and assume that the number field k is totally imaginary if $p = 2$. Let T and $S_p \subseteq S = S_{\min}$ be finite sets of primes of k such that $T \cap S = \emptyset$.*

(i) *If $\#T = r_2 + 1$, then*

$$\chi_2(G(k_S^T(p)|k)) \leq 1.$$

(ii) *Assume that the Leopoldt conjecture holds for k and p , and that T has the property $(*)$. Then*

$$h^1(G(k_S^T(p)|k)) = h^2(G(k_S^T(p)|k))$$

and

$$G(k_S^T(p)|k)^{ab} \cong \text{Tor } G(k_S(p)|k)^{ab}.$$

In particular, $G(k_S^T(p)|k)^{ab}$ is finite. If $\#S \setminus S_p \geq 4$, then $G(k_S^T(p)|k)$ is infinite.

(iii) *Assume in addition to the assumptions of (ii) that*

$$\dim_{\mathbb{F}_p} \mathbb{B}_S = 0 \text{ and } \sum_{\mathfrak{p} \in S_p} \delta_{\mathfrak{p}} = \delta.$$

Then

$$h^1(G(k_S^T(p)|k)) = h^2(G(k_S^T(p)|k)) = \#S \setminus S_p.$$

Proof: Let $G = G(k_S^T(p)|k)$. By proposition (2.1), we have

$$\chi_2(G) \leq 0 + r_1 + r_2 - [k : \mathbb{Q}] + \#T = 1$$

proving (i).

From the exact sequence $0 \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow \mathbb{Q}_p/\mathbb{Z}_p \xrightarrow{p} \mathbb{Q}_p/\mathbb{Z}_p \rightarrow 0$, we obtain the exact sequence

$$0 \rightarrow ({}_pG^{ab})^\vee \rightarrow H^2(G) \rightarrow {}_pH^2(G, \mathbb{Q}_p/\mathbb{Z}_p) \rightarrow 0.$$

By assumption, the Leopoldt conjecture holds, i.e. $\rho = \text{rank}_{\mathbb{Z}_p} G(\tilde{k}|k) = r_2 + 1$. Therefore, as T has the property (*), G^{ab} is finite. It follows that

$$h^1(G) = \dim_{\mathbb{F}_p} G^{ab} \leq h^2(G).$$

Since $h^1(G) \geq h^2(G)$ by (i), we get equality. The commutative and exact diagram

$$\begin{array}{ccccccc} & & & \text{Tor } G(k_S(p)|k)^{ab} & & & \\ & & & \downarrow & \searrow & & \\ \bigoplus_{\mathfrak{p} \in T} G_{\mathfrak{p}}(k(p)|k)/T_{\mathfrak{p}}(k(p)|k) & \longrightarrow & G(k_S(p)|k)^{ab} & \longrightarrow & G(k_S^T(p)|k)^{ab} & \longrightarrow & 0 \\ & & \cong \searrow & \downarrow & & & \\ & & & G(\tilde{k}|k) & & & \end{array}$$

shows $\text{Tor } G(k_S(p)|k)^{ab} \simeq G(k_S^T(p)|k)^{ab}$. Furthermore, it follows from $c(S, T) = 1$ and corollary (2.2), that $G(k_S^T(p)|k)$ is infinite, if $\#S \setminus S_p \geq 4$. This proves (ii).

From proposition (2.1) it follows that $h^1(G) \geq \#\tilde{S}$, and using [4] (8.7.11), we have $h^2(G) \leq \#\tilde{S}$. This proves (iii). \square

Theorem 2.4 *Let p be a prime number and assume that the number field k is totally imaginary if $p = 2$. Let T and $S_p \subseteq S = S_{\min}$ be finite sets of primes of k such that $T \cap S = \emptyset$. Assume that*

- (a) T has the property (*).
- (b) $\dim_{\mathbb{F}_p} \mathbb{B}_{S_p} = 0$ and $\sum_{\mathfrak{p} \in S_p} \delta_{\mathfrak{p}} = \delta$.

Then the following holds:

- (i) *The canonical homomorphism*

$$\ast \prod_{\mathfrak{p} \in S \setminus S_p} T_{\mathfrak{p}}(k(p)|k) \twoheadrightarrow G(k_S^T(p)|k)$$

is surjective.

(ii) *There is an isomorphism*

$$\bigoplus_{\mathfrak{p} \in S \setminus S_p} \mu(k_{\mathfrak{p}})(p) \xrightarrow{\sim} G(k_S^T(p)|k)^{ab}.$$

(iii) *The map*

$$H^2(G(k_S^T(p)|k)) \hookrightarrow \bigoplus_{\mathfrak{p} \in S \setminus S_p} H^2(G_{\mathfrak{p}})$$

is injective.

(iv) *The pro- p -group $G(k_S^T(p)|k)$ is of Koch type and*

$$h^1(G(k_S^T(p)|k)) = h^2(G(k_S^T(p)|k)) = \#S \setminus S_p.$$

(v) *$G(k_S^T(p)|k)^{ab}$ is finite. If $\#S \setminus S_p \geq 4$, then $G(k_S^T(p)|k)$ is infinite.*

Proof: Since $\dim_{\mathbb{F}_p} \mathbb{B}_{S_p} = 0$ and $\sum_{\mathfrak{p} \in S_p} \delta_{\mathfrak{p}} = \delta$, the pro- p -group $G(k_{S_p}(p)|k)$ is free, see [4] (8.7.10). Therefore Leopoldt's conjecture holds for k and p . Furthermore $\mathbb{B}_S = 0$ as \mathbb{B}_{S_p} surjects onto \mathbb{B}_S . From proposition (2.3) it follows that the assertion on the dimensions in (iv) and assertion (v) are true.

The cokernel of the canonical homomorphism

$$\ast \bigoplus_{\mathfrak{p} \in S \setminus S_p} T_{\mathfrak{p}}(k(p)|k) \longrightarrow G(k_S^T(p)|k)$$

is the Galois group $G(k_{S_p}^T(p)|k)$. Since $G(k_{S_p}(p)|k)$ is a free pro- p -group of rank $r_2 + 1$, we have $G(k_{S_p}(p)|k)^{ab} \cong \mathbb{Z}_p^{r_2+1}$. Using the assumption (\ast) for T , we get

$$G(k_{S_p}^T(p)|k)^{ab} = 0,$$

hence $G(k_{S_p}^T(p)|k) = 1$, i.e. we proved (i).

Since the Leopoldt's conjecture holds for k and p , we have

$$(\mathrm{Tor} G(k_S(p)|k)^{ab})^{\vee} \cong H^2(G(k_S(p)|k), \mathbb{Z}/p^r \mathbb{Z})$$

for $r \in \mathbb{N}$ big enough. The exact sequence

$$H^2(G(k_S(p)|k), \mathbb{Z}/p^r \mathbb{Z}) \rightarrow \bigoplus_{\mathfrak{p} \in S} H^2(G_{\mathfrak{p}}(k), \mathbb{Z}/p^r \mathbb{Z}) \rightarrow H^0(G(k_S(p)|k), \mu_{p^r})^{\vee} \rightarrow 0$$

implies that we obtain a surjection

$$H^2(G(k_S(p)|k), \mathbb{Z}/p^r \mathbb{Z}) \twoheadrightarrow \bigoplus_{\mathfrak{p} \in S \setminus S_p} H^2(G_{\mathfrak{p}}(k), \mathbb{Z}/p^r \mathbb{Z}) \cong \bigoplus_{\mathfrak{p} \in S \setminus S_p} \mu(k_{\mathfrak{p}})(p)^{\vee}.$$

Using proposition (2.3)(ii), it follows that we obtain an injection

$$\bigoplus_{\mathfrak{p} \in S \setminus S_p} \mu(k_{\mathfrak{p}})(p) \hookrightarrow G(k_S^T(p)|k)^{ab}.$$

On the other hand, by (i) the map

$$\bigoplus_{\mathfrak{p} \in S \setminus S_p} \mu(k_{\mathfrak{p}})(p) \cong \bigoplus_{\mathfrak{p} \in S \setminus S_p} T_{\mathfrak{p}}(k)/[T_{\mathfrak{p}}(k), G_{\mathfrak{p}}(k)] \twoheadrightarrow G(k_S^T(p)|k)^{ab}$$

is surjective. This proves (ii).

In order to prove (iii), we consider the exact sequence

$$1 \longrightarrow \mathcal{K} \longrightarrow \bigast_{\mathfrak{p} \in S \setminus S_p} G_{\mathfrak{p}}(k) \longrightarrow G(k_S^T(p)|k) \longrightarrow 1,$$

where \mathcal{K} is the kernel of the natural map $\bigast_{\mathfrak{p} \in S \setminus S_p} G_{\mathfrak{p}}(k) \rightarrow G(k_S^T(p)|k)$ which is surjective by (i). For an abelian group A we obtain (using (i) again) the commutative and exact diagram

$$\begin{array}{ccccc} & & \bigoplus_{\mathfrak{p} \in S \setminus S_p} H_{nr}^1(G_{\mathfrak{p}}(k), A) & & \\ & & \downarrow & \searrow & \\ 0 \longrightarrow & H^1(G(k_S^T(p)|k), A) & \longrightarrow & \bigoplus_{\mathfrak{p} \in S \setminus S_p} H^1(G_{\mathfrak{p}}(k), A) & \xrightarrow{res} H^1(\mathcal{K}, A)^{G(k_S^T(p)|k)} \\ & \parallel & & \downarrow & \\ & H^1(G(k_S^T(p)|k), A) & \hookrightarrow & \bigoplus_{\mathfrak{p} \in S \setminus S_p} H^1(T_{\mathfrak{p}}(k), A)^{G_{\mathfrak{p}}(k)}. & \end{array}$$

If $A = \mathbb{Q}_p/\mathbb{Z}_p$, then lower map is an isomorphism by (ii). Furthermore, since the Leopoldt's conjecture holds, we have $H^2(G(k_S^T(p)|k), \mathbb{Q}_p/\mathbb{Z}_p) = 0$, and so the map *res* is surjective. It follows that

$$\bigoplus_{\mathfrak{p} \in S \setminus S_p} H_{nr}^1(G_{\mathfrak{p}}(k), \mathbb{Q}_p/\mathbb{Z}_p) \cong H^1(\mathcal{K}, \mathbb{Q}_p/\mathbb{Z}_p)^{G(k_S^T(p)|k)},$$

hence

$$\bigoplus_{\mathfrak{p} \in S \setminus S_p} H_{nr}^1(G_{\mathfrak{p}}(k), \mathbb{Z}/p\mathbb{Z}) \cong H^1(\mathcal{K}, \mathbb{Z}/p\mathbb{Z})^{G(k_S^T(p)|k)}.$$

Considering the diagram above with $A = \mathbb{Z}/p\mathbb{Z}$, we obtain the desired injection $H^2(G(k_S^T(p)|k)) \hookrightarrow \bigoplus_{\mathfrak{p} \in S \setminus S_p} H^2(G_{\mathfrak{p}}(k))$.

Let $\tilde{S} = \{\mathfrak{q}_1, \dots, \mathfrak{q}_d\}$ and let τ_i be a generator of the cyclic group $T_{\mathfrak{q}_i}(k)$, $i = 1, \dots, d$. Then by (i) and (iv) the set $\{\tau_1, \dots, \tau_d\}$ is a minimal set of generators of the group $G(k_S^T(p)|k)$. Let F be the free pro- p -group on the generators x_1, \dots, x_d , and let

$$1 \longrightarrow R \longrightarrow F \xrightarrow{\pi} G(k_S^T(p)|k) \longrightarrow 1$$

be a minimal presentation of the group $G(k_S^T(p)|k)$, where π maps x_i to τ_i , $i = 1, \dots, d$. From (iv) and [4] (7.5.2) it follows that a set of defining relations is given by

$$w_i = x_i^{N(\mathfrak{q}_i)-1} [x_i, y_i], \quad i = 1, \dots, d,$$

where $y_i \in F$ denotes a pre-image of the Frobenius automorphism σ_i with respect to \mathfrak{q}_i , see [1], §11.4. Let

$$y_i \equiv \prod_{i \neq j} x_j^{l_{ij}} \pmod{F_2}$$

with $l_{ij} \in \mathbb{Z}/p\mathbb{Z}$. Then we obtain

$$w_i = x_i^{N(\mathfrak{q}_i)-1} [x_i, y_i] \equiv x_i^{N(\mathfrak{q}_i)-1} [x_i, \prod_{i \neq j} x_j^{l_{ij}}] \equiv x_i^{N(\mathfrak{q}_i)-1} \prod_{i \neq j} [x_i, x_j]^{l_{ij}} \pmod{F_3}.$$

Thus $G(k_S^T(p)|k)$ is a pro- p -group of Koch type. \square

From theorem (2.4) and Labute's theorem (1.6) we obtain

Theorem 2.5 *Let p be a prime number and assume that the number field k is totally imaginary if $p = 2$. Let T and $S_p \subseteq S = S_{\min}$ be finite sets of primes of k such that $T \cap S = \emptyset$. Assume that*

- (a) T has the property (*),
- (b) $\dim_{\mathbb{F}_p} \mathbb{B}_{S_p} = 0$ and $\sum_{\mathfrak{p} \in S_p} \delta_{\mathfrak{p}} = \delta$,
- (c) $\Gamma_{S \setminus S_p}(p)$ is a non-singular circuit.

Then $G(k_S^T(p)|k)$ is a pro- p Schur group, $G(k_S^T(p)|k)^{ab}$ is finite and

$$\text{cd}_p G(k_S^T(p)|k) = 2.$$

Corollary 2.6 *With the notation and assumptions of theorem (2.5) assume in addition, that p is odd and k is totally real. Assume further that the Leopoldt conjecture holds for totally real number fields.*

Then $G(k_S^T(p)|k)$ is a fab pro- p -group, and a duality group of dimension 2 and strict cohomological dimension equal to 3.

Proof: If $K|k$ is a finite Galois extension inside $k_S^T(p)$, then K is also totally real as $p \neq 2$. Since the Leopoldt conjecture holds for K and p , there is only one \mathbb{Z}_p -extension of K , the cyclotomic one. The prolongations of the only prime $\mathfrak{q} \in T(k)$ are inert in this extension. Therefore $G(k_S^T(p)|K)^{ab}$ is finite. The second assertion follows from (1.3). \square

It seems that among the conditions of (2.6) the assumption that k is totally real in order to show that $G(k_S^T(p)|k)$ is fab is not necessary but we can not prove it. The next results show that theorem (2.5) is not empty. The idea of the proof is inspired by [2] prop. 6.1.

Proposition 2.7 *Let k be a number field and let p be a prime number such that $\mu_p \not\subseteq k$. Let T and $S = S_{\min}$ be finite disjoint sets of primes of k with $S_p \subseteq S$. Assume that conditions (a) and (b) of (2.5) hold, and let*

$$\ast \prod_{\mathfrak{p} \in \tilde{S}} T_{\mathfrak{p}}(k(p)|k) \twoheadrightarrow G(k_S^T(p)|k)$$

be a minimal presentation of the pro- p -group $G(k_S^T(p)|k)$ of Koch type, where $\tilde{S} = S \setminus S_p = \{\mathfrak{q}_1, \dots, \mathfrak{q}_m\}$. Let $q_i = \mathfrak{q}_i \cap \mathbb{Q}$, $i = 1, \dots, m$, be the underlying prime numbers, and assume that for all i

- (i) $q_i \equiv 1 \pmod{p}$ and $q_i \neq q_j$ if $i \neq j$,
- (ii) the prime number q_i is unramified in $k|\mathbb{Q}$,
- (iii) the image of \mathfrak{q}_i in the p -primary part $Cl_k(p)$ of the ideal class group of k is trivial.

Then a prime \mathfrak{q}_{m+1} can be found satisfying (i)-(iii) such that the additional edges of the linking diagram $\Gamma_{\tilde{S} \cup \{\mathfrak{q}\}}(p)$ of $(G(k_{\tilde{S} \cup \{\mathfrak{q}\}}^T(p)|k), \tilde{S} \cup \{\mathfrak{q}\})$ are arbitrarily prescribed.

Remark: Often we identify the sets $\{\mathfrak{q}_1, \dots, \mathfrak{q}_m\}$ and $\{\tau_{\mathfrak{q}_1}, \dots, \tau_{\mathfrak{q}_m}\}$ of primes of k and generators of $G(k_S^T(p)|k)$, respectively, and denote them by the same letter.

Proof: First we observe that, if $\mathfrak{q} \notin T \cup S$ is a prime of k with $N_{k|\mathbb{Q}} \mathfrak{q} \equiv 1 \pmod{p}$, then by theorem (2.4) the group $G(k_{S \cup \{\mathfrak{q}\}}^T(p)|k)$ is also of Koch type and

$$\ast \prod_{\mathfrak{p} \in \tilde{S} \cup \{\mathfrak{q}\}} T_{\mathfrak{p}}(k(p)|k) \twoheadrightarrow G(k_{S \cup \{\mathfrak{q}\}}^T(p)|k)$$

is a minimal presentation of $G(k_{S \cup \{\mathfrak{q}\}}^T(p)|k)$.

If \bar{k} is the maximal abelian p -extension of $k(\mu_p)$ and \mathfrak{q} a non-archimedean prime of $k(\mu_p)$ not lying above p , then

$$G_{\mathfrak{q}}(\bar{k}|k(\mu_p)) = \langle \sigma_{\mathfrak{q}}, \tau_{\mathfrak{q}} \rangle \subseteq G(\bar{k}|k(\mu_p)),$$

where $\langle \tau_{\mathfrak{q}} \rangle$ is the inertia subgroup of the decomposition group $G_{\mathfrak{q}}(\bar{k}|k(\mu_p))$ of $G(\bar{k}|k(\mu_p))$ with respect to \mathfrak{q} , and $\sigma_{\mathfrak{q}}$ is a Frobenius lift.

By (i) and (ii) there is a unique extension E_i of $k(\mu_p)$ contained in $k(\mu_{pq_i})$ of degree p , i.e. $G(E_i|k(\mu_p)) \cong \mathbb{Z}/p\mathbb{Z}$. By (iii), there exists a natural number h_i prime to p such that $\mathfrak{q}_i^{h_i} = (\pi_{\mathfrak{q}_i})$ is a principal ideal of k . Let

$$F_i = k(\mu_p, \sqrt[p]{\pi_{\mathfrak{q}_i}})$$

with Galois group $G(F_i|k(\mu_p)) \cong \mathbb{Z}/p\mathbb{Z}$, and let H_k be the p -elementary Hilbert field of k , i.e. the maximal p -elementary abelian unramified extension of k , with Galois group $G(H_k(\mu_p)|k(\mu_p)) \cong \mathbb{Z}/p\mathbb{Z}^\epsilon$ for some $\epsilon \geq 0$. The fields

$$E_1, \dots, E_m, F_1, \dots, F_m, H_k(\mu_p)$$

are linearly disjoint over $k(\mu_p)$, and let K be the composite of these fields. The field K is Galois over k and the subgroup $H = G(K|k(\mu_p))$ of $G(K|k)$ is the direct product of the Galois groups of these fields over $k(\mu_p)$.

If $\sigma_{\Omega} \in G(K|k)$ is the Frobenius automorphism at the unramified prime Ω of K and Ω lies above the prime \mathfrak{q} of k , then $\sigma_{\Omega} \in G(K|k(\mu_p))$ if and only if $N_{k|\mathbb{Q}} \mathfrak{q} \equiv 1 \pmod{p}$. Furthermore, the restriction of σ_{Ω} to $H_k(\mu_p)$ is the identity if and only if the image of \mathfrak{q} in $Cl_k(p)$ is trivial.

Assume a prime \mathfrak{q}_{m+1} of k is given such that the underlying prime number q_{m+1} is unramified in K , $q_{m+1} \equiv 1 \pmod{p}$ (and so $N_{k|\mathbb{Q}} \mathfrak{q}_{m+1} \equiv 1 \pmod{p}$), $q_{m+1} \neq q_j$ for $j = 1, \dots, m$, and the image of \mathfrak{q}_{m+1} in $Cl_k(p)$ is trivial. Then we choose a prolongation of \mathfrak{q}_{m+1} to $k(\mu_p)$, which we also denote by \mathfrak{q}_{m+1} . Let $\Omega|\mathfrak{q}_{m+1}$ be a prime of K ; we denote σ_{Ω} by $\sigma_{\mathfrak{q}_{m+1}} = \sigma_{\mathfrak{q}_{m+1}}|_K$ as H is abelian. Let $h \in \mathbb{N}$ be prime to p such that $(\mathfrak{q}_{m+1})^h = (\pi_{\mathfrak{q}_{m+1}})$ is a principal ideal of k . Since

$$G(E_i|k(\mu_p)) = \langle \tau_{\mathfrak{q}_i} G(\bar{k}|E_i) \rangle \cong \mathbb{Z}/p\mathbb{Z},$$

we get

$$\sigma_{\mathfrak{q}_{m+1}}|_{E_i} \equiv (\tau_{\mathfrak{q}_i}|_{E_i})^{l_{m+1,i}} \pmod{G(\bar{k}|E_i)},$$

where $l_{m+1,i} \in \mathbb{Z}/p\mathbb{Z}$. Therefore the restriction of $\sigma_{\mathfrak{q}_{m+1}}$ to E_i is the identity if and only if the restriction of $(\sigma_{\mathfrak{q}_{m+1}})^h$ to E_i is the identity (recall that h is prime to p), and this is the case if and only if $\pi_{\mathfrak{q}_{m+1}}$ is a p -th power mod \mathfrak{q}_i . If $F_i = k(\mu_p, \sqrt[p]{\pi_{\mathfrak{q}_i}})$, then

$$\sigma_{\mathfrak{q}_{m+1}}|_{F_i}(\sqrt[p]{\pi_{\mathfrak{q}_i}}) = \sigma_{\mathfrak{q}_{m+1}}|_{(F_i)_{\mathfrak{q}_{m+1}}}(\sqrt[p]{\pi_{\mathfrak{q}_i}}) = \left(\frac{\pi_{\mathfrak{q}_i}}{\mathfrak{q}_{m+1}} \right) \sqrt[p]{\pi_{\mathfrak{q}_i}},$$

where $\left(\frac{\pi_{\mathfrak{q}_i}}{\mathfrak{q}_{m+1}} \right) \in \mu_p \subseteq (F_i)_{\mathfrak{q}_{m+1}}$ is the Hilbert symbol, see [3] §8. We have

$$\left(\frac{\pi_{\mathfrak{q}_i}}{\mathfrak{q}_{m+1}} \right) = 1 \text{ if and only if } \pi_{\mathfrak{q}_i} \equiv \alpha^p \pmod{\mathfrak{q}_{m+1}}$$

for some $\alpha \in k(\mu_p)$, i.e. the restriction of $\sigma_{\mathfrak{q}_{m+1}}$ to F_i is the identity if and only if $\pi_{\mathfrak{q}_i}$ is a p -th power mod \mathfrak{q}_{m+1} . Let $G = G(k_{S \cup \{\mathfrak{q}_{m+1}\}}^T(p)|k)$, then

$$\sigma_{\mathfrak{q}_{m+1}} \equiv \prod_{1 \leq j \leq m} (\tau_{\mathfrak{q}_j})^{l_{m+1,j}} \pmod{G_2}$$

and

$$\sigma_{\mathfrak{q}_i} \equiv \prod_{\substack{1 \leq j \leq m+1 \\ j \neq i}} (\tau_{\mathfrak{q}_j})^{l_{ij}} \pmod{G_2}$$

with $l_{ij} \in \mathbb{Z}/p\mathbb{Z}$. By the considerations above, $l_{m+1,j} = 0$ if and only if $\pi_{\mathfrak{q}_{m+1}}$ is a p -th power modulo \mathfrak{q}_j and this is the case if and only if the restriction of $\sigma_{\mathfrak{q}_{m+1}}$ to E_j is the identity, and $l_{i,m+1} = 0$ if and only if $\pi_{\mathfrak{q}_i}$ is a p -th power modulo \mathfrak{q}_{m+1} and this is the case if and only if the restriction of $\sigma_{\mathfrak{q}_{m+1}}$ to F_i is the identity.

By the Čebotarev density theorem, for every $g \in H$ there exist infinitely many primes \mathfrak{q} of k of degree equal to 1 such that $\sigma_{\mathfrak{q}} = g$. Thus we may assume that $\mathfrak{q} = \mathfrak{q}_{m+1}$ is not in T , that the underlying prime number q_{m+1} is different to q_i , $i = 1, \dots, m$, and that q_{m+1} is unramified in $K|\mathbb{Q}$. Since $\sigma_{\mathfrak{q}_{m+1}} \in H$, it follows that $q_{m+1} = N_{k|\mathbb{Q}} \mathfrak{q}_{m+1} \equiv 1 \pmod{p}$. Thus \mathfrak{q}_{m+1} satisfies (i) and (ii). Furthermore, choosing the element $g \in H$ suitable, we can extend the directed graph $\Gamma_{\tilde{S}}(p)$ by a single prime $\mathfrak{q}_{m+1} \notin T \cup S$ satisfying (i), (ii) and, in addition, (iii) with prescribed edges joining the primes of \tilde{S} to \mathfrak{q}_{m+1} and \mathfrak{q}_{m+1} to the primes of \tilde{S} . \square

Corollary 2.8 *With the notation and assumptions of (2.7) let $\#\tilde{S} \geq 2$. Then \tilde{S} can be extended to a set \tilde{S}' with $\#\tilde{S}' = 2\#\tilde{S}$ such that the linking diagram $\Gamma_{\tilde{S}'}(p)$ of $(G(k_{\tilde{S}' \cup S_p}^T(p)|k), \tilde{S}')$ is a non-singular circuit.*

Proof: Let $\tilde{S} = \{\mathfrak{q}_1, \dots, \mathfrak{q}_m\}$. We extend \tilde{S} by a single prime \mathfrak{r}_1 so that $\mathfrak{q}_1\mathfrak{r}_1$, $\mathfrak{r}_1\mathfrak{q}_2$ are edges with $\mathfrak{r}_1\mathfrak{q}_1$ not an edge. Now extend the new graph $\Gamma_{\tilde{S} \cup \{\mathfrak{r}_1\}}(p)$ by another prime \mathfrak{r}_2 so that $\mathfrak{q}_2\mathfrak{r}_2$ and $\mathfrak{r}_2\mathfrak{q}_2$ are the only new edges. Continuing in this way, we see that we can extend $\Gamma_{\tilde{S}}(p)$ to a non-singular circuit $\Gamma_{\tilde{S}'}(p)$ having $2m$ vertices. If $1 \leq i \leq m$, let $v_{2i-1} = \mathfrak{r}_i$ and $v_{2i} = \mathfrak{q}_i$. Then $v_1 \cdots v_{2m}v_1$ is the required non-singular circuit. \square

Example: Let $k = \mathbb{Q}(\zeta_p + \zeta_p^{-1})$, where p is an odd regular prime number and ζ_p a primitive p -root of unity. Then k has property (b) of theorem (2.5). Let $T = \{\mathfrak{p}_0\}$ where \mathfrak{p}_0 is a prime of k which is inert in first step of the cyclotomic \mathbb{Z}_p -extension of k . Then T has the property (*). Let $\tilde{S} = \{\mathfrak{q}_1, \dots, \mathfrak{q}_m\}$, $m \geq 2$, be a set of primes of k lying over pairwise different prime numbers q_1, \dots, q_m such that $q_i \equiv 1 \pmod{p}$, and $\mathfrak{p}_0 \notin \tilde{S}$. By (2.8), we can extend \tilde{S} to a set \tilde{S}' such that the linking diagram $\Gamma_{\tilde{S}'}(p)$ of $(G(k_{\tilde{S}' \cup S_p}^T(p)|k), \tilde{S}')$ is a non-singular circuit.

References

- [1] Koch, H. *Galoissche Theorie der p -Erweiterungen*. Deutscher Verlag der Wissenschaften (1970), English translation: Springer 2002
- [2] Labute, J. *Mild Pro- p -Groups and Galois Groups of p -Extensions of \mathbb{Q}* . J. Reine u. Angew. Math. **596** (2006), 155-182
- [3] Neukirch, J. *Algebraische Zahlentheorie*. Springer 1992, English translation: Algebraic Number Theory. Springer 1999
- [4] Neukirch, J., Schmidt, A., Wingberg, K. *Cohomology of Number Fields*. Springer 2000
- [5] Schmidt, A. *Circular sets of prime numbers and p -extensions of the rationals*. J. Reine u. Angew. Math. **596** (2006), 115-130
- [6] Vogel, D. *Circular sets of primes of imaginary quadratic number fields*. Preprints der Forschergruppe *Algebraische Zykel und L -Funktionen* Regensburg/Leipzig Nr. 5, 2006.
<http://www.mathematik.uni-regensburg.de/FGAlgZyk>

Mathematisches Institut
der Universität Heidelberg
Im Neuenheimer Feld 288
69120 Heidelberg
Germany

e-mail: wingberg@mathi.uni-heidelberg.de