

# Filters, Nets and Tychonoff's Theorem

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## Our problem

$$X = \prod_{i \in I} X_i$$

## Our solution

- Nets
- Filters
- Equivalence of the theories derived from filters and nets
- Ultrafilters
- Subbases and the product topology
- Alexander subbase theorem
- Corollary Tychonoff's theorem

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- Corollary Tychonoff's theorem

## Definition (directed set)

A set  $A$  with a relation  $\leq$  is **directed**, if

- 1  $\alpha \leq \alpha$ ,  $\forall \alpha \in A$  (reflexive),
- 2 if  $\alpha \leq \beta$  and  $\beta \leq \gamma$ , then  $\alpha \leq \gamma$  (transitive),
- 3  $\forall \alpha, \beta \exists \gamma$ , s.t.  $\alpha \leq \gamma$  and  $\beta \leq \gamma$ .

Examples :  $\mathbb{N}$  and  $\mathbb{R}$ .

## Definition (net)

A **net in  $X$**  is a map  $x$  from a directed set  $A$  on another set  $X$ .

We write  $x(\alpha) = x_\alpha$ .

Example : if  $A = \mathbb{N}$  and  $X = \mathbb{R}$ , then we get the sequences in  $\mathbb{R}$ .

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## Definition (filter)

A **filter** in  $X$   $\mathcal{F}$  is a non-empty collection of subsets of  $X$ , s.t.

- 1 if  $F \in \mathcal{F}$  and  $F \subset G$ , then  $G \in \mathcal{F}$ ,
- 2 if  $F_1, F_2 \in \mathcal{F}$ , then  $F_1 \cap F_2 \in \mathcal{F}$ ,
- 3  $\emptyset \notin \mathcal{F}$ .

## Example

- The collection of subsets of  $\mathbb{R}$  containing 0,
- the collection of neighbourhoods of a point  $p$  in any space.

# Equivalence of the Theories derived from Filters and Nets

## Lemma

*We can construct a filter from a net and vice versa.*

## Proof.

- For  $(x_\alpha)_{\alpha \in A}$  define  $F(\alpha) := \{x_\beta : \beta \geq \alpha\}$  and hence  $\mathcal{F}((x_\alpha)_{\alpha \in A})$  are the sets containing an  $F(\alpha)$ ,
- For  $\mathcal{F}$ , just pick  $x_F$  in  $F \in \mathcal{F}$ .



## Theorem

*The theories derived from filters and nets are equivalent.*

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## Lemma (Existence of ultrafilters)

*Every filter in  $X$  is contained in a maximal filter, called **ultrafilter**.*

## Proof.

Apply **Zorn's Lemma** on the collection of all filters  $X$  with the relation  $\subset$ . □

# Subbases and the Product Topology

## Definition (Subbase)

A collection of sets  $(S_i)_{i \in I}$  is called a **subbase**, if any open set is the union of such sets  $S_{i_1} \cap \cdots \cap S_{i_n}$ .

Example : The collection of sets  $(-\infty, b)$  and  $(a, \infty)$  with arbitrary  $a$  and  $b$  in  $\mathbb{R}$ .

## Definition (product topology)

The **product topology** on  $X = \prod_{i \in I} X_i$  has as subbase the collection  $\{p_i^{-1}(U) : U \text{ open in } X_i\}$ .

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# Alexander Subbase Theorem and Tychonoff's Theorem

## Theorem (Alexander subbase theorem)

*A set  $X$  is compact, if for one subbase every cover of its elements has got a finite subcover.*

## Proof.

Only important point : we use **ultrafilters!** □

## Theorem (Tychonoff's theorem, proven by E. Čech in 1937)

*The product of compact sets over an arbitrary indexset is again compact in the product topology.*

## Applications

- Banach Alaoglu uses that  $\prod_{x \in X} \bar{B}_{\|x\|}(0)$  is compact,
- the p-adic integers are compact  $\mathbb{Z}_p \subset \prod_k \mathbb{Z}/p^k\mathbb{Z}$ .

## Further ideas

- Tychonoff's Theorem is equivalent to Zorn' Lemma and the Axiom of Choice,
- we proved  $X = \prod_{i \in I} X_i$  is compact, but  $\bigcup_{i \in I} K_i$  isn't !