

HOMOTOPY INVARIANCE OF TAME HOMOTOPY GROUPS OF REGULAR SCHEMES

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The étale homotopy groups of schemes as defined by Artin and Mazur [AM69] have the disadvantage of being homotopy invariant only in characteristic zero. This and other related problems led to the definition of the *tame* topology which is coarser than the étale topology by disallowing wild ramification along the boundary of compactifications, see [HS21]. The objective of this paper is to show that the associated tame homotopy groups are indeed (\mathbb{A}^1) -homotopy invariant, at least for regular schemes.

1. THE TAME SITE

Let S be a (base scheme) and X an S -scheme. We recall the definition of the tame site $(X/S)_t$ from [HS21].

By a valuation on a field we mean a non-archimedean valuation, not necessarily discrete or of finite rank. The trivial valuation is included. If v is a valuation, we denote by $\mathcal{O}_v, \mathfrak{m}_v$ and $k(v)$ the valuation ring, its maximal ideal and the residue field. If v is a valuation on K , we denote by $\mathcal{O}_v, \mathfrak{m}_v$ and $k(v)$ the valuation ring, its maximal ideal and the residue field. By \mathcal{O}_v^h and \mathcal{O}_v^{sh} we denote the henselization and strict henselization of \mathcal{O}_v and by K_v^h and K_v^{sh} their quotient fields.

Let v be a valuation on K and w an extension of v to a finite separable extension field L/K . We call w/v *unramified* if $\mathcal{O}_v \rightarrow \mathcal{O}_w$ is étale, i.e., $L_w^{sh} = K_v^{sh}$, and *tamely ramified* if the field extension L_w^{sh}/K_v^{sh} is of degree prime to the residue characteristic $p = \mathrm{char} k(v)$. In this case L_w^{sh}/K_v^{sh} is automatically Galois with abelian Galois group of order prime to p . If L/K is Galois, then w/v is unramified (resp. tamely ramified) if and only if the inertia group $T_w(L/K)$ (resp. the ramification group $R_w(L/K)$) is trivial. (See [Ray70] and [EP05].)

Let $f : X \rightarrow S$ be a scheme morphism. An S -*valuation* on X is a valuation v on the residue field $k(x)$ of some point $x \in X$ such that there exists a morphism

$\varphi : \text{Spec}(\mathcal{O}_v) \rightarrow S$ making the diagram

$$\begin{array}{ccc} \text{Spec}(k(x)) & \longrightarrow & X \\ \downarrow & & \downarrow f \\ \text{Spec}(\mathcal{O}_v) & \xrightarrow{\varphi} & S \end{array}$$

commutative (if S is separated, φ is unique if it exists). The set of all S -valuations is denoted by $\text{Val}_S X$. We denote elements of $\text{Val}_S X$ in the form (x, v) , $x \in X$, $v \in \text{Val}_S(k(x))$.

Definition 1.1. The *tame site* $(X/S)_t$ consists of the following data:

The category $\text{Cat}(X/S)_t$ is the category of étale morphisms $p : U \rightarrow X$.

A family $(U_i \rightarrow U)_{i \in I}$ of morphisms in $\text{Cat}(X/S)_t$ is a covering if it is an étale covering and for every point $(u, v) \in \text{Val}_S(U)$ there exists an index i and a point $(u_i, v_i) \in \text{Val}_S U_i$ mapping to (u, v) such that v_i/v is (at most) tamely ramified.

There are obvious morphisms of sites

$$X_{\text{et}} \xrightarrow{\alpha} (X/S)_t \xrightarrow{\beta} X_{\text{Nis}}.$$

In particular, every étale sheaf is a tame sheaf.

A *tame point* of X/S is a pair (\bar{x}, \bar{v}) where $\bar{x} : \text{Spec} k(\bar{x}) \rightarrow X$ is a morphism from the spectrum of a field to X and \bar{v} is an S -valuation on $k(\bar{x})$ such that $k(\bar{x})$ does not admit a nontrivial, finite, separable extension to which \bar{v} has a tamely ramified extension. In other words, $k(\bar{x})$ is strictly henselian with respect to \bar{v} and the absolute Galois group of $k(\bar{x})$ is a pro- p group, where p is the residue characteristic of \bar{v} (if $p = 0$ this means that the group is trivial).

If \bar{v} is the trivial valuation, we call the point (\bar{x}, \bar{v}) *trivial point*. Note that (regardless of the residue characteristic) the field $k(\bar{x})$ of a trivial point is separably closed.

A tame point (\bar{x}, \bar{v}) induces a morphism of sites

$$\bar{x} : (\text{Spec} k(\bar{x}) / \text{Spec} \mathcal{O}_{\bar{v}})_t \rightarrow (X/S)_t.$$

By [HS21, Lemma 2.5], the inverse image sheaf functor \bar{x}^* is exact. Since every tame covering of $\text{Spec}(k(\bar{x}))$ splits, the global sections functor on $(\text{Spec} k(\bar{x}) / \text{Spec} \mathcal{O}_{\bar{v}})_t$ is exact. Therefore the functor “stalk at \bar{x} ”

$$\mathcal{F} \mapsto \mathcal{F}_{\bar{x}} := \Gamma(\text{Spec} k(\bar{x}), \bar{x}^* \mathcal{F}),$$

is a topos-theoretical point of $(X/S)_t$. The trivial points correspond to the usual geometric points of the étale site (followed by $\alpha : X_{\text{et}} \rightarrow (X/S)_t$).

Lemma 1.2. *A tame sheaf $\mathcal{F} \in \text{Sh}_t(X/S)$ is trivial if and only if its stalks at all tame points are trivial. In particular, the site $(X/S)_t$ has enough points.*

Proof. See [HS21, Lemma 2.10]. □

The following theorem lists some of the properties of the tame site proven in [HS21]:

Theorem 1.3. *Let X be an S -scheme and let $\alpha : X_{\text{et}} \rightarrow (X/S)_t$ be the natural morphism of sites. Then the following hold:*

- (i) *(Topological invariance, [HS21, Proposition 3.1]) If $X' \rightarrow X$ is a universal homeomorphism of S -schemes, then the sites $(X/S)_t$ and $(X'/S)_t$ are isomorphic.*

- (ii) (Comparison with étale cohomology for invertible coefficients, [HS21, Proposition 8.1]) Let $\mathcal{F} \in \text{Sh}_{\text{ét}}(X)$ be an abelian sheaf with $m\mathcal{F} = 0$ for some m which is invertible on S . Then the natural map

$$H_t^n(X/S, \alpha_* \mathcal{F}) \cong H_{\text{ét}}^n(X, \mathcal{F})$$

is an isomorphism for all $n \geq 0$.

- (iii) (Comparison with étale cohomology for proper schemes, [HS21, Proposition 8.2]) Assume that S is quasi-compact and quasi-separated and that $X \rightarrow S$ is proper. Assume moreover that every finite subset of X is contained in an affine open. Then, for every sheaf \mathcal{F} of abelian groups on $(X/S)_t$ the natural map

$$H_t^n(X/S, \mathcal{F}) \rightarrow H_{\text{ét}}^n(X, \alpha^* \mathcal{F})$$

is an isomorphism for all $n \geq 0$.

- (iv) (Finite subcoverings, [HS21, Theorem 4.1]) Assume that S is quasi-compact and quasi-separated and that X is quasi-compact. Then every tame covering of X admits a finite subcovering.
- (v) (Colimits of sheaves, [HS21, Theorem 4.5]) Assume that S and X are quasi-compact and quasi-separated and let (\mathcal{F}_i) be a filtered direct system of abelian sheaves on $(X/S)_t$. Then

$$\text{colim}_i H_t^n(X/S, \mathcal{F}_i) \cong H_t^n(X/S, \text{colim}_i \mathcal{F}_i)$$

for all $n \geq 0$.

- (vi) (Limits of schemes, [HS21, Theorem 4.6]) Let $(f_i : X_i \rightarrow S_i)_{i \in I}$ be a filtered inverse system of scheme morphisms with affine transition morphisms and assume that all S_i and X_i are quasi-compact and quasi-separated. Denote by $X \rightarrow S$ its inverse limit. Assume that $i_0 \in I$ is a final object and let \mathcal{F}_0 be a sheaf of abelian groups on $(X_{i_0}/S_{i_0})_t$. For $i \in I$ denote by \mathcal{F}_i its pullback to $(X_i/S_i)_t$ and by \mathcal{F} its pullback to $(X/S)_t$. Then the natural map

$$\text{colim}_{i \in I} H_t^n(X_i/S_i, \mathcal{F}_i) \longrightarrow H_t^n(X/S, \mathcal{F})$$

is an isomorphism for all $n \geq 0$.

2. THE PROFINITE TAME FUNDAMENTAL GROUP

The étale fundamental group of a geometrically pointed, connected scheme (X, \bar{x}) was defined in [SGA1] as the automorphism group of the fibre functor

$$F_{\bar{x}} : \text{FÉt}_X \longrightarrow \text{sets}, (Y \rightarrow X) \longmapsto \pi_0(Y \times_X \bar{x}),$$

where FÉt_X is the Galois category of finite étale X -schemes. This is a profinite group. To distinguish it from other constructions, we denote it by $\hat{\pi}_1^{\text{ét}}(X, \bar{x})$ and call it the *profinite étale fundamental group*.

A similar procedure yields the *profinite tame fundamental group* $\hat{\pi}_1^t(X/S, \bar{x})$ of a connected S -scheme X :

Definition 2.1. Let X be a connected S -scheme. A finite étale morphism $f : Y \rightarrow X$ is called *finite tame cover* if for every(!) $y \in Y$ and $w \in \text{Val}_S k(y)$ the extension $k(y)/k(f(y))$ is at most tamely ramified at w . We define the category $\text{FT}_{X/S}$ as the category with objects the finite tame covers of X and with S -morphisms as morphisms.

Remark 2.2. Let $Y \rightarrow X$ be an étale *Galois* cover. Since the Galois group acts transitively on the fibres, we have

$$Y \in \text{FT}_{X/S} \iff Y \rightarrow X \text{ is a covering in } (X/S)_t.$$

Now let (\bar{x}, \bar{v}) be a tame point of X/S . Then

$$F_{(\bar{x}, \bar{v})} : \mathrm{FT}_{X/S} \longrightarrow \mathrm{sets}, \quad (Y \rightarrow X) \longmapsto \pi_0(Y \times_X \bar{x})$$

is a fibre functor on the Galois category $\mathrm{FT}_{X/S}$.

Definition 2.3. We denote the automorphism group of the fibre functor $F_{(\bar{x}, \bar{v})}$ by $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$. It is a profinite group that we call the *profinite tame fundamental group* of X/S .

By the general theory of Galois categories we obtain from [SP, TAG 0BN4]:

Proposition 2.4. *Let X be a connected S -scheme and let (\bar{x}, \bar{v}) be a tame point of X/S . Then the fibre functor induces a natural equivalence between $\mathrm{FT}_{X/S}$ and the category of finite discrete $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ -sets.*

By topological invariance, $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ only depends on the reduction X_{red} of X . The open subgroups of $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ correspond to the connected finite tame covers of X (Galois if and only if the subgroup is normal). If (\bar{y}, \bar{w}) is another tame point, then the groups $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ and $\hat{\pi}_1^t(X/S, (\bar{y}, \bar{w}))$ are isomorphic, the isomorphism being unique up to inner automorphisms. Any commutative diagram

$$\begin{array}{ccc} X & \longrightarrow & X' \\ \downarrow & & \downarrow \\ S & \longrightarrow & S' \end{array}$$

induces a homomorphism $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v})) \rightarrow \hat{\pi}_1^t(X'/S', (\bar{x}', \bar{v}'))$, where (\bar{x}', \bar{v}') is the point of X'/S' induced by (\bar{x}, \bar{v}) .

For a geometric point $\bar{x} = (\bar{x}, \mathrm{triv})$ we obtain a natural surjection

$$\hat{\pi}_1^{\mathrm{et}}(X/S, \bar{x}) \twoheadrightarrow \hat{\pi}_1^t(X/S, \bar{x})$$

which is an isomorphism if $X \rightarrow S$ is proper.

Our objective is to show that the profinite tame fundamental group is homotopy invariant on normal schemes.

Lemma 2.5. *Let X be a connected, normal scheme, $K = k(X)$, L/K an algebraic field extension and $Y = X_L$ the normalization of X in L . Then \mathbb{A}_Y^1 is the normalization of \mathbb{A}_X^1 in the field extension $k(\mathbb{A}_Y^1)/k(\mathbb{A}_X^1)$.*

Proof. We may assume that $X = \mathrm{Spec}(A)$ is affine, hence $Y = \mathrm{Spec}(B)$, with $B = A_L$ the integral closure of A in L . Then $B[T] \subset L(T)$ is integral over $A[T] \subset K(T)$. On the other hand, by [Bou89, V §1 Cor. 1 to Prop. 13], $B[T]$ is integrally closed. This completes the proof. \square

Theorem 2.6 (Homotopy invariance of the profinite tame fundamental group of normal schemes). *Let X be a normal connected S -scheme and (\bar{y}, \bar{w}) a tame point of \mathbb{A}_X^1/S with image (\bar{x}, \bar{v}) in X . Then the projection $pr : \mathbb{A}_X^1 \rightarrow X$ induces an isomorphism*

$$pr_*^{X/S} : \hat{\pi}_1^t(\mathbb{A}_X^1/S, (\bar{y}, \bar{w})) \longrightarrow \hat{\pi}_1^t(X/S, (\bar{x}, \bar{v})).$$

Proof. Since the fundamental group is independent of the base point, we may assume that $\bar{y} = (\bar{y}, \mathrm{triv})$ is a geometric point lying over the generic fibre \mathbb{A}_η^1 of \mathbb{A}_X^1 , where $\eta \in X$ is the generic point.

Since the zero section to pr is S -equivariant, we conclude that $pr_*^{X/S}$ is surjective. We have a commutative diagram

$$\begin{array}{ccccc} \pi_1^t(\mathbb{A}_\eta^1/\eta, \bar{y}) & \longrightarrow & \pi_1^t(\mathbb{A}_\eta^1/S, \bar{y}) & \longrightarrow & \pi_1^t(\mathbb{A}_X^1/S, \bar{y}) \\ \downarrow pr_*^{\eta/\eta} & & \downarrow pr_*^{\eta/S} & & \downarrow pr_*^{X/S} \\ \pi_1^t(\eta/\eta, \bar{x}) & \longrightarrow & \pi_1^t(\eta/S, \bar{x}) & \longrightarrow & \pi_1^t(X/S, \bar{x}). \end{array}$$

Since the affine line over a separably closed field has no nontrivial tame covers (Hurwitz formula), we see that $pr_*^{\eta/\eta}$ is an isomorphism. In order to show that $pr_*^{X/S}$ is an isomorphism, it suffices to show that every connected tame (with respect to S) finite cover $Z \rightarrow \mathbb{A}_X^1$ is the base change $\mathbb{A}_Y^1 \rightarrow \mathbb{A}_X^1$ of a finite tame (with respect to S) cover $Y \rightarrow X$. Let K be the function field of X , hence $\eta = \text{Spec } K$. We put $Z_\eta = Y \times_X \eta$ and obtain the following commutative diagram

$$\begin{array}{ccccc} \text{Spec}(k(Z)) & \longleftarrow & Z_\eta & \longleftarrow & Z \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec}(k(\mathbb{A}_X^1)) & \longleftarrow & \mathbb{A}_\eta^1 & \longleftarrow & \mathbb{A}_X^1. \end{array}$$

Since tame covers are stable under base change, $Z_\eta \rightarrow \mathbb{A}_\eta^1$ is tame with respect to S , in particular, tame with respect to η . Since $pr_*^{\eta/\eta}$ is an isomorphism, $Z_\eta \rightarrow \mathbb{A}_\eta^1$ comes by base change from η , i.e., there is a finite separable field extension L/K such that $Z_\eta \cong \mathbb{A}_L^1$.

As $Z \rightarrow \mathbb{A}_X^1$ is an étale cover, Z is the normalization of \mathbb{A}_X^1 in $k(Z) = k(Z_\eta) = k(\mathbb{A}_L^1)$. By Lemma 2.5, the normalization of \mathbb{A}_X^1 in $k(\mathbb{A}_L^1)$ is equal \mathbb{A}_Y^1 , where Y is the normalization of X in L . We conclude that $Z \cong \mathbb{A}_Y^1$. It remains to show that the (a priori only integral) morphism $Y \rightarrow X$ is a finite tame cover with respect to S . This follows since we recover $Y \rightarrow X$ as the base change of $Z \rightarrow \mathbb{A}_X^1$ along the zero section. \square

3. COMPARISON WITH THE CURVE TAME FUNDAMENTAL GROUP

In good situations, there is another notion of tameness which can be formulated without valuation theory only in terms of algebraic geometry. We speak of curve tameness, which was introduced in [KS10]. We want to compare it with the notion of tameness of this article. Unfortunately, the statement of [HS21, Proposition 5.2] lacks some assumptions. Therefore we take up the subject again.

We assume that S is integral, pure-dimensional (i.e., $\dim S = \dim \mathcal{O}_{S,s}$ for every closed point $s \in S$), separated and excellent. Furthermore, we assume that $X \rightarrow S$ is separated and of finite type. For integral X we put

$$\dim_S X := \text{deg.tr.}(k(X)/k(T)) + \dim_{\text{Kruill}} T,$$

where T is the closure of the image of X in S . If the image of X in S contains a closed point of T , then $\dim_S X = \dim_{\text{Kruill}} X$ by [EGA4.4, 5.6.5]. We call X an S -curve, if $\dim_S X = 1$. For a regular connected S -curve C , let \bar{C} be the unique regular compactification of C over S . Then the finite tame Galois covers of C are exactly those étale Galois covers which are tamely ramified along $\bar{C} \setminus C$ in the classical sense (e.g. [GM71]). We recall the notion of curve-tameness from [KS10]:

Definition 3.1. Let $Y \rightarrow X$ be a finite étale cover of separated S -schemes of finite type. We say that $Y \rightarrow X$ is *curve-tame* if for any morphism $C \rightarrow X$ with C a regular S -curve, the base change $Y \times_X C \rightarrow C$ is tamely ramified along $\bar{C} \setminus C$.

Now let X be connected and choose a geometric point \bar{x} . We obtain the *profinite curve-tame fundamental group* $\hat{\pi}_1^{ct}(X/S, \bar{x})$, which is the quotient of $\hat{\pi}_1^{et}(X, \bar{x})$ that classifies finite curve-tame covers. This curve-tame fundamental group was considered in [Sch07], [KS09], [GS16].

For a general base scheme S , our comparison result depends on local uniformization:

Definition 3.2. Let S be a noetherian scheme. We say that *local uniformization* holds over S , if for any integral scheme X of finite type over S with function field $k(X)$ and any S -valuation v of $k(X)$ with center on X there exists a connected, regular scheme X' and a morphism $X' \rightarrow X$ inducing an isomorphism $k(X') \cong k(X)$ and such v has center on X' .

Remark 3.3. Local uniformization is a weaker property than resolution of singularities. Over fields of characteristic zero it was proven by Zariski [Zar40]. Over fields of characteristic $p > 0$, Temkin proved an inseparable variant in [Tem13], which was used in an essential way in [Sch20] (which we use below).

Proposition 3.4. *Let S be an integral, pure-dimensional, separated and excellent scheme, $X \rightarrow S$ separated and of finite type and \bar{x} a geometric point of X . Assume that S is the spectrum of a field or that local uniformization hold over S . Then the profinite curve-tame fundamental group coincides with the profinite tame fundamental group:*

$$\hat{\pi}_1^t(X/S, \bar{x}) \cong \hat{\pi}_1^{ct}(X/S, \bar{x}).$$

Proof. If $\text{char}(S) = 0$, the statement of the proposition is true for trivial reasons, since both sides coincide with the profinite étale fundamental group. Hence we can assume that S has at least one point of positive residue characteristic. We have to show that a finite étale Galois cover of X is tame if and only if it is curve-tame. Both notions coincide for regular S -curves and are stable under base change. Hence finite tame Galois covers are curve-tame and it remains to show the converse.

So let $f : Y \rightarrow X$ be a finite étale Galois cover, $y \in Y$ a point, $x = f(y)$ and $w \in \text{Val}_S k(y)$ such that w is wildly ramified in $k(y)/k(x)$. We have to find an S -curve C and a morphism $\varphi : C \rightarrow X$ such that $C \times_X Y \rightarrow C$ is wildly ramified at some point in $\bar{C} \setminus C$.

Let X' be the closure of $\{x\}$ in X with reduced scheme structure and $Y' = X' \times_X Y$. In order to find $\varphi : C \rightarrow X$, we can replace X by X' and Y by the connected component of y in Y' , i.e., we may assume that x and y are the generic points of the integral schemes X and Y . Replacing X by its normalization \tilde{X} and Y by its base change along $\tilde{X} \rightarrow X$, we may assume that X and Y are normal.

We first assume that $\text{Gal}(Y/X)$ is cyclic of order $p := \text{char } k(w)$. Then, since w is ramified, $k(y)/k(x)$ is also of degree p .

If $S = \text{Spec}(k)$ for a field k (necessarily of characteristic p), then, by quasi-purity of the branch locus [Sch20], we find a geometric discrete rank-one S -valuation v on $k(y)$ that is ramified in $k(y)/k(x)$.

In the general case, assume that local uniformization holds over S . Let \bar{X} be a proper (over S) normal compactification of X and let $z \in \bar{X}$ be the center of v . We choose a connected, regular scheme X' with a morphism $X' \rightarrow \bar{X}$ inducing an isomorphism $k(X') \cong k(X)$ and such w has center z' on X' and let Y' be the normalization of X' in $k(Y)$. Then Y'/X' ramifies over z' and by the Zariski-Nagata theorem on the purity of the branch locus we find a ramified divisor on X' . This gives us a geometric discrete rank-one S -valuation v on $k(Y)$ that is ramified in $k(Y)/k(X)$.

In both cases, by [Liu02, §8, Theorem 3.26 and Exercise 3.14], we can find a normal compactification (over S) \bar{X} of X such that the center D of v on \bar{X} is of codimension one. Applying the Key Lemma 2.4 of [KS10] to the local ring of some closed point of \bar{X} contained in D , we obtain a morphism $\varphi : C \rightarrow X$ with the required property.

It remains to reduce the general case to the cyclic-order- p -case. For this let

$$A \subset R_w(k(Y)/k(X)) \subset \text{Gal}(k(Y)/k(X))$$

be a subgroup of order p (here R_w denotes the ramification group) and let $X' = Y_A$. Then Y/X' is of degree p and wildly ramified at w . By the first part of the proof, we find a morphism $\varphi : C \rightarrow X'$ with the required property. Then the composite of φ with the projection $X' \rightarrow X$ yields what we need. \square

4. LOCALLY CONSTANT TAME SHEAVES

For an abelian group A we denote by \underline{A} the Zariski sheafification of the constant presheaf associated with A on the category X_{et} . It is an étale sheaf, in particular a tame sheaf.

Definition 4.1. A sheaf $\mathcal{F} \in \text{Sh}_t(X/S)$ is *locally constant* if there is a tame covering $(U_i \rightarrow X)$ such that the restriction $\mathcal{F}|_{U_i}$ is isomorphic to a constant sheaf $\underline{A_i}$ on U_i for all i .

Since constant sheaves are étale sheaves, the same is true for locally constant sheaves by Lemma 4.2 below.

Lemma 4.2. *Let $\mathcal{F} \in \text{Sh}_t(X/S)$ and assume there is a tame covering $(U_i \rightarrow X)$ such that the restrictions of \mathcal{F} to all U_i are étale sheaves. Then \mathcal{F} is an étale sheaf.*

Proof. Let $U \in X_{\text{et}}$ and let $(U_j \rightarrow U)_j$ be an étale covering. Then $(U_i \times_X U \rightarrow U)_i$ is a tame covering, $(U_i \times_X U_j \rightarrow U_i \times_X U)_j$ is an étale covering for all i and $(U_i \times_X U_j \rightarrow U_j)_i$ is a tame covering for all j . Giving a section of \mathcal{F} over U is therefore equivalent to give compatible sections of \mathcal{F} over all $U_i \times_X U$, which is equivalent to give compatible sections of \mathcal{F} over all $U_i \times_X U_j$ which is equivalent to give compatible sections of \mathcal{F} on all U_j . \square

Remark 4.3. We obtain the inclusions:

$$\{\text{l.c. tame sheaves}\} \subset \{\text{l.c. étale sheaves}\} \subset \{\text{étale sheaves}\} \subset \{\text{tame sheaves}\}.$$

Proposition 4.4. *Let X be a connected S -scheme. A locally constant tame sheaf \mathcal{F} on $(X/S)_t$ has finite stalks if and only if it is represented by an abelian group scheme $G \rightarrow X$ that is a finite tame cover of X .*

Proof. The only if is obvious. Let \mathcal{F} be a locally constant tame sheaf with finite stalks. By Lemma 4.2, \mathcal{F} is an étale sheaf. Hence, by [Mil80, V, Proposition 1.1], \mathcal{F} is represented by an abelian finite étale group scheme $f : G \rightarrow X$. Since G is a G -torsor, it is trivialized by itself, i.e., $\mathcal{F}|_G$ is a constant sheaf. It remains to show that $f : G \rightarrow X$ is a tame cover, i.e., that for every $y \in G$ and $w \in \text{Val}_S k(y)$ the extension $k(y)/k(f(y))$ is at most tamely ramified at w .

Since \mathcal{F} is locally constant, there exists a tame covering $(U_i \rightarrow X)_i$ such that $G \times_X U_i \rightarrow U_i$ is constant for all i . Now let $y \in G$ and $w \in \text{Val}_S k(y)$, $x = f(y) \in X$ and $v = w|_{k(x)}$. We can find an index i , $u_i \in U_i$, $v_i \in \text{Val}_S k(u_i)$ such that v_i/v is at most tamely ramified. Now we pick a point $y_i \in G \times_X U_i$ and $w_i \in \text{Val}_S k(y_i)$ mapping to (u_i, v_i) and (y, w) . Since $G \times_X U_i \rightarrow U_i$ is constant, we obtain an inclusion $k(y) \subset k(u_i)$ such that $v_i|_{k(y)} = w$. Hence w/v is dominated by v_i/v and hence tame. \square

Combining Proposition 4.4 with the equivalence of Proposition 2.4, we obtain

Proposition 4.5. *Let X be a connected S -scheme and let (\bar{x}, \bar{v}) be a tame point of X/S . Then there is a natural equivalence between the category of finite discrete $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ -modules and the category of sheaves on $(X/S)_t$ which are locally constant and have finite stalks.*

5. ÉTALE AND TAME HOMOTOPY GROUPS

In [AM69], Artin and Mazur defined higher étale homotopy groups. The construction is the following:

A category C admitting finite fibre products is called distributive if it has an initial object \emptyset , and if the following condition holds: For every family of objects Y_i , $i \in I$, such that the coproduct $\coprod_{i \in I} Y_i$ exists in C , any family of morphisms $Y_i \rightarrow S$ and for any morphism $X \rightarrow S$, the canonical morphism $\coprod_{i \in I} X \times_S Y_i \rightarrow X \times_S (\coprod_{i \in I} Y_i)$ is an isomorphism. Every site has an underlying distributive category.

An object X of a distributive category C is called connected if it is not the initial object \emptyset and has no non-trivial coproduct decomposition. C is called locally connected if every object has a coproduct decomposition into connected objects. This decomposition is essentially unique then, so we can speak about the set of connected components of an object of C . The rule associating to an object its set of connected components is then a functor, denoted by

$$\Pi : C \longrightarrow \text{sets.}$$

Assume in addition that C is pointed, i.e., we are given a morphism of sites $\text{sets} \rightarrow C$. By applying the functor Π to the (filtered) category of pointed hypercoverings K_\bullet of C , we obtain a pro-object

$$\Pi C = (\pi(K_\bullet))_{K_\bullet}$$

in the homotopy category of pointed simplicial sets. The homotopy pro-groups of C are defined by

$$\pi_q(C) = \pi_q(\Pi C).$$

For a locally noetherian S -scheme X , the above applies to the sites $X_{\text{ét}}$ and $(X/S)_t$ and one puts for a geometric point \bar{x} of X , resp. a tame point $\bar{x} = (\bar{x}, \bar{v})$ of X/S :

$$\pi_q^{\text{ét}}(X, \bar{x}) = \pi_q(X_{\text{ét}}, \bar{x}), \quad \pi_q^t(X/S, (\bar{x}, \bar{v})) = \pi_q((X/S)_t, (\bar{x}, \bar{v})).$$

The following Theorem 5.1 verifies properties of the tame homotopy groups that were proven in [AM69] and [Fri82] in the étale case.

Theorem 5.1. *Let X be a locally noetherian S -scheme and (\bar{x}, \bar{v}) a tame point of X/S . Then the following holds.*

- (i) $\pi_0^t(X/S, (\bar{x}, \bar{v}))$ is the set $\pi_0(X)$ of connected components of X pointed in the component of \bar{x} .
- (ii) If X_0 is the connected component of \bar{x} , then the natural homomorphism

$$\pi_q((X_0/S)_t, (\bar{x}, \bar{v})) \longrightarrow \pi_q((X/S)_t, (\bar{x}, \bar{v}))$$

are isomorphisms of pro-groups for all $q \geq 1$.

- (iii) There is a natural homomorphism of pro-groups

$$\pi_1^t(X/S, (\bar{x}, \bar{v})) \longrightarrow \hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$$

which is universal for homomorphisms of $\pi_1^t(X/S, (\bar{x}, \bar{v}))$ to pro-finite groups. In other words, $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ is the profinite completion of $\pi_1^t(X/S, (\bar{x}, \bar{v}))$.

(iv) Assume that X is noetherian and geometrically unibranch (e.g., normal) and that S is quasi-compact and quasi-separated. Then the pro-groups

$$\pi_q^t(X/S, (\bar{x}, \bar{v}))$$

are pro-finite for all $q \geq 1$.

Proof. (i) We show that for any tame hypercovering $K_\bullet \rightarrow X$ the natural map $\pi_0(\pi(K_\bullet)) \rightarrow \pi_0(X)$ is a bijection. This shows that $\pi_0^t(X/S, (\bar{x}, \bar{v}))$ is the constant pro-object associated with the set $\pi_0(X)$ (pointed in the component of \bar{x}). By Yoneda, it suffices to show that for any set S the induced map

$$S^{\pi_0(\pi(K_\bullet))} \longrightarrow S^{\pi_0(X)}$$

is bijective. Let \underline{S} be the constant tame sheaf of sets associated with S . Then $\Gamma(Y, \underline{S}) = S^{\pi_0(Y)}$ for every $Y \in X_{\text{et}}$. Similarly, we obtain $\Gamma(Y_\bullet, \underline{S}) = S^{\pi_0(\pi(Y_\bullet))}$ for every simplicial object Y_\bullet of X_{et} . For a hypercovering $K_\bullet \rightarrow X$, the exact sequence

$$\Gamma(K_\bullet, \underline{S}) \rightarrow \Gamma(K_0, \underline{S}) \rightrightarrows \Gamma(K_1, \underline{S}),$$

and the sheaf property of \underline{S} show $\Gamma(K_\bullet, \underline{S}) = \Gamma(X, \underline{S})$, hence $S^{\pi_0(\pi(K_\bullet))} = S^{\pi_0(X)}$.

(ii) This follows since the functor π commutes with disjoint unions and because the homotopy groups of a pointed simplicial set only depend on the connected component of the base point.

(iii) Recall that any pro-object $X = (X_i)_{i \in I}$ of a category \mathcal{C} defines the covariant functor

$$\text{Hom}(X, -) : \mathcal{C} \longrightarrow \text{sets}, \quad Y \longmapsto \text{colim}_i \text{Hom}(X_i, Y)$$

and that this construction identifies the category $\text{pro-}\mathcal{C}$ with the full subcategory of pro-representable covariant functors from \mathcal{C} to sets. For a (discrete) group G (considered as a constant group scheme over X), we call a G -torsor $T \rightarrow X$ tame, if $T \rightarrow X$ is a tame covering. Let $\pi_t^1(X/S, G, (\bar{x}, \bar{v}))$ denote the set of isomorphism classes of pointed (over (\bar{x}, \bar{v})) tame G -torsors. The profinite tame fundamental group $\hat{\pi}_1^t(X/S, (\bar{x}, \bar{v}))$ represents the functor

$$\text{finite groups} \longrightarrow \text{sets}, \quad G \longmapsto \pi_t^1(X/S, G, (\bar{x}, \bar{v})).$$

On the other hand, by [AM69, Corollary 10.7], the pro-group $\pi_1^t(X/S, (\bar{x}, \bar{v}))$ represents the functor

$$\text{groups} \longrightarrow \text{sets}, \quad G \longmapsto \pi_t^1(X/S, G, (\bar{x}, \bar{v})).$$

From this the assertion of (iii) follows formally.

(iv) Since X is noetherian, by Theorem 1.3(iv), the noetherian hypercoverings (i.e., those $K_\bullet \rightarrow X$ with K_q noetherian for all q) are cofinal among all hypercoverings of X . Therefore it suffices to show that for every noetherian tame hypercovering $K_\bullet \rightarrow X$ all homotopy groups of the simplicial set $\pi(K_\bullet)$ are finite. Since tame hypercoverings are étale hypercoverings, this follows from [AM69, Theorem 11.1]. \square

6. HOMOTOPY INVARIANCE OF TAME HOMOTOPY GROUPS

Definition 6.1. Let S be a noetherian scheme. We say that *resolution of singularities holds over S* if for any reduced scheme X of finite type over S there is a locally projective birational morphism $X' \rightarrow X$ such that X' is regular and $X' \rightarrow X$ is an isomorphism over the regular locus of X . (By [EGA4.2, IV, 7.9.5], this particularly implies that S is quasi-excellent.)

The following theorem was proven in [HS21, Theorem 15.2]:

Theorem 6.2 (Homotopy invariance of tame cohomology). *Let S be an affine noetherian scheme of characteristic $p > 0$ and X a regular scheme which is essentially of finite type over S . Assume that resolution of singularities holds over S . Then for every locally constant torsion sheaf $F \in \text{Sh}_t(X/S)$ the natural map*

$$H_t^q(X/S, F) \longrightarrow H_t^q(\mathbb{A}_X^1/S, pr^*F),$$

where $pr : \mathbb{A}_X^1 \rightarrow X$ is the natural projection, is an isomorphism for all $q \geq 0$.

Together with our results on the fundamental group, this implies

Theorem 6.3 (Homotopy invariance of tame homotopy groups for regular schemes). *Let S be an affine noetherian scheme of characteristic $p > 0$ and X a regular scheme which is essentially of finite type over S . Assume that resolution of singularities holds over S . Then for every tame point (\bar{y}, \bar{w}) of \mathbb{A}_X^1/S with image (\bar{x}, \bar{v}) in X/S , the map*

$$\pi_t^q(\mathbb{A}_X^1/S, (\bar{y}, \bar{w})) \longrightarrow \pi_t^q(X/S, (\bar{x}, \bar{v})),$$

induced by the projection $pr : \mathbb{A}_X^1 \rightarrow X$, is an isomorphism for all $q \geq 0$.

Proof. The statement for $q = 0$ follows from Theorem 5.1(i) together with the fact that \mathbb{A}_X^1 is connected if X is. By assumption, X is normal, hence the same is true for \mathbb{A}_X^1 . By Theorem 5.1(iv), $\pi_t^q(\mathbb{A}_X^1/S, (\bar{y}, \bar{w}))$ and $\pi_t^q(X/S, (\bar{x}, \bar{v}))$ are profinite for all $q \geq 1$. In particular, Theorem 2.6 and Theorem 5.1(iii) show the statement for $q = 1$.

By [AM69, Theorem 4.3] and Theorem 6.2, we conclude that the natural map from the profinite completion of the homotopy type of $(\mathbb{A}_X^1/S)_t$ to the profinite completion of the homotopy type of $(X/S)_t$ is a weak equivalence. Finally, since the tame homotopy groups of X/S and \mathbb{A}_X^1/S are profinite, the homotopy types of $(\mathbb{A}_X^1/S)_t$ and $(X/S)_t$ are already profinite. We conclude $\pi_t^q(\mathbb{A}_X^1/S, (\bar{y}, \bar{w})) \cong \pi_t^q(X/S, (\bar{x}, \bar{v}))$ for all q . \square

Remark 6.4. If S is pure of characteristic zero and X is an S -scheme, then the sites X_{et} and $(X/S)_t$ coincide and the statements of Theorems 6.2 and 6.3 are well known.

7. TAME HOMOTOPY GROUPS AS A FUNCTOR ON $\mathcal{H}_{\mathbb{A}^1}(\text{Sm}/k)$

In this section we will prove that (under the assumption of resolution of singularities) the notion of tame homotopy groups extends to the \mathbb{A}^1 -homotopy category $\mathcal{H}_{\mathbb{A}^1}(\text{Sm}/k)$ of smooth schemes over a field k (as defined by Morel and Voevodsky [MV99]). This is not the case for the étale homotopy groups if $\text{char } k > 0$ (the affine line has a huge fundamental group then).

In fact, we will show a little more. Let S be a connected affine noetherian regular scheme of characteristic $p > 0$ and assume that resolution of singularities holds over S . Recall that the definition of the \mathbb{A}^1 -homotopy category $\mathcal{H}_{\mathbb{A}^1}(\text{Sm}/S)$ of smooth schemes over S is based on the Nisnevich topology and we will use the more precise notation $\mathcal{H}_{\mathbb{A}^1, \text{Nis}}(\text{Sm}/S)$ from now on. The same construction works with the tame and the étale topology yielding the categories $\mathcal{H}_{\mathbb{A}^1, t}(\text{Sm}/S)$ and $\mathcal{H}_{\mathbb{A}^1, \text{et}}(\text{Sm}/S)$. There are natural functors

$$\text{Sm}/S \rightarrow \mathcal{H}_{\mathbb{A}^1, \text{Nis}}(\text{Sm}/S) \rightarrow \mathcal{H}_{\mathbb{A}^1, t}(\text{Sm}/S) \rightarrow \mathcal{H}_{\mathbb{A}^1, \text{et}}(\text{Sm}/S),$$

where the first functor is induced by the Yoneda embedding and the functors between the various \mathbb{A}^1 -homotopy categories are induced by sheafification.

Recall from Section 5 that the tame homotopy groups of geometrically pointed smooth schemes over S are defined as the composition of the functor ‘pointed tame homotopy type’

$$\Pi_{\bullet}^t : (\mathrm{Sm}/S)_{\bullet} \rightarrow \mathrm{pro}\text{-}\mathcal{H}_{\bullet}$$

where $\mathrm{pro}\text{-}\mathcal{H}_{\bullet}$ is the pro-category of the homotopy category of pointed simplicial sets with the usual homotopy group functor

$$\mathrm{pro}\text{-}\mathcal{H}_{\bullet} \xrightarrow{\pi_*} \mathrm{pro}\text{-groups}$$

(for $* = 0$ replace pro-groups by pointed pro-sets).

Let $X = \{X_i\}$ be in $\mathrm{pro}\text{-}\mathcal{H}$. The various coskeletons $\mathrm{cosk}_n X_i$ form a pro-object X^{\natural} indexed by pairs (i, n) . We have a natural map $X \rightarrow X^{\natural}$ and $X^{\natural} \rightarrow X^{\natural}$ is an isomorphism. We call a map $f : X \rightarrow Y$ in $\mathrm{pro}\text{-}\mathcal{H}$ a weak equivalence (\natural -isomorphism in [AM69]) if $f^{\natural} : X^{\natural} \rightarrow Y^{\natural}$ is an isomorphism. Let

$$(\mathrm{pro}\text{-}\mathcal{H})_w$$

be the full subcategory in $\mathrm{pro}\text{-}\mathcal{H}$ consisting of objects isomorphic to X^{\natural} for some X . Then $(\mathrm{pro}\text{-}\mathcal{H})_w$ is the localization of $\mathrm{pro}\text{-}\mathcal{H}$ with respect to the class of weak equivalences and $\natural : \mathrm{pro}\text{-}\mathcal{H} \rightarrow (\mathrm{pro}\text{-}\mathcal{H})_w$ is the localization functor. The homotopy pro-groups functors π_* factor as

$$\pi_* : \mathrm{pro}\text{-}\mathcal{H}_{\bullet} \rightarrow (\mathrm{pro}\text{-}\mathcal{H}_{\bullet})_w \rightarrow \mathrm{pro}\text{-groups}.$$

Given all this, the goal of this section is to prove the following

Theorem 7.1. *Let S be a connected affine noetherian regular scheme of characteristic $p > 0$ and assume that resolution of singularities holds over S . Then there exists a dashed vertical arrow making the diagram*

$$\begin{array}{ccccc} \mathrm{Sm}/S & \longrightarrow & \mathcal{H}_{\mathbb{A}^1, \mathrm{Nis}}(\mathrm{Sm}/S) & \longrightarrow & \mathcal{H}_{\mathbb{A}^1, t}(\mathrm{Sm}/S) \\ \downarrow \Pi^t & & & & \downarrow \text{---} \\ \mathrm{pro}\text{-}\mathcal{H} & \xrightarrow{\quad \natural \quad} & & \longrightarrow & (\mathrm{pro}\text{-}\mathcal{H})_w \end{array}$$

commutative.

Since the structure map of a vector bundle is an isomorphism in $\mathcal{H}_{\mathbb{A}^1, \mathrm{Nis}}(\mathrm{Sm}/S)$, we particularly obtain

Corollary 7.2. *Every vector bundle over a smooth S -scheme X has the same tame homotopy groups as X .*

Recall that the construction of the \mathbb{A}^1 -homotopy category after Morel/Voevodsky consists of two steps. The first one is to localize the category of simplicial sheaves by simplicial weak equivalences and the second step consists of inverting the class of weak \mathbb{A}^1 -equivalences.

The proof of Theorem 7.1 will occupy the rest of this section. It is essentially equivalent to the proof of [Sch12, Theorem 8.2], where the analogous result of Theorem 7.1 was proven for the étale topology after completion away from all residue characteristics. We will therefore be sketchy in the sense that we will use the results of [Sch12] as long their proofs in the tame case are identical to those in the étale case.

For the rest of this section let S be a locally noetherian scheme. We consider the category

$$\Delta^{op} \mathrm{Shv}_t(\mathrm{Sm}/S)$$

of simplicial tame sheaves (of sets) on Sm/S . By a point we will always mean a tame point. A map of simplicial sheaves $f : F \rightarrow G$ is called a simplicial weak equivalence

if for every point x the map $F_x \rightarrow G_x$ is a weak equivalence of simplicial sets. f is called a cofibration (resp. trivial cofibration) if it is injective (resp. injective and a weak equivalence). Fibrations are maps satisfying the right lifting property with respect to trivial cofibrations. The category of simplicial sheaves together with these three classes of morphisms is a simplicial closed model category ([MV99, 1.1.4]) and we denote the associated homotopy category by $\mathcal{H}_{s,t}(\mathrm{Sm}/S)$.

A map of simplicial sheaves $F \rightarrow G$ is called a local fibration (resp. trivial local fibration) if for every point x the map $F_x \rightarrow G_x$ is a fibration (resp. a fibration and a weak equivalence). A local fibration has the right lifting property after a tame refinement. Kan-simplicial sets considered as constant simplicial sheaves are locally fibrant.

The proof of the following proposition is word by word the same as the proof of [Sch12, Proposition 5.1] (which works for any subcanonical topology).

Proposition 7.3. *The category $Shv_t(\mathrm{Sm}/S)$ is locally connected. A connected scheme $X \in \mathrm{Sm}/S$ represents a connected sheaf.*

The rule associating to a sheaf its set of connected components defines the connected component functor

$$\Pi : Shv_t(\mathrm{Sm}/S) \longrightarrow \mathrm{sets}.$$

This functor naturally extends to simplicial sheaves (taking values in simplicial sets). Furthermore, simplicial homotopies between maps of simplicial sheaves carry over to homotopies between maps of simplicial sets.

For simplicial sheaves \mathcal{X}, \mathcal{Y} we denote by $\pi(\mathcal{X}, \mathcal{Y})$ the quotient of $\mathrm{Hom}(\mathcal{X}, \mathcal{Y}) = S_0(\mathcal{X}, \mathcal{Y})$ with respect to the equivalence relation generated by simplicial homotopies, i.e., the set of connected components of the simplicial function object $S(\mathcal{X}, \mathcal{Y})$, and call it the set of *simplicial homotopy classes of morphisms* from \mathcal{X} to \mathcal{Y} . The simplicial homotopy relation is compatible with composition and thus one gets a category $\pi\Delta^{op}Shv_t(\mathrm{Sm}/S)$ with objects the simplicial sheaves and morphisms the simplicial homotopy classes of morphisms.

For a simplicial sheaf \mathcal{X} we denote by $\pi Triv/\mathcal{X}$ the category whose objects are the trivial local fibrations to \mathcal{X} and whose morphisms are commutative triangles in $\pi\Delta^{op}Shv_t(\mathrm{Sm}/S)$. This category is filtering and essentially small by [MV99], 2.1.12.

We consider a sheaf F as a simplicial sheaf with F in each degree and all face and degeneracy morphisms the identity of F . For a scheme $X \in \mathrm{Sm}/S$ we denote by h_X the tame sheaf that it represents. A hypercovering U of X is a hypercovering in the small tame site $(X/S)_t$.

Lemma 7.4. *Let X be a smooth S -scheme and let U be a hypercovering of X . Then the projection*

$$h_U \longrightarrow h_X$$

is a trivial local fibration in $\Delta^{op}Shv_t(\mathrm{Sm}/S)$.

The trivial local fibrations of the form $h_U \rightarrow h_X$ are cofinal in $\pi Triv/h_X$.

Proof. The similar assertion for étale hypercoverings is [Sch12, Lemmas 4.2,4.3] and the proof is word-by-word the same in the tame case. \square

For a scheme X essentially of finite type over S we denote its tame homotopy type, i.e., the connected component functor from tame hypercoverings of X to \mathcal{H} by

$$X_{\mathrm{tt}} \in \mathrm{pro}\text{-}\mathcal{H}.$$

By Lemma 7.4, the next definition extends via Yoneda the functor tame homotopy type from Sm/S to $\Delta^{op}Shv_t(\mathrm{Sm}/S)$:

Definition 7.5. For a simplicial sheaf $\mathcal{X} \in \Delta^{op} Shv_t(\mathrm{Sm}/S)$ the tame homotopy type $\mathcal{X}_{\mathrm{tt}} \in \mathrm{pro}\text{-}\mathcal{H}$ is defined as the connected component functor

$$\Pi : \pi \mathrm{Triv}/\mathcal{X} \longrightarrow \mathcal{H}.$$

With this definition, the same proof as that of [Sch12, Theorem 6.4] shows factorization through the simplicial homotopy category.

Proposition 7.6. *The functor tame homotopy type*

$$\mathrm{tt} : \Delta^{op} Shv_t(\mathrm{Sm}/S) \longrightarrow \mathrm{pro}\text{-}\mathcal{H}$$

factors through the localization $\Delta^{op} Shv_t(\mathrm{Sm}/S) \rightarrow \mathcal{H}_{s,t}(\mathrm{Sm}/S)$.

Theorem 7.7 completes the proof of Theorem 7.1.

Theorem 7.7. *Let S be a connected affine noetherian regular scheme of characteristic $p > 0$ and assume that resolution of singularities holds over S . Then the composite*

$$\natural \circ \mathrm{tt} : \mathcal{H}_{s,t}(\mathrm{Sm}/S) \longrightarrow (\mathrm{pro}\text{-}\mathcal{H})_w$$

factors through the tame \mathbb{A}^1 -homotopy category $\mathcal{H}_{\mathbb{A}^1,t}(\mathrm{Sm}/S)$.

Proof. For any smooth scheme U over S the projection $\mathbb{A}_U^1 \rightarrow U$ induces a weak equivalence

$$(\mathbb{A}_U^1)_{\mathrm{tt}} \rightarrow U_{\mathrm{tt}}$$

in $\mathrm{pro}\text{-}\mathcal{H}$. Indeed, in order to show this, we may assume that U is connected. Then (after choosing suitable base points), the projection induces isomorphisms on all tame homotopy groups by Theorem 6.3 and is hence a weak equivalence by [AM69, Corollary 4.2].

From this, the assertion of the theorem follows via a formal process which is described in detail in the proof of [Sch12, Theorem 8.2]. \square

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