

OPEN STRINGS ONLY

Lecture 9 BRST cohomology

Upshot: critical dimension and  $\alpha=1$  emerge even more naturally / intrinsically from Virasoro than in OCQ.

Disclaimers: Ideally, one should find/give a first principle, intuitive introduction/motivation physique to this method. For now, we refer to others, and give a bit of "math" lecture.

① Lie algebra cohomology

• Say  $\mathfrak{g}$  is a finite-dimensional Lie algebra (over  $\mathbb{R}$ , or perhaps  $\mathbb{C}$ ) and  $(M, \rho)$  a (complex) representation of  $\mathfrak{g}$  ( $\mathfrak{g}$ -module) and that our problem is to find all  $\mathfrak{g}$ -invariants

$$M^{\mathfrak{g}} = \{ m \in M, \rho(x)m = 0 \quad \forall x \in \mathfrak{g} \}$$

by describing them in a more natural algebraic way as "functorial gadget", such as kernel/image of some map.

• Then, this can be accomplished by noting that the map defining the rep

$$\rho: \mathfrak{g} \rightarrow \text{Hom}(M, M)$$

is also a map  $\mathfrak{g} \otimes M \rightarrow M$  and also a map

$$Q: M \rightarrow \mathfrak{g}^v \otimes M = \text{Hom}(\mathfrak{g}, M)$$

given explicitly by

$$Q(m)(x) = \rho(x)m$$

and by which

$$M^{\mathfrak{g}} = \text{Ker } Q.$$

Note: • From  $\rho: \mathfrak{g} \otimes M \rightarrow M$  we can alternatively obtain a map

$$\rho^v: M^v \rightarrow \mathfrak{g}^v \otimes M^v \quad \rho^v \in M^{vv} \otimes \mathfrak{g}^v \otimes M^v$$

which is equal to  $Q \in M^v \otimes \mathfrak{g}^v \otimes M$  if  $M^{vv} = M$ , i.e.  $M$  finite-dimensional.

•  $Q$  can be extended to a differential on a complex (Chevalley-Eilenberg)

$$\begin{aligned} M &\rightarrow \mathfrak{g}^v \otimes M \rightarrow \Lambda^2 \mathfrak{g}^v \otimes M \rightarrow \Lambda^3 \mathfrak{g}^v \otimes M \rightarrow \dots \\ Q^2 &= 0 \quad \rightarrow \Lambda^i \mathfrak{g}^v \otimes M \xrightarrow{Q^i} \Lambda^{i+1} \mathfrak{g}^v \otimes M \rightarrow \dots \end{aligned}$$

Given explicitly by

$$Q(\lambda)(x, y) = \rho(x)\lambda(y) - \rho(y)\lambda(x) - \lambda([x, y])$$

where  $\lambda \in \mathfrak{g}^v \otimes M$  and  $Q(Q(m)) = 0$  if  $\rho$  is a representation.

$$\begin{aligned} Q(\mu)(x, y, z) &= \rho(x)\mu([y, z]) - \rho(y)\mu([x, z]) + \rho(z)\mu([x, y]) \\ &\quad + \mu(x, [y, z]) - \mu(y, [x, z]) + \mu(z, [x, y]) \\ &= (\rho(x)\rho(y) - \rho(y)\rho(x))\lambda(z) - \rho(x)\lambda([y, z]) \\ &\quad - (\rho(x)\rho(z) - \rho(z)\rho(x))\lambda(y) \\ &\quad + (\rho(y)\rho(z) - \rho(z)\rho(y))\lambda(x) \\ &\quad + \rho(x)\lambda([y, z]) - \rho([y, z])\lambda(x) + \lambda([x, [y, z]]) \\ &\text{etc.} \quad = 0 \quad \text{by Jacobi.} \end{aligned}$$

will give a physics formula momentarily.

$\Lambda^* \mathfrak{g}^v \otimes M = \bigoplus_{i=1}^{\dim \mathfrak{g}^v} \Lambda^i \mathfrak{g}^v \otimes M$  is called Chevalley-Eilenberg complex. ~~Coboundary~~ with coefficients in  $M$ .

$$H^i(\mathfrak{g}, M) = \text{Ker } Q^i / \text{Im } Q^{i-1}$$

Cohomology.

Facts • If  $G$  is connected and simply connected Compact Lie gp with Lie algebra  $\mathfrak{g}$ , then

$$H_{dR}^*(G) = H^*(\mathfrak{g}, \mathbb{R})$$

•  $H^0(\mathfrak{g}, M) = M^{\mathfrak{g}}$ , and higher cohomologies measure other things. E.g.

$$H^2(\mathfrak{g}, \mathbb{R}) = [M \rightarrow \mathfrak{g} \rightarrow \mathfrak{g}]$$

Lie algebra extensions

• many other interesting, relevant for physics e.g. duality theory. / Hodge theory.

## ② Ghosts

Fact. • If  $(e_i)$  is a basis of  $\mathfrak{g}$ , with  $(c^{\alpha_i})$  the dual basis of  $\mathfrak{g}^{\vee}$  (ghosts, not to be confused with negative norm states) then

$$\Lambda^k \mathfrak{g}^{\vee} = \mathbb{C}[\mathfrak{g}^{\vee}] \text{ with } [c^i, c^j] = 0$$

$$= \Lambda^k \mathfrak{g}^{\vee} \text{ has basis } (e^{\alpha_1} \dots e^{\alpha_k}) \quad \alpha_1 < \dots < \alpha_k$$

•  $e^\alpha = c^\alpha \wedge$  :  $\Lambda^i \mathfrak{g}^\vee \rightarrow \Lambda^{i+1} \mathfrak{g}^\vee$  satisfy

$$\{c^\alpha, c^\beta\} = 0$$

and  $\Lambda^* \mathfrak{g}^\vee = \mathbb{C}[e^\alpha]$   
as a supercommutative algebra.

• Defining "anti-ghosts" as contraction or derivation

$$b_\alpha = \iota_{e_\alpha} : \Lambda^i \mathfrak{g}^\vee \rightarrow \Lambda^{i-1} \mathfrak{g}^\vee$$

$$b_\alpha (c^{\beta_1} \wedge \dots \wedge c^{\beta_i}) = \sum_{k=1}^i (-1)^{k-1} c^{\beta_1} \wedge \dots \wedge \widehat{c^{\beta_k}} \wedge \dots \wedge c^{\beta_i} \delta_\alpha^{\beta_k}$$

we have  $\{b_\alpha, b_\beta\} = 0$  and  $\{c^\alpha, b_\beta\} = \delta_\beta^\alpha$

• Namely,  $\Lambda^* \mathfrak{g}^\vee$  is Fock space for  $(\dim \mathfrak{g})$   
fermionic creation/annihilation operators  $c^\alpha, b_\beta$ .  
built on  $\Lambda^0 \mathfrak{g}^\vee = \mathbb{C} \cdot v_0$  (vacuum)

Check:  $\square \quad [e_\alpha, e_\beta] = f_{\alpha\beta}^\gamma e_\gamma$  (structure constants)

~~then~~ and  $\rho(e_\alpha) = \rho_\alpha \in \text{Hom}(M, M)$

Q:  $\Lambda^* \mathfrak{g}^\vee \rightarrow \Lambda^* \mathfrak{g}^\vee \otimes M$  can be written as

$$\begin{aligned} Q &= c^\alpha \rho_\alpha - \frac{1}{2} f_{\beta\gamma}^\alpha c^\beta c^\gamma b_\alpha \\ &= c^\alpha \rho_\alpha - \frac{1}{2} f_{\alpha\beta}^\gamma c^\alpha c^\beta b_\gamma \end{aligned}$$

$$Q^2 = \underbrace{c^\alpha c^\beta}_{\frac{1}{2} c^\alpha c^\beta} \rho_\alpha \rho_\beta - \frac{1}{2} \rho_\alpha \underbrace{f_{\beta\gamma}^\delta}_{c^\beta c^\gamma \delta^\alpha} [c^\alpha, c^\beta c^\gamma b_\delta]$$

$$\left( \begin{aligned} & \frac{1}{2} c^\alpha c^\beta [\rho_\alpha, \rho_\beta] \\ & = \frac{1}{2} c^\alpha c^\beta f_{\alpha\beta}^\gamma \rho_\gamma - \frac{1}{2} \rho_\alpha f_{\beta\gamma}^\alpha c^\beta c^\gamma = 0 \end{aligned} \right)$$

$$+ \frac{1}{4} f_{\alpha\beta}^\gamma f_{\delta\epsilon}^\zeta c^\alpha c^\beta b_\gamma c^\delta c^\epsilon b_\zeta$$

$$= \frac{1}{4} f_{\alpha\beta}^\gamma f_{\delta\epsilon}^\zeta c^\alpha c^\beta c^\delta c^\epsilon b_\gamma b_\zeta \quad \left( = 0 \text{ by symmetry / antisymmetry } \gamma \leftrightarrow \zeta \right)$$

$$\left. \begin{aligned} & + \frac{1}{4} f_{\alpha\beta}^\gamma f_{\gamma\epsilon}^\zeta c^\alpha c^\beta c^\epsilon b_\gamma \\ & - \frac{1}{4} f_{\alpha\beta}^\gamma f_{\gamma\delta}^\zeta c^\alpha c^\beta c^\delta b_\gamma \end{aligned} \right\} = \left( f_{\delta\gamma}^\zeta = -f_{\gamma\delta}^\zeta \right)$$

$$\frac{1}{2} f_{\alpha\beta}^\gamma f_{\gamma\epsilon}^\zeta c^\alpha c^\beta c^\epsilon b_\gamma = 0 \quad \text{by Jacobi-identity}$$

$$\overset{\text{fer}}{=} [[e_\alpha, e_\beta], e_\epsilon] + [[e_\beta, e_\epsilon], e_\alpha] + [[e_\epsilon, e_\alpha], e_\beta] = 0$$

$$= 0 \quad \text{on } \Lambda^3 \nu^* \otimes M.$$

For a different point of view, letting

$$\pi_\alpha = - \int_{\alpha\beta}^\gamma c^\beta b_\gamma$$

we have

$$[\pi_\alpha, c^\beta] = - \int_{\alpha\gamma}^\beta c^\gamma \quad \text{"co-adjoint"}$$

$$[\pi_\alpha, b_\beta] = \int_{\alpha\beta}^\gamma b_\gamma \quad \text{"adjoint"}$$

$$[\pi_\alpha, \pi_\beta] = \dots = \int_{\alpha\beta}^\gamma \pi_\gamma$$

i.e.  $\Lambda^x$  of  $\mathfrak{g}$  carries representation of  $\mathfrak{g}$  (induced from co-adjoint on  $\mathfrak{g}^*$ ) and

$$Q = c^\alpha p_\alpha + \frac{1}{2} c^\alpha \pi_\alpha \quad (\text{note } \frac{1}{2}!)$$

$\frac{1}{2}$  O.K. to ensure  $Q^2 = 0$  and, equally crucially

$$[Q, b_\alpha] = p_\alpha + \frac{1}{2} \pi_\alpha + \frac{1}{2} c^\beta \int_{\beta\alpha}^\gamma b_\gamma = p_\alpha + \pi_\alpha$$

Finally, we record the ghost-number operator (cohomological degree)

$$N^{gh} = c^\alpha b_\alpha$$

$$[N^{gh}, c^\alpha] = c^\alpha \quad [N^{gh}, b_\alpha] = -b_\alpha$$

and observe that if  $\mathfrak{g}$  is (real but presented as) complex Lie algebra with anti-linear involution that real structure is also induced on  $c_\alpha, b_\alpha$ .

③ Semi-infinite version

• While the above machinery is overkill for physics in finite-dimensional situations, it is actually useful in applications with infinite-dim. Lie algebras such as Virasoro; which we recall:

$$\mathcal{W} = \underbrace{\mathcal{W}_+}_{\substack{L_n \\ n > 0}} \oplus \mathcal{W}_0 \oplus \underbrace{\mathcal{W}_-}_{\substack{L_{-n} \\ n > 0}} \oplus \underbrace{c \cdot \mathbb{C}}_{\substack{\text{arouse const.} \\ \text{and do not} \\ \text{carry}}}$$

and we are interested in representations in which  $L_0$  is diagonalisable for any state  $\psi$  of finite weight. (eigenvalue: ~~weight~~ (conformal) weight)  $\Delta = h$

The difference between weight of  $\psi$  and highest (lowest) weight is called level.  $\Delta = h - h_0$ .

• To construct the ghost module in this case, we choose a polarization of  $\mathcal{W} \oplus \mathcal{W}^*$  adapted to the fact that we do "level-wise dual" (not) expect to impose  $L_n = 0$  strongly (for all  $n$ .) only for  $n \geq 0$ .



Namely, we put

$$\Lambda = \Lambda^* ( \mathcal{W}_+^v \oplus \mathcal{W}_0^v \oplus \mathcal{W}_- )$$

or in practical terms, fermionic Fock space for

$$\{ \underset{c_m}{c^n}, b_m \} = \delta_m^n$$

built on  $v_0$  with  $b_n v_0 = 0 \quad \forall n \geq 0$

$$\underset{c^{-n}}{c_n} v_0 = 0 \quad \forall n > 0$$

Then as we saw in HW,  $\Lambda$  indeed carries a representation on  $\mathcal{W}$  with

$$\underline{n \neq 0}: \quad L_n^{gh} = \sum_{m \in \mathbb{Z}} (n-m) b_{n+m} c_{-m} \quad ( = - \sum_{n,m}^k f_{nm}^k c^m b_n )$$

$$\underline{n = 0}: \quad L_0^{gh} = \frac{1}{2} [L_1, L_{-1}] = \sum_{m \geq 1} m b_{-m} c_m + \sum_{m \geq 0} m c_{-m} b_m - 1$$

$$L_{-n}^{gh} = \vdots \sum (n-m) b_{n+m} c_{-m} \vdots - \delta_{n,0}$$

$\vdots \vdots \vdots$  normal ordering - put all annihilation obs to the right.

of central charge  $c = -26$  and  $h_0 = -1$  under which

$$[L_n^{gh}, b_m] = (n-m)b_{n+m} \quad (\text{"like + like } L_{n+m})$$

$$[L_n^{gh}, c_m] = (-2n-m)c_{n+m}$$

$$([L_n^{gh}, c^m] = -\sum_{k=0}^m n^k c^k \text{ checks.})$$

In preparation we define grade  $\Lambda$  by ghost number:

$$N^{gh} = \sum_{n>0} (c_{-n} b_n - b_{-n} c_n) + \frac{1}{2}(c_0 b_0 - b_0 c_0)$$

$$\text{s.t. } [N^{gh}, c_n] = c_n \quad [N^{gh}, b_n] = -b_n$$

$$N^{gh} v_0 = -\frac{1}{2}$$

$$\underline{n>0} \quad N_n^c = c_{-n} b_n \quad N_n^b = b_{-n} c_n$$

$$N^{gh} = \sum_{n>0} (N_n^c - N_n^b) + \frac{1}{2}(c_0 b_0 - b_0 c_0)$$

$$L_0^{gh} = \sum_{n>0} (n N_n^c + n N_n^b) - 1$$

If  $(M, L_n, c)$  is "math" Virasoro module, we define on

$$\Lambda \otimes M$$

$$Q = c_{-n} L_n + \frac{1}{2} : c_{-n} L_n^{gh} : - \frac{1}{2} c_0$$

$$\left[ \begin{array}{cc} c_{-n} L_n^{gh} & n \geq 0 \\ \oplus L_n^{gh} c_{-n} & n < 0 \end{array} \right]$$

$$= c_{-n} L_n + \frac{1}{2} : c_{-n} c_{-m} b_{n+m} : (n-m) - c_0$$

$$\begin{array}{l} \parallel \\ c_{-n} c_{-m} b_{n+m} \quad n+m \geq 0 \\ b_{n+m} c_{-n} c_{-m} \quad n+m < 0 \end{array}$$

~~and~~ s.t.:

$$\begin{aligned} [Q, b_m] &= L_m + \frac{1}{2} L_m^{gh} + \frac{1}{2} \sum_{n \geq 0} (n-m) c_{-n} b_{n+m} \\ &\quad - \frac{1}{2} \sum_{n < 0} (n-m) b_{n+m} c_{-n} - \frac{1}{2} \delta_{m0} \\ &= L_m + L_m^{gh} = L_m^{tot} \end{aligned}$$

as desired.

(4) Main claim:

$$Q^2 = 0 \iff c = 26.$$

Quick argument (for sufficiency only)

$$\begin{aligned} \textcircled{1} \quad \underline{\text{so}} \quad [b_m, [L_n^{\text{tot}}, Q]] &= [L_n^{\text{tot}}, [b_m, Q]] + [[b_m, L_n^{\text{tot}}], Q] \\ &= [L_n^{\text{tot}}, L_m^{\text{tot}}] - (n-m)L_{n+m}^{\text{tot}} \\ &= \delta_{n+m} \frac{c-26}{12} (n^3 - n) = 0 \end{aligned}$$

$\Rightarrow [L_n^{\text{tot}}, Q]$  does not depend on  $c_m^h$  for any  $m$   
 but since  $[N^{\text{gh}}, Q] = 1$   $[N^{\text{gh}}, L_n^{\text{tot}}] = 0$   
 this implies  $[L_n^{\text{tot}}, Q] = 0$

$$\begin{aligned} \textcircled{2} \quad [Q, L_n^{\text{tot}}] &= [Q, \{Q, b_m\}] = \frac{1}{2} [Q^2, b_m] \\ &= [Q^2, b_m] - [Q, \{Q, b_m\}] \\ &= \frac{1}{2} [Q^2, b_m] \end{aligned}$$

$\Rightarrow Q^2$  does not depend on  $c_m$

$$\leadsto Q^2 = 0.$$

In more detail...

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NB. This argument is both necessary & sufficient and the correct one to boot.

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$$[Q, L_m] = c_{-n} [L_n, L_m]$$

$$[Q, L_m^{gh}] = [c_{-n}, L_m^{gh}] L_n + \frac{1}{2} \sum_{n \geq 0} [c_{-n} L_n^g, L_m^g] + \frac{1}{2} \sum_{n < 0} [L_n^g c_{-n}, L_m^g] - \frac{1}{2} [c_{-n}, L_m^g]$$

$$= (2m-n) c_{m-n} L_n - m c_m + \frac{1}{2} \sum_{n \geq 0} c_{-n} [L_n^g, L_m^g] + \frac{1}{2} \sum_{n < 0} [L_n^g, L_m^g] c_{-n}$$

NB: all sums locally finite

$$= (m-n) c_{-n} L_{n+m} + \frac{1}{2} \sum_{n \geq 0} (2m-n) c_{m-n} L_n^g + \frac{1}{2} \sum_{n < 0} L_n^g (2m-n) c_{m-n}$$

$$2 \cdot (\cdot) = \sum_{n \geq -m} (m-n) c_{-n} L_{n+m}^g + \sum_{n < -m} L_{n+m}^g (m-n) c_{-n}$$

$$= \sum_{n \geq 0} (m-n) c_{-n} L_{n+m}^g + \sum_{n < 0} (m-n) L_{n+m}^g c_{-n}$$

$$\pm \underline{m \geq 0} \sum_{n=-m}^{-1} (m-n) (2n+2m-n) c_m =$$

$$= \sum_{n=1}^m (m+n) (2m-n) c_m = \frac{13m^3 - m}{6} c_m$$

$$+ \underline{m < 0} \sum_{n=0}^{-m-1} -(m-n) (2n+2m-n) c_m$$

$$= \sum_{n=0}^{-m-1} (n-m) (2m+n) c_m = \frac{13m^3 - m}{6} c_m$$

~~Solve~~  $[Q, L_m^{gh}] = (m-n) c_{-n} L_{n+m} + \frac{1}{2} \sum_{n \geq 0} c_{-n} ([L_n^g, L_m^g] - L_{n+m}^g (n-m))$

$$+ \frac{1}{2} \sum_{n < 0} ([L_n^g, L_m^g] - (n-m) L_{n+m}^g) c_{-n}$$

$$+ \frac{13}{12} (m^3 - m) c_m$$

$$= (m-n) c_{-n} L_{n+m} + \frac{26}{12} (m^3 - m) c_m$$

$$\rightarrow [Q, L_m + L_m^g] = \frac{26-c}{12} (m^3 - m) c_m.$$

$$\begin{aligned} [Q, c_m] &= \frac{1}{2} \sum_{n \geq 0} c_{-n} (-2n-m) c_{n+m} - \frac{1}{2} \sum_{n < 0} (-2n-m) c_{n+m} c_{-n} \\ &= \frac{1}{2} (2n+m) c_{n+m} c_{-n} \end{aligned}$$

$$\begin{aligned} [QQ] &= [Q, c_{-n} L_m + \frac{1}{2} i c_{-n} L_m^g - \frac{1}{2} c_0] = \frac{1}{2} (2n+m) c_{n-m} c_{-n} L_m - \frac{1}{2} c_{-m} c_{-n} [L_n, L_m] \\ &+ \frac{1}{2} \sum_{m \geq 0} \left( \frac{2n-m}{2} c_{n-m} c_{-n} L_m^g - (m-n) c_{-m} c_{-n} L_{n+m} - \frac{26}{12} (m^3 - m) c_{-m} c_m \right) \\ &+ \frac{1}{2} \sum_{m < 0} \left( L_m^g \frac{2n-m}{2} c_{n-m} c_{-n} + (m-n) c_{-n} L_{n+m} c_{-m} + \frac{26}{12} (m^3 - m) c_m c_{-m} \right) \\ &- \frac{1}{2} n c_n c_{-n} \\ &= c_{-n} c_{-m} \left( [L_n, L_m] - (n-m) L_{n+m} - \frac{26}{24} \delta_{n+m} (m^3 - m) \right) \\ &+ \frac{1}{4} \sum_{m \geq 0} (2n-m) c_{n-m} c_{-n} L_m^g \\ &+ \frac{1}{4} \sum_{m < 0} (2n-m) L_m^g c_{n-m} c_{-n} \end{aligned}$$

Note at this point all sums are a priori locally finite. For the first we only have a contribution when  $n = -m$  either going to infinity  $c_{-n} c_n$  kills any state for  $n$  large enough.

For the last two: for each state there is a maximum (minimum)  $m$  from which on  $L_m^g$  will kill the state. And for each  $m$  there are max/min values of  $n$  that will kill the state. So overall ~~these are finite regions in~~  $n-m$  space the sums are restricted to finite regions in  $n-m$  space.

So we can shift  $m$  index and calling

$$L_n^{ph} = \sum_{n+k \geq 0} -(n-k) c_{-k} b_{n+k} + \sum_{n+k < 0} (n-k) b_{n+k} c_{-k} - \delta_{n0}$$

we find:  $L_n(x)$ :

$$\begin{aligned} & \sum_{\substack{n+m+k \geq 0 \\ m+n \geq 0}} f_{nmk} c_{-n} c_{-m} c_{-k} b_{n+m+k} + \sum_{\substack{n+m+k \geq 0 \\ m+n < 0}} f_{nmk} c_{-k} b_{n+m+k} c_{-n} c_{-m} \\ & + \sum_{\substack{n+m+k < 0 \\ n+m \geq 0}} -f_{nmk} c_{-n} c_{-m} b_{n+m+k} c_{-k} + \sum_{\substack{n+m+k < 0 \\ n+m < 0}} -f_{nmk} b_{n+m+k} c_{-k} c_{-n} c_{-m} \\ & - 2n c_n c_{-n} \end{aligned}$$

where all sums are locally finite, and  $f_{nmk} = (n-m)(n+m-k)$

satisfies  $f_{nmk} + f_{mkn} + f_{knm} = 0$  by Jacobi-identity so that what remains is

$$\begin{aligned} & \sum_{\substack{n+m+k \geq 0 \\ m+n < 0}} f_{nmk} (\delta_{m+k} c_{-k} c_{-m} - \delta_{n+k} c_{-k} c_{-n}) \\ & + \sum_{\substack{n+m+k < 0 \\ n+m \geq 0}} (-f_{nmk} \delta_{n+k} c_{-n} c_{-k} + f_{nmk} \delta_{m+k} c_{-m} c_{-k}) \\ & - 2n c_n c_{-n} \end{aligned}$$

$$\sum_{\substack{n \geq 0 \\ m+n < 0}} (n-m)(2m+n) c_m c_{-n} - \sum_{\substack{m \geq 0 \\ n+m < 0}} (n-m)(2n+m) c_n c_{-m}$$

$$= 2 \sum_{m < 0} c_m c_{-m} \underbrace{\sum_{n=0}^{-m-1} (n-m)(2m+n)}_{\frac{13m^3 - m}{6}}$$

$$+ \sum_{\substack{n < 0 \\ n+m \geq 0}} -(n-m)(2n+m) c_{-n} c_n + \sum_{\substack{m < 0 \\ n+m \geq 0}} (n-m)(2m+n) c_{-m} c_m$$

$$= 2 \sum_{m \geq 0} c_{+m} c_{-m} \underbrace{\sum_{n=-m}^{-1} (n-m)(2m+n)}_{\frac{13m^3 - m}{6}}$$

$$\text{So } \frac{1}{4} \cdot = \sum_m c_m c_{-m} \frac{13}{12} (m^3 - m)$$

For a grand total of

$$[\mathbb{Q}, \mathbb{Q}] = \sum_n c_{-n} c_n \frac{c-26}{12} (n^3 - n)$$

$$= 0 \iff c = 26.$$



Lecture 10 No-ghost theorem

1) Claims

To utilize the semi-infinite Lie algebra cohomology for comparison between  $\mathcal{H}_{\text{occ}}$  and  $\mathcal{H}_{\text{LCC}}$ , we consider on

$$\mathcal{O}_g = \Lambda \otimes \mathcal{F}^{\text{l.c.}} \otimes \mathcal{H}^{\perp}$$

$$\underbrace{\begin{matrix} \mathcal{F}^+ \\ \mathcal{P}^+ \end{matrix} \otimes \begin{matrix} \mathcal{F}^- \\ \mathcal{P}^- \end{matrix}}_{\mathcal{F}}$$

transverse modes, unitary rep of  $\mathcal{W}$  with  $c=24$  and (say) discrete spectrum of  $L_0^{\perp}$ .

$$\mathcal{Q} = c_{-n} \underbrace{\left( L_n^{\text{l.c.}} + L_n^{\perp} \right)}_{L_n} + \frac{1}{2} : c_{-n} L_n^{\text{gh}} : - \frac{1}{2} c_0 \quad \mathcal{Q}^2 = 0$$

w.r.t. ghost number

$$N^{\text{gh}} = \sum_{n>0} c_{-n} b_n - b_{-n} c_n + \frac{1}{2} (c_0 b_0 - b_0 c_0)$$

$\downarrow$   
 $N_n^c$

$\downarrow$   
 $N_n^b$

we have a decomposition

$$\mathcal{O}_g = \bigoplus_{i \in \mathbb{Z} + \frac{1}{2}} G^i$$

$$v_0 \otimes \mathcal{F}^{\text{l.c.}} \otimes \mathcal{H}^{\perp} \subset G^{-\frac{1}{2}}$$

when all the action is. (but note  $G^{-\frac{1}{2}}$  contains many more states)

and  $[N^{\text{gh}}, \mathcal{Q}] = \mathcal{Q}$ .

Rough

Claim: Up to zero modes  $(c_0, b_0, L_0, \mathcal{P}^+, \mathcal{P}^-)$ ,  $\Lambda$  cancels  $\mathcal{F}^{\text{l.c.}}$  in cohomology (so  $\mathcal{H}_{\text{LCC}} \sim \mathcal{H}^{\perp}$ ), while alternatively,  $\text{Ker } \mathcal{Q} = \tilde{\mathcal{F}}_{\text{phys}}$   $\text{Im } \mathcal{Q} = \tilde{\mathcal{F}}_{\text{null}}$ , so  $H^*(\mathcal{O}_g) = \mathcal{H}_{\text{occ}}$ .

More precisely, we claim:

(i)  $H^i(\mathcal{O}_g) = 0$  unless  $i = -\frac{1}{2}$  or  $+\frac{1}{2}$

$\rho^+ \neq 0$   $H^{-\frac{1}{2}}(\mathcal{O}_g) \cong \mathcal{H}_{LCC} = \{ \psi \in \mathcal{H}_0^+, (L_0^+ - 1)\psi = 2\alpha' \rho^+ \rho^- \psi \}$

~~is possibly empty most of the time, if  $L_0^+$  has discrete spectrum~~  
No!

$\mathcal{Q}_0: H^{-\frac{1}{2}} \rightarrow G^{\frac{1}{2}}$  induces isomorphism  $H^{\frac{1}{2}} \rightarrow H^{\frac{1}{2}}$

(ii) The map  $\mathcal{F} \rightarrow G^{-\frac{1}{2}}$   $\psi \mapsto \nu_0 \otimes \psi \in G^{-\frac{1}{2}}$   
induces isomorphism  $\mathcal{H}_{OCQ} = H^{-\frac{1}{2}}$   
i.e.,

$(L_n - \alpha \alpha_n) \psi = 0 \Rightarrow \mathcal{Q}(\nu_0 \otimes \psi) = 0$

and  $\|\psi\|^2 = 0 \iff \psi = \mathcal{Q}\chi$   
'both directions!'

→ Inner products  
~~For this to fully imply "no ghosts", it is important~~

→ We have two representations of the same cohomology, but for this to fully imply "no ghosts", it is important that the inner product be the same, whether induced from  $\mathcal{F}$  or only from  $\mathcal{F}^+$  (via  $\mathcal{H}_{LCC}$ ). This is ensured by defining an inner product on  $\mathcal{O}_g$  via  $\mathcal{H}_{OCQ}$  which  $\mathcal{Q} = \mathcal{Q}^*$ , and which agrees with the two others. Such that well-defined on  $H^*$  in the presentations.

The natural way to ensure  $Q^\dagger = Q$ , as alluded to before, is to give  $c_n$ 's (and  $b_n$ 's) the same reality as  $L_n$ , i.e.

$$c_n^\dagger = c_{-n} \quad b_n^\dagger = b_{-n}$$

This implies, N.B., that creation & annihilation ops. are not adjoint of each other. More precisely

$$N^{gh\dagger} = -N^{gh}$$

implies that

$$\Lambda^i \perp \Lambda^j \text{ unless } i = -j$$

which exhibits convenience of half-integer grading.

We normalize inner product by

$$\langle v_0 | \underbrace{c_0 v_0}_{+\frac{1}{2}} \rangle = 1 \quad \text{which implies the rest.}$$

$-\frac{1}{2}$

Then, if  $Q$  on  $\mathcal{F}$ , use product with inner product on  $\mathcal{F}$ .

Then, if  $Q\psi = 0$ ,  $\psi = Q\chi$

$$\langle \psi, \psi \rangle = \langle Q\chi, \psi \rangle = \langle \chi, Q^\dagger \psi \rangle$$

$\Rightarrow \langle \cdot, \cdot \rangle$  well-defined on cohomology.

Preliminary remark about  $\mathcal{H}_{\text{occ}} = \mathcal{H}_{\text{BRST}}$

Let  $\tilde{\mathcal{H}}$  ~~be~~ <sup>temporarily</sup> we assume (i) and moreover that the inner product on  ~~$\tilde{\mathcal{H}}$~~   $H^{-1/2}$  by

$$(\varphi, \psi) = \langle \varphi | \mathcal{G} | \psi \rangle$$

agrees with the one on  $\mathcal{H}_{\text{LCC}}$  (and is therefore p.d.f.)

Then we note that if  $\psi \in \mathcal{F}_{\text{phys}}$   $(L_n - \delta_{n,0}) \psi = 0 \quad n \geq 0$   
then

$$Q(v_0 \otimes \psi) = \sum_{n \geq 0} c_{-n} v_0 \otimes (L_n - \delta_{n,0}) \psi = 0$$

Moreover

$$\|\psi\|^2 = \langle v_0 \otimes \psi | \mathcal{G} | v_0 \otimes \psi \rangle = (v_0 \otimes \psi, v_0 \otimes \psi) = 0$$

$$\Leftrightarrow v_0 \otimes \psi = Q(-)$$

This shows:

$\mathcal{H}_{\text{occ}} \rightarrow \mathcal{H}_{\text{BRST}}$  is well-defined and injective

(suspectiveness later)  
or not

③ Aside - but important!

$\mathcal{F}^{l.c.}$   
||

This oddity of  $\Lambda$  is very similar to that of  $\mathcal{F}^{l.c.}$ , in that annihilation operators for  $\alpha_{-n}^-$  are  $\alpha_n^+$  and vice-versa.

More succinctly, defining

$$N^{l.c.} = \sum_{n>0} \frac{1}{n} (\alpha_{-n}^+ \alpha_n^- - \alpha_{-n}^- \alpha_n^+) = N_n^+ + N_n^-$$

~~we have~~  $[N^{l.c.}, \alpha_{\pm n}^\pm] = \mp \alpha_{\pm n}^\pm$

$$([\alpha_n^+, \alpha_m^-] = -n \delta_{nm}, \quad \eta^{+-} = \eta^{-+} = -1)$$

we can decompose  $\mathcal{F}^{l.c.} = \bigoplus_i \mathcal{F}^{l.c.i}$

and because of  $N^{l.c.+} = -N^{l.c.}$  we have

$$\mathcal{F}^{l.c.i} \perp \mathcal{F}^{l.c.j} \text{ unless } i = -j.$$

→ so cancelling  $\mathcal{F}^{l.c.} \leftrightarrow \Lambda$  seems plausible.

(Note however zero modes are different.)

→ our complex is in fact bi-graded

$$\mathcal{O}_g = \bigoplus_{\substack{i \in \mathbb{Z} + \frac{1}{2} \\ j \in \mathbb{Z}}} G^{i,j}, \text{ which cries out for spectral sequence.}$$

4) Zero modes

• There is in fact a third compatible grading by the Virasoro weight (eigenvalue of  $L_0 = L_0^{str} + L_0^{osc} + L_0^{\text{ghost}}$ ; diagonalizable).  $\mathcal{O}_j = \bigoplus \mathcal{O}_{j,h} = \bigoplus G_h^{ij}$

• Since  $[Q, L_0] = 0$  we can compute  $H^\vee$  for each  $h$  separately, and since  $[Q, b_0] = L_0$  we in fact have

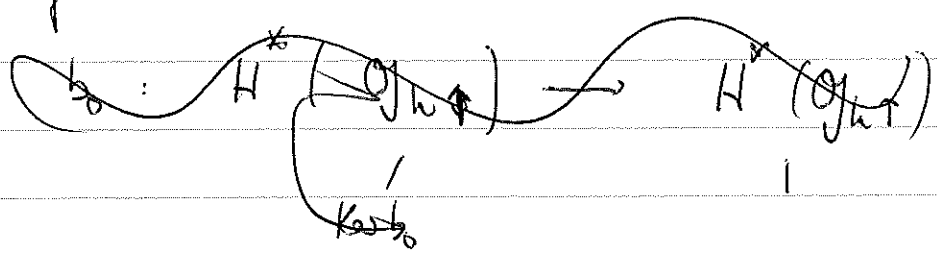
$$H^\vee(\mathcal{O}_j) = H^\vee(\mathcal{O}_{j,0}).$$

• Because  $b_0, c_0$  form fermionic ann/creation pair, we can decompose  $\mathcal{O}_j$  and each  $\mathcal{O}_{j,h}$  (b/c  $[L_0, b_0] = 0$ ) in  $\text{Ker } c_0 + \text{Ker } b_0$ .

$$\mathcal{O}_{j,h} = \text{Ker } c_0 \overset{b_0}{\oplus} \text{Ker } b_0$$

where  $b_0, c_0$  are isomorphisms.

On  $\mathcal{O}_{j,0}$ , we have  $[Q, b_0] = L_0 = 0$ , and therefore



$b_0: \mathcal{O}_{h,1} \xrightarrow{c_0} \mathcal{O}_{h,1}$  is isomorphism of vector spaces  $\forall h$ .

$[Q, b_0] = L_0 = 0$  on  $\mathcal{O}_{j_0}$ ,  $c_0, b_0$  is in fact iso of complexes at  $h=0$

$$\Rightarrow b_0 = H^*(\mathcal{O}_{j_0,1}) \longrightarrow H^*(\mathcal{O}_{j_0,0})$$

is an isomorphism.

$\rightarrow$  it is sufficient to calculate

$$H^*(\mathcal{O}_{j_0,0}) = \bigoplus_{i,j} G_{0,0}^{ij}$$

⑤ Main calculation

while  $[N^{gh}, Q] = 0$ , this is not true of  $N^{l.c.}$  however, it is easy to see that  $Q$  changes  $N^{l.c.}$  by at most  $\pm 1$ . We write

$$Q = Q_1 + Q_0 + Q_{-1} \quad \text{where } [N^{l.c.}, Q_j] = j Q_j$$

where

$$Q_{-1} = - \sum_{n \neq 0} c_{-n} \alpha_0^+ \alpha_n^-$$

(all other terms contain either + and - oscillators  $\alpha_p^+ \alpha_{n-p}^-$  or none at all  $\alpha_0^+ \alpha_0^-$ )

$$\alpha_0^+ = \sqrt{2\alpha'} p^+$$

So we calculate  
cohomology  
of  $Q_1$ , first.

(121)

$$Q_1 = -\sqrt{2\alpha'} p^+ \sum_{n \neq 0} c_{-n} \alpha_n^-$$

easy to see (e.g. degree reasons or direct calculation)  $Q_1^2 = 0$ .

(Similar expression for  $Q_{-1}$ , but  $Q_0$  is all the rest).

Assuming  $p^+ \neq 0$ , we define

$$R = \frac{1}{\sqrt{2\alpha'} p^+} \sum_{m \neq 0} \alpha_{-m}^+ b_m \quad \left( \begin{array}{l} \text{a kind of c/a dual of } Q_1 \\ \text{creation/annihilator} \end{array} \right)$$

Then

$$[Q_1, R] = \sum_n n c_{-n} b_n + - \alpha_{-n}^+ \alpha_n^-$$

(normal ordering required)  
for  $n < 0$ !

$$= \sum_{n > 0} n c_{-n} b_n + n b_{-n} c_n - \alpha_{-n}^+ \alpha_n^- - \alpha_{-n}^- \alpha_n^+$$

$$= \sum_{n \geq 1} n (N_n^c + N_n^b + N_n^+ + N_n^-)$$

$$=: S_0^d \quad \text{— a part of } L_0$$

— counts the level in ghosts & light ~~like~~ oscillator core

Then  $S_0^d$  is diagonalizable and  $Q_1$  invariant (since  $Q_1$  exact).

general principle  $\Rightarrow H^*(G_{01}^{ij}, Q_1) = H^*(G_{01}^{ij} \cap \text{Ker } S_0^d, Q_1)$



Idea of spectral sequence: calculate cohomology of  $\mathbb{Q} = \mathbb{Q}_1 + \mathbb{Q}_0 + \mathbb{Q}_{-1}$  by first calculating cohomology of  $\mathbb{Q}_1$ , then correct for the rest.

It is easy to see that  $\mathbb{Q}_1 \mid \text{Ker } S_0 \equiv 0$  identically (one of  $c_{-n} \alpha_n^-$  is annihilation operator.)

$$\Rightarrow H^x(\mathbb{Q}_{05}^{ij}, \mathbb{Q}_1) = \text{Ker } S_0 \text{ in degree } i = -\frac{1}{2} \quad j=0$$
$$= \mathbb{K} \otimes \mathcal{H}_{LCC} \text{ ~~completely~~}$$

Also note that  $\mathbb{Q}_1^+ = \mathbb{Q}_1$  and that

$$(\psi, \varphi) = \langle \psi, S_0 \varphi \rangle = \langle \varphi, \varphi \rangle_{LCC} \text{ under this isomorphism.}$$

### 6) The good stuff

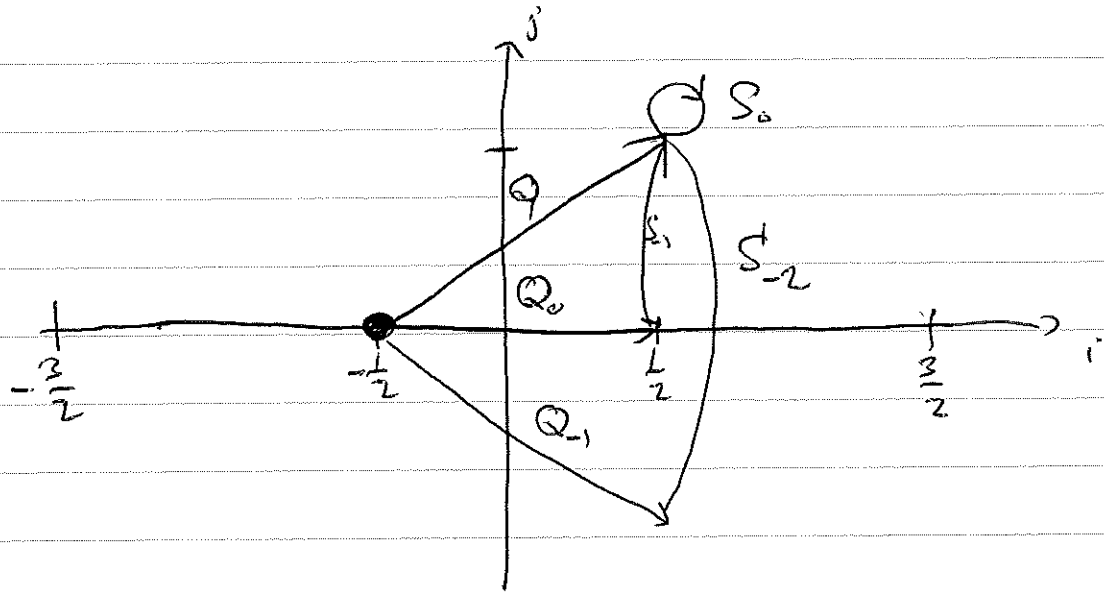
The fact that  $\mathbb{Q}_1 \mid \text{Ker } S_0 = 0$  follows more abstractly from  $\mathbb{Q}[\mathbb{Q}_1, S_0] = 0$ , that  $\mathbb{Q}_1$  has ghost number 1, while  $\text{Ker } S_0$  is completely in ghost number  $-\frac{1}{2}$ .

$$N^g = \frac{1}{2}$$

$$S_0 \psi = 0 \Rightarrow \mathbb{Q}_1 S_0 \psi = S_0 \mathbb{Q}_1 \psi = 0$$
$$N^g = -\frac{1}{2} \Rightarrow \mathbb{Q}_1 \psi = 0 \quad \text{invertible in this ghost degree.}$$

Now return to  $Q = Q_0 + Q_{-1} + Q_{-2}$  and consider

$$S := [Q, R] = S_0 + S_{-1} + S_{-2}$$



For same reason as before

$$H^*(G_{\text{cl}}^{i,j}, Q) = H^*(G_{\text{cl}}^{i,j} \cap \text{Ker } S', Q)$$

and  $Q|_{\text{Ker } S'} = 0$  follows if we can establish the claim

$$\text{Ker } S' \cong \text{Ker } S_0 \quad \text{and} \quad \text{Ker } S' \text{ is all in ghost degree } -\frac{1}{2}$$

Pf.  $S_0 \psi_0 = 0$ , let  $u = S - S_0 = S_{-1} + S_{-2}$

def  $\psi = \underbrace{\psi_0}_{N=0} = \underbrace{S_0^{-1} u \psi_0}_{N=-1} + S_0^{-1} u S_0^{-1} u \psi_0 - \dots$   
 $S_0$  invertible here

Then up to a mild analytic issue,

$$S^2 \psi = 0.$$

Conversely, let  $S^2 \psi = 0$ ,

$$\psi = \psi_n + \psi_{n-1} + \dots$$

Then  $S^2_0 \psi_n = 0 \Rightarrow n=0$  and if  $\psi_0 = 0$  all  $\psi_{-1}, \psi_{-2}, \dots$  are also 0.

In other words,  $\psi \in K\mathcal{S}^1$  is fully determined by its  $N^{l.e.} = 0$  component. The map

$$K\mathcal{S}^1 \ni \psi \rightarrow \psi_0 \in K\mathcal{S}_0$$

is one-to-one, onto.

Moreover, because of  $N^{l.e.} = -N^{l.e.}$  as observed before, the inner product is given by that on  $N^{l.e.} = 0$  component.

This proves all claims in (i).

For (ii) remains to show surjectivity. In fact (see Polchinski) one can show that state  $\psi$  attached to  $\psi_0$  in above fashion is if the image of  $\Gamma_{loc} \rightarrow \Gamma_{loc}^*$  representative of cohomology class.

• What Polchinski does not discuss, but can be found in other sources, is what happens when both

$$p^+ = p^- = 0$$

→ It appears that in that case,

$$H^i(\sigma_j) = 0 \quad \forall i \text{ except } i = \pm 3/2, \text{ when it is one-dimensional}$$

$$\begin{cases} H^{-3/2}(\sigma_j) = \mathbb{C} \cdot b_{-1} v_0 \\ H^{3/2}(\sigma_j) = \mathbb{C} \cdot c_{-1} v_0 \end{cases}$$

"the string vacuum"

(In other formalisms, one presumably has to add this by hand.)