# **REDUCTIONS OF THE MAIN CONJECTURE**

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### INTRODUCTION

The main aim of these lectures is to discuss the reduction of the proof of the noncommutative main conjecture for totally real fields to the case when the *p*-adic Lie extension  $F_{\infty}/F$  has dimension one. As we shall need the localization sequence in algebraic *K*theory, we will begin by providing a quick review of the *K*-groups  $K_0$  and  $K_1$  and also the relevant localization exact sequence. For the proofs of the results stated, the reader is referred to [B] or [Sw]. Throughout these notes, all rings considered will be (not necessarily commutative) unital, associative, left and right Noetherian rings, and modules shall mean left modules.

## 1. Algebraic K-theory

Let  $\mathcal{C}$  be an abelian category. Recall that a nonempty full subcategory  $\mathcal{D}$  of  $\mathcal{C}$  is a *Serre subcategory* if given an exact sequence

$$A' \xrightarrow{f} A \xrightarrow{g} A''$$

in  $\mathcal{C}$ , we have A' and A'' are objects in  $\mathcal{D}$  if and only if A is an object in  $\mathcal{D}$ . Let  $\mathcal{C}$  be an abelian category. The group  $K_0(\mathcal{C})$  is the abelian group generated by classes [A], where A runs over the objects of  $\mathcal{C}$ , and subject to the relation

$$[A] = [A'] + [A''],$$

for each short exact sequence

$$0 \to A' \to A \to A'' \to 0$$

in  $\mathcal{C}$ . The group  $K_0(\mathcal{C})$  is universal with respect to this property in the following sense. Suppose we are given an abelian group G and a map  $f : \operatorname{Ob}(\mathcal{C}) \to G$ , such that for each short exact sequence

$$0 \to A' \to A \to A'' \to 0$$

in  $\mathcal{C}$ , we have f(A) = f(A') + f(A'') in G. Then there exists a unique homomorphism  $\phi: K_0(\mathcal{C}) \to G$  making the diagram

$$\begin{array}{rcl} \operatorname{Ob}(\mathcal{C}) & \to & K_0(\mathcal{C}) \\ \searrow f & & \swarrow \phi \\ & & G \\ & & 1 \end{array}$$

commutative. More generally, the group  $K_0$  can be defined analogously for any *exact* category, which we will not define rigorously (see [B]); intuitively, it is an additive category in which exact sequences are well-defined. Then the map

$$\mathcal{C} \to K_0(\mathcal{C})$$

is a covariant functor from the category of abelian categories and exact functors to Ab, the category of abelian groups. The following proposition is well-known.

**Proposition 1.1.** Let A, B be objects of C. If [A] = [B] in  $K_0(C)$ , then there is an object  $C \in C$  such that  $A \oplus C \simeq B \oplus C$ .

**Examples:** i) Let k be a field and C be the category of finite dimensional vector spaces. Then  $K_0(\mathcal{C}) \simeq \mathbb{Z}$ , the isomorphism being given by the dimension homomorphism.

ii) Let  $\mathcal{C}$  be the category of finite abelian groups. Then  $K_0(\mathcal{C})$  is free abelian on the basis  $[\mathbb{Z}/p\mathbb{Z}]$ , where p runs over all primes. This is seen to be true via an induction argument on the cardinality of the finite group, combined with the structure theorem for finite abelian groups.

iii) Let R be a ring and  $C_R$  be the category of finitely generated (left) R-modules and let  $\mathcal{P}_R$  be the full subcategory of  $\mathcal{C}_R$  consisting of finitely generated projective left R-modules. This is an exact category, and the natural inclusion  $\mathcal{P}_R \subset \mathcal{C}_R$  induces a homomorphism  $K_0(\mathcal{P}_R) \to K_0(\mathcal{C}_R)$ .

**Definition 1.2.** Let R be a ring. The group  $K_0(R)$  is defined to be the group  $K_0(\mathcal{P}_R)$ .

**Proposition 1.3.** (see [Sw, Part II, Chap. 1]) Let R be a ring. Then the following assertions hold:

i) The group  $K_0(\mathcal{P}_R)$  is generated by [P], where P is a finitely generated projective R-module with the relations [P] = [Q] if  $P \simeq Q$  and  $[P \oplus Q] = [P] + [Q]$ .

ii) Any element of  $K_0(R)$  can be written as [P] - [Q] for finitely generated projective R-modules P and Q. Further, [P] - [Q] = [P'] - [Q'] in  $K_0(R)$  if and only if there is a finitely generated projective R-module M such that P + Q' + M = P' + Q + M.

Given a ring homomorphism  $\eta : R \to R'$ , the base change map  $P \mapsto R' \otimes_R P$  induces a group homomorphism  $K_0(R) \to K_0(R')$  as  $\eta$  induces an exact functor from the category  $\mathcal{P}_R$  to  $\mathcal{P}_{R'}$ . We shall also need the following result (see [Sw, Part II, Chap. 2]).

**Proposition 1.4.** If R is complete with respect to a two sided ideal I, the map  $K_0(R) \rightarrow K_0(R/I)$  induced by the projection  $R \rightarrow R/I$  is an isomorphism.

The following result due to A. Heller, is important in relating  $K_0(R)$  and  $K_0(\mathcal{C}_R)$ . Recall that a subcategory  $\mathcal{A}$  is *closed under subobjects (resp. quotient objects) in*  $\mathcal{C}$  if subobjects (resp. quotient objects) in  $\mathcal{C}$  of an object in  $\mathcal{A}$  are again in  $\mathcal{A}$ .

**Theorem 1.5.** ([Sw, Part II, Chap. 3]) Let C be an abelian category, A and  $\mathcal{B}$  be full subcategories with  $A \subset \mathcal{B}$ , and such that A is closed in C under subobjects and quotient objects. Assume further that every object of  $\mathcal{B}$  has a finite filtration with all quotients in A. Then the canonical map  $K_0(A) \to K_0(\mathcal{B})$  is an isomorphism.

**Definition 1.6.** For a ring R, the group  $G_0(R)$  is defined to be the abelian group  $K_0(\mathcal{C}_R)$ .

Note that the inclusion  $\mathcal{P}_R \subset \mathcal{C}_R$  induces a group homomorphism

(1)  $i: K_0(R) \to G_0(R),$ 

called the Cartan homomorphism.

**Theorem 1.7.** Let I be a nilpotent two sided ideal of a ring R. Then the map  $G_0(R/I) \rightarrow G_0(R)$  given by  $[A] \mapsto [A]$  is an isomorphism.

Suppose that  $h: R \to R'$  is a ring homomorphism such that R' is flat as an R-module. Then the natural functor  $\mathcal{C}_R \to \mathcal{C}_{R'}$  obtained from the base change map induces a homomorphism  $G_0(R) \to G_0(R')$ . To make precise the relationship between  $G_0(R)$  and  $K_0(R)$ , we need some more groundwork.

**Definition 1.8.** Let C be an abelian category. Recall that an object  $P \in C$  is *projective* if the functor

Hom  $(P, ) : \mathcal{C} \to \text{Set}$ 

preserves epimorphisms. The projective dimension of  $A \in Ob(\mathcal{C})$  denoted pd(A) is the smallest n such that there exists an exact sequence

$$0 \to P_n \to P_{n-1} \to \cdots \to P_0 \to A \to 0$$

with all the  $P_i$ 's projective. If the projective dimension of A is undefined we write  $pd(A) = \infty$ . In the particular case that  $\mathcal{C} = \mathcal{C}_R$ , we say that R has finite global dimension  $\leq n$  if every object of  $\mathcal{C}_R$  has finite projective dimension at most n.

Commutative regular local rings have finite global dimension n where n is the Krull dimension of R. This is a deep result of Auslander-Buchsbaum in commutative ring theory. In noncommutative ring theory, Noetherian Auslander regular local rings [Bj] are known to have finite global dimension. For such rings, there is a well developed dimension theory for modules which plays a central role.

**Theorem 1.9.** [Sw, Theorem 4.4] Let C be an abelian category and  $\mathcal{P}$  be the full subcategory of projective objects. If  $pd(A) < \infty$  for every A in C, then the natural map  $K_0(\mathcal{P}) \to K_0(\mathcal{A})$  is an isomorphism. In particular, if a ring R has finite global dimension, then the natural homomorphism  $i: G_0(R) \to K_0(R)$  is an isomorphism.

**Corollary 1.10.** (cf. [Sw, Cor. 4.6]) Let R be a commutative Noetherian regular local ring or a noncommutative Noetherian Auslander regular local ring. Then the natural homomorphism  $i: G_0(R) \to K_0(R)$  is an isomorphism.

If  $\mathcal{C}$  is an abelian category,  $\mathcal{P}$  is the full subcategory of projective objects, and  $\mathcal{H}$  the full subcategory of all  $A \in \mathcal{C}$  with  $pdA < \infty$  (note that  $\mathcal{H}$  need not be an abelian category), then the natural map  $K_0(\mathcal{P}) \to K_0(\mathcal{H})$  is again an isomorphism. If  $\mathcal{B}$  is a full subcategory such that  $\mathcal{P} \subset \mathcal{B} \subset \mathcal{H}$ , then the natural map  $K_0(\mathcal{P}) \to K_0(\mathcal{B})$  is a split mono. It is onto if for every exact sequence  $0 \to C \to P \to B \to 0$ ,  $P \in \mathcal{P}$  and  $B \in \mathcal{B}$  implies  $C \in \mathcal{B}$ .

We next deal with localization and the corresponding maps between  $G_0$  (see [Sw, Chap. 5]). Let R be a ring and S a subset such that S contains 1 and is either a multiplicatively closed set contained in the centre of R or a left and right Ore set. For ease of exposition, we shall always assume that the elements of S are nonzero divisors in R. In both these cases, the corresponding localizations exist and we denote by  $R_S$  the localized ring. For a module M over R, the localization is denoted by  $M_S$ . The natural map  $f: M \to M_S$  given by f(m) = m/1 is an R-homomorphism and a ring homomorphism in the special case when M = R. The kernel Ker (f) consists of the set of elements  $m \in M$  such that there exists an element  $s \in S$  with sm = 0. Recall that  $R_S$  is a flat R-module. Let  $C_S$  be the subcategory consisting of finitely generated R-modules M such that  $M_S = 0$ . Then

(2) 
$$K_0(\mathcal{C}_S) \to K_0(R) \to K_0(R_S).$$

The right map is not always surjective, but there is always an exact sequence

(3) 
$$0 \to K_0(\mathcal{C}_S) \to G_0(R) \to G_0(R_S) \to 0.$$

If the ring R (and hence the ring  $R_S$ ) has finite global dimension, then (2) gives an exact sequence

(4) 
$$K_0(\mathcal{C}_S) \to K_0(R) \to K_0(R_S) \to 0.$$

To deal with a broader class of rings which do not necessarily have finite global dimension, and also to extend the above exact sequences on the left with  $K_1$  groups, we introduce the notion of the *relative K-group*. For more details, the reader is referred to Weibel's book [We]. **Definition 1.11.** Let  $f : R \to R'$  be a ring homomorphism. The category  $C_f$  consists of triplets (P, a, Q) as objects, where P and Q are finitely generated projective R-modules, and a is an isomorphism

$$a : R' \otimes_R P \xrightarrow{a} R' \otimes_R Q.$$

Morphisms between two objects (P, a, Q) and (P', a', Q') consist of a pair of *R*-morphisms  $g: P \to P'$  and  $h: Q \to Q'$  such that

$$a' \circ (1_{R'} \otimes g) = (1_{R'} \otimes h) \circ a.$$

It is an isomorphism if both g and h are isomorphisms. A sequence of maps

(5) 
$$0 \to (P', a', Q') \to (P, a, Q) \to (P'', a'', Q'') \to 0$$

is a short exact sequence if the underlying sequences

$$0 \to P' \to P \to P'' \to 0, \quad 0 \to Q' \to Q \to Q'' \to 0$$

are short exact. The group  $K_0(f)$  (also called the relative  $K_0$ ) is an abelian group defined by generators [(P, a, Q)] with (P, a, Q) an object in the category  $C_f$  subject to the following relations:

- [(P, a, Q)] = [(P', a', Q')] if the two objects are isomorphic.
- [(P, a, Q)] = [(P', a', Q')] + [(P'', a'', Q'')] for every short exact sequence as in (5).
- $[(P_1, b \circ a, P_3)] = [(P_1, a, P_2)] + [(P_2, b, P_3)].$

For the natural map  $\iota : R \to R_S$ , the relative K-group  $K_0(\iota)$  is usually denoted by  $K_0(R, R_S)$ . This group can also be identified with the following group. Let  $\mathcal{C}_S^{hb}$  denote the category of bounded complexes of finitely generated projective R-modules whose homology modules are S-torsion. The abelian group  $K_0(\mathcal{C}_S^{hb})$  is defined as the abelian group with generators [C], where C is in  $\mathcal{C}_S^{hb}$ , and relations (i) [C] = 0 if the complex C is acyclic, and (ii) [C] = [C'] + [C''], for every short exact sequence of complexes

$$0 \to C' \to C \to C'' \to 0$$

in  $C_S^{hb}$ . Let  $\mathcal{H}_S$  denote the category of finitely generated *R*-modules which are *S*-torsion and which have a finite resolution by finitely generated projective *R*-modules. There are isomorphisms

(6) 
$$K_0(\mathcal{C}_S^{hb}) \stackrel{\sim}{\leftarrow} K_0(R, R_S) \stackrel{\sim}{\to} K_0(\mathcal{H}_S).$$

To describe the isomorphisms in the special case when S is central, first note that every isomorphism a from  $R_S \otimes_R P$  to  $R_S \otimes_R Q$  is of the form  $s^{-1}\alpha$ , where  $\alpha$  is an R-map from P to Q and  $s \in S$ . The first isomorphism is given by

$$[(P, a, Q)] \mapsto [P \xrightarrow{\alpha} Q] - [Q \xrightarrow{s} Q],$$

while the second is given by

$$[(P, a, Q)] \mapsto [Q/\alpha(P)] - [Q/sQ]$$

For more general localizing sets S, the isomorphisms are a little more subtle and proceed via the *Euler characteristic*.

**Definition 1.12.** Given a bounded chain complex

$$C^{\bullet}: 0 \to C_m \to \cdots \to C_0 \to 0$$

of objects in an abelian category  $\mathcal{C}$ , the *Euler characteristic*  $\chi(C^{\bullet})$  of  $C^{\bullet}$  is defined to be the element  $\Sigma(-1)^i[C_i]$  of  $K_0(\mathcal{C})$ .

If  $C^{\bullet}$  is a bounded complex of objects in  $\mathcal{C}$ , the element  $\chi(C^{\bullet})$  depends only upon the homology of  $C^{\bullet}$  and  $\chi(C^{\bullet}) = \Sigma(-1)^{i}[H_{i}(C^{\bullet})]$ . In particular, if  $C^{\bullet}$  is acyclic, then  $\chi(C^{\bullet}) =$ 0. In the general case, the isomorphisms in (6) are fleshed out using the Euler characteristic map. The first isomorphism takes [(P, a, Q)] to the complex  $[0 \to P \xrightarrow{a} Q \to 0]$  while the second maps [(P, a, Q)] to  $[\operatorname{Coker}(a)] - [\operatorname{Ker}(a)]$ .

We now discuss the group  $K_1(R)$  for a ring R. There are various (equivalent) definitions though checking the equivalence involves several technicalities and hence beyond the scope of these lectures. We shall largely consider the algebraic definitions which only use linear algebra and elementary group theory. Let  $\operatorname{GL}_n(R)$  denote the group of  $(n \times n)$  matrices over R that are invertible. Each such matrix g is naturally viewed as an  $(n+1) \times (n+1)$ matrix given by  $\begin{pmatrix} g & 0 \\ 0 & 1 \end{pmatrix}$  and thus gives an embedding of  $\operatorname{GL}_n(R)$  into  $\operatorname{GL}_{n+1}(R)$ . The group  $\operatorname{GL}(R)$  is defined as the union

$$\bigcup_{n} \operatorname{GL}_{n}(R),$$

and is called the *infinite linear group* over R.

Recall the commutator subgroup [G, G] of a group G, which is always a normal subgroup of G and is universal with respect to the quotient group being abelian. The first definition of  $K_1(R)$  (also called the *Whitehead group of R*) is as the quotient of GL(R) by its commutator subgroup.

**Definition 1.13.**  $K_1(R)$  is the abelian group GL(R)/[GL(R), GL(R)].

The abelian group  $K_1(R)$  thus has the universal property that every homomorphism from GL(R) to an abelian group must factor through  $K_1(R)$ . Given a ring homomorphism  $R \to R'$ , it induces a homomorphism from  $K_1(R)$  to  $K_1(R')$  and  $K_1$  is thus a covariant functor from the category of rings to Ab.

**Example:** If R happens to be commutative, then the determinant homomorphism is a group homomorphism from GL(R) onto the (abelian) group  $R^{\times}$  of units in R, and we denote the induced surjective map from  $K_1(R)$  by det,

$$\det: K_1(R) \to R^\times,$$

and the kernel of this surjection is defined to be the abelian group  $SL_1(R)$ . The infinite special linear group SL(R) is defined as the union  $\bigcup_n SL_n(R)$ , where  $SL_n(R)$  is the group of matrices in  $GL_n(R)$  of determinant 1. There is a direct sum decomposition  $K_1(R) \simeq R^{\times} \oplus SK_1(R)$ .

Recall that for an element r in R, the elementary matrix  $e_{ij}(r)$  in GL(R) is the matrix which has 1 across the diagonal, r at the (i, j)-spot, and zero elsewhere. If  $E_n(R)$  is the subgroup of  $GL_n(R)$  generated by all elementary matrices  $e_{ij}(r)$  with  $i \leq i, j \leq n$ , then the group E(R) is the union  $\bigcup E_n(R)$ . The group E(R) is the commutator subgroup and the classical Whitehead's lemma asserts therefore that

$$K_1(R) \simeq \operatorname{GL}(R)/\operatorname{E}(R).$$

The group  $K_1(R)$  measures the obstruction to taking an arbitrary invertible matrix over R and reducing it to the identity via a series of elementary operations.

Recall that a ring R is said to be *semilocal* if R/radR is a left artinian ring, or equivalently R/radR is a semisimple ring; here radR is the radical of the ring R. If R has finitely many maximal left ideals, then R is semilocal. The following result of Vaserstein is very useful.

**Proposition 1.14.** Let R be a semilocal ring. Then the natural inclusion of  $R^{\times} = \operatorname{GL}_1(R)$ into  $\operatorname{GL}(R)$  induces an isomorphism  $K_1(R) \simeq R^{\times}/[R^{\times}, R^{\times}]$ .

**Example:** i) If R is the group ring  $\mathbb{Z}[G]$  of a group G, the (first) Whitehead group Wh(G) is the quotient of  $K_1(\mathbb{Z}[G])$  by the subgroup generated by  $\pm 1$  and the elements of G, considered as elements of  $R^{\times}$  and hence in  $\mathrm{GL}_1(R)$ . If G is abelian, then  $\mathbb{Z}[G]$  is commutative and we have

$$\operatorname{Wh}(G) = (\mathbb{Z}[G]^{\times} / \pm G) \oplus \operatorname{SK}_1(\mathbb{Z}[G]).$$

If G is finite, then Wh(G) is known to be a finitely generated group.

ii) More generally if A is a Dedekind domain with quotient field K, and G is a finite group, the group  $SK_1(A[G])$  is defined by

(7) 
$$\operatorname{SK}_1(A[G]) = \operatorname{Ker}\left(K_1(A[G]) \to K_1(K[G])\right).$$

The important results proved by Wall, Oliver and others will be used subsequently and [O] is a good reference for this. The group  $SK_1(\mathbb{Z}[G])$  was shown by Wall to be the torsion subgroup of the Whitehead group Wh(G) and having various topological applications.

Let us now turn to an alternate definition of  $K_1(R)$  using projective modules. Let P be a finitely generated projective R-module. Choosing an isomorphism  $P \oplus Q \simeq R^n$  gives a group homomorphism from  $\operatorname{Aut}(P)$  to  $\operatorname{GL}_n(R)$ , by sending  $\alpha$  to  $\alpha \oplus 1_Q$ . This homomorphism is well-defined when viewed as a homomorphism into  $\operatorname{GL}(R)$ , up to inner

automorphisms of GL(R), and thus there is a well-defined homomorphism  $Aut(P) \rightarrow K_1(R)$ .

**Definition 1.15.** For a ring R, the group  $K_1(R)$  is an abelian group which consists of generators [P, a] where P is a finitely generated projective R-module and a is in Aut(P), subject to the relations

- [P, a] = [Q, b] if there is an isomorphism  $f : P \to Q$  such that  $f \circ a = b \circ f$ ,
- $[P, a \circ b] = [P, a][P, b]$
- $[P,a] \cdot [Q,b] = [P \oplus Q, a \oplus b].$

The equivalence of this definition with the earlier one is seen using the well-defined homorphism from  $\operatorname{Aut}(P)$  to  $\operatorname{GL}(R)$  as discussed above. There is a homological interpretation of  $K_1(R)$  given by

$$K_1(R) = H_1(\mathrm{GL}(R), \mathbb{Z}),$$

the first group homology of GL(R) with  $\mathbb{Z}$ -coefficients. A further topological interpretation elucidates  $K_1(R)$  as  $\pi_1(K(R))$  where K(R) is a certain topological space built using the category  $\mathcal{P}_R$ .

Let R be a ring and S a (left and right) localising Ore set as before. Consider the relative K-group  $K_0(R, R_S)$ . There is a *connecting homomorphism* 

(8) 
$$\partial : K_1(R_S) \to K_0(R, R_S)$$

that maps an element  $[P_S, \alpha_S]$  in  $K_1(R_S)$   $(P_S$  is a finitely generated projective module over  $R_S$  and  $\alpha_S$  is an automorphism of  $P_S$ ) to  $[R^n, \tilde{\alpha}, R^n]$ . Here P is a projective module such that  $R_S \otimes_R P = P_S$  and Q is a projective R-module such that  $P \oplus Q \simeq R^n$ , and  $\tilde{\alpha}$  is an endomorphism of  $R^n$  such that  $1_{R_S} \otimes_R (\alpha \oplus 1_Q) \simeq \alpha_S$ . We can also use the isomorphism of  $K_1(R)$  with  $\operatorname{GL}(R)/E(R)$  to define this homomorphism. Map an element g in  $\operatorname{GL}(n, R)$ to  $[(R^n, g, R^n)]$  in  $K_0(R, R_S)$ . This commutes with the map  $\operatorname{GL}(n, R) \to \operatorname{GL}(n+1, R)$  and thus gives a well-defined homomorphism from  $\operatorname{GL}(R)$  to  $K_0(R, R_S)$ . But the latter group being abelian, this homomorphism factors to give the desired map from  $K_1(R)$ . There is also a homomorphism

(9) 
$$\eta : K_0(R, R_S) \to K_0(R)$$

that maps [(P, a, Q)] to [P] - [Q] in  $K_0(R)$ . These maps are used to construct a long exact sequence of K-groups as in the theorem stated below. A proof of this theorem when S is a central multiplicatively closed set can be found in [Sw, Part II, Chap. 15].

**Theorem 1.16.** Let R be a ring and S a left and right Ore set of R whose elements are nonzero divisors. Then there is an exact localization sequence

(10) 
$$K_1(R) \to K_1(R_S) \xrightarrow{\partial} K_0(R, R_S) \xrightarrow{\eta} K_0(R) \to K_0(R_S).$$

The sequence is surjective on the right if  $K_0(R_S)$  is replaced by  $G_0(R_S)$ , and the same holds if the ring R has finite global dimension. Another important property of the groups  $K_0$  and  $K_1$  is *Morita invariance*. If R is a ring, then this property states that there are natural isomorphisms  $K_i(R) \simeq K_i(M_n(R))$  for i = 0, 1. We shall need the *norm* or transfer maps defined in K-theory, which we recall for the  $K_1$ -groups.

**Definition 1.17.** Let R be a ring and R' a ring containing R such that R' is finitely generated and projective as an R-module. Let  $\mathcal{P}_{R'}$  and  $\mathcal{P}_R$  denote the categories of finitely generated projective modules over R' and R respectively. Then the natural forgetful functor  $\mathcal{P}_{R'} \to \mathcal{P}_R$  induces a homomorphism  $K_1(R') \to K_1(R)$  which is called the *norm* or *transfer* homomorphism.

A particular case of this will be that of group rings, with G a subgroup of finite index in another group G',  $R' = \mathbb{Z}[G']$  and  $R = \mathbb{Z}[G]$ .

We shall close this section with the following definition which will be extended later to Iwasawa algebras.

**Definition 1.18.** Let G be a finite group and A be a Dedekind domain. Consider the group ring R = A[G]. The group  $K'_1(A)$  is defined by  $K'_1(R) = K_1(R)/SK_1(R)$ , where  $SK_1(R)$  is defined as in (7).

We shall see later that the integral logarithm which will be discussed in the lectures of [SV] gives a nice description of  $K'_1(R)$ .

## 2. The Noncommutative Main Conjecture

In this section, we shall formulate the Noncommutative Main Conjecture for the trivial motive over totally real fields. We shall follow notation as in the lectures of J.Coates [C]. Thus F will denote a totally real number field and  $F_{\infty}$  will be an admissible, totally real p-adic Lie extension of F. Let G denote the Galois group  $\text{Gal}(F_{\infty}/F)$  and  $\Lambda(G)$  denote the Iwasawa algebra

$$\Lambda(G) := \lim \mathbb{Z}_p[G/U]$$

where U varies over open normal subgroups of G and the inverse limit is taken with respect to the natural surjections. If  $\mathcal{O}$  is the ring of integers of  $\mathbb{Z}_p$  in a finite extension of  $\mathbb{Q}_p$ , then  $\Lambda_{\mathcal{O}}(G)$  is the Iwasawa algebra of G over  $\mathcal{O}$ , defined as above with  $\mathcal{O}$  replacing  $\mathbb{Z}_p$ . Fix a finite set  $\Sigma$  of primes of F that contain the primes above p, and denote the maximal extension of F that is unramified outside  $\Sigma$  by  $F_{\Sigma}$ . Recall that for any extension L of F, X(L) is the Galois group of the maximal abelian p-extension contained in  $F_{\Sigma}$ . As discussed in the lectures by Coates,  $X(F^{\text{cyc}})$  is a torsion module over the Iwasawa algebra  $\Lambda(\Gamma)$ , where  $\Gamma \simeq \mathbb{Z}_p = \text{Gal}(F^{\text{cyc}}/F)$ . Throughout, we shall make the assumption that  $\mu = 0$  for the torsion module  $X(F^{\text{cyc}})$ .

The ring  $\Lambda(G)$  is known to be a left and right noetherian ring. If G is pro-p and has no elements of order p, it is an Auslander regular, local domain (see [V]). In general

however, it is a semilocal ring. The statement of the main conjecture needs the localization sequence, which is contingent on a choice of a left and right Ore set. Recall that  $H = \text{Gal}(F_{\infty}/F^{\text{cyc}})$ .

**Definition 2.1.** The set S is defined as

$$S = \{x \in \Lambda(G) \mid \Lambda(G)/s\Lambda(G) \text{ is a finitely generated } \Lambda(H) - \text{module}\}.$$

It is proven in [CFKSV] that the set S consists of nonzero divisors and is a left and right Ore set of  $\Lambda(G)$ . The set  $S_*$  is defined by  $S_* = \bigcup_{n \ge 0} p^n S$ .

In this set-up, we have the localization exact sequence

(11) 
$$K_1(\Lambda(G)) \to K_1(\Lambda(G)_S) \xrightarrow{\partial} K_0(\Lambda(G), \Lambda(G)_S) \xrightarrow{\eta} K_0(\Lambda(G)) \to K_0(\Lambda(G)_S).$$

It is proven in [FK] that if G is a profinite group, then

(12) 
$$K_1(\Lambda_{\mathcal{O}}(G)) \simeq \lim_{d \to \infty} K_1(\mathcal{O}[G/U)],$$

where the groups G/U vary over the finite quotients of the profinite group G. An additional important result (see for example [K]) is that the connecting homomorphism  $\partial$  is surjective. This is easily seen to be true when  $\Lambda(G)$  is local and G has no element of order p, as then the map  $\eta$  is injective since the last two groups on the right are isomorphic to  $\mathbb{Z}$ , the isomorphism being given by the rank. The general case needs a little more work, along with the fact that G always contains an open normal pro-p subgroup P. The hypothesis that  $\mu = 0$  for the Iwasawa module  $X(F^{cyc})$  guarantees that the module  $X(F_{\infty})$  is in fact an S-torsion module. However, as we are not assuming that G has no elements of order p,  $X(F_{\infty})$  might not have a finite resolution by projective modules. We shall therefore associate a complex which we denote by  $C(F_{\infty}/F)$ , with the following properties:

• 
$$H_i(C(F_\infty/F)) = 0$$
 for  $i \neq 0, 1$ 

• 
$$H_0(C(F_\infty/F)) = \mathbb{Z}_p$$
 and  $H_1(C(F_\infty/F)) = X(F_\infty)$ .

Moreover, it was proved by Fukaya and Kato [FK] that the complex  $C(F_{\infty}/F)$  is quasiisomorphic to a bounded complex of finitely generated projective  $\Lambda(G)$ -modules. It is clear by the remarks above that  $\Lambda(G)_S \otimes_{\Lambda(G)}^L C(F_{\infty}/F)$  is acyclic. Further, there are complexes C(K/F) for any extension  $F \subset K \subset F_{\infty}$  with derived isomorphisms

$$\Lambda(\operatorname{Gal}(K/F)) \otimes^{L}_{\Lambda(G)} C(F_{\infty}/F) \simeq C(K/F).$$

Thus there is an object  $[C(F_{\infty})/F]$  in the category  $\mathcal{C}_{S}^{hb}$  which we then view as being in the relative K-group  $K_{0}(\Lambda(G), \Lambda(G)_{S})$  via the isomorphism (6). This object is closely linked to  $X(F_{\infty}/F)$ . In fact, when G has no elements of order p, the module  $X(F_{\infty})$  can be

viewed as an object in the category  $\mathcal{H}_S$  and under the isomorphisms in (6), the complex  $C(F_{\infty}/F)$  maps to the module  $X(F_{\infty})$ .

**Definition 2.2.** Let  $\mathcal{O}$  be the ring of integers in a finite extension L of  $\mathbb{Q}_p$ . If G is a profinite group, then  $SK_1(\Lambda_{\mathcal{O}}(G))$  is defined as the inverse limit

$$\operatorname{SK}_1(\Lambda_{\mathcal{O}}(G)) := \lim \operatorname{SK}_1(\mathcal{O}[G/U])$$

where U varies over open normal subgroups of G and  $\operatorname{SK}_1(\mathcal{O}[G/U])$  is defined for the group ring as in (7), noting that G/U is a finite group. The ring  $\Lambda_{\mathcal{O}}(G)_S$  is the completion (in the *p*-adic topology) of the localisation of the Iwasawa algebra  $\Lambda_{\mathcal{O}}(G)_S$ . We define  $\operatorname{SK}_1(\Lambda_{\mathcal{O}}(G)_S)$  and  $\operatorname{SK}_1(\widehat{\Lambda_{\mathcal{O}}(G)}_S)$  to be the image of  $\operatorname{SK}_1(\Lambda_{\mathcal{O}}(G))$  in  $K_1(\Lambda_{\mathcal{O}}(G))$  and in  $K_1(\widehat{\Lambda_{\mathcal{O}}(G)})$  respectively under the corresponding natural maps

$$K_1(\Lambda_{\mathcal{O}}(G) \to K_1(\Lambda_{\mathcal{O}}(G)_S) \text{ and } K_1(\Lambda_{\mathcal{O}}(G) \to K_1(\Lambda_{\mathcal{O}}(G)_S).$$

The following definition extends that of 1.18.

**Definition 2.3.** Let R denote any of the rings  $\Lambda_{\mathcal{O}}(G)$  or  $\Lambda_{\mathcal{O}}(G)_S$  or  $\Lambda_{\mathcal{O}}(G)_S$ . Then we define

$$K_1'(R) = K_1(R) / \mathrm{SK}_1(R).$$

It is easily seen that there is a localization exact sequence

(13) 
$$K_1(\Lambda(G)) \to K'_1(\Lambda(G))_S) \xrightarrow{\partial} K_0(\Lambda(G), \Lambda(G)_S) \to 0.$$

The formulation of the main conjecture uses this exact sequence along with the fact noted earlier, namely that  $[X(F_{\infty})]$  lies in the relative K-group  $K_0(\Lambda(G), \Lambda(G)_S)$ . It is convenient to work with  $K'_1$  rather than the  $K_1$  groups in the localization sequence as this gives a uniqueness statement in the main conjecture. To make a precise formulation, we need to discuss noncommutative determinants. Let  $\rho$  be a finite dimensional Artin representation of  $\operatorname{Gal}(\overline{F}/F)$  factoring through G. Then we have a continuous representation

$$\rho: G \to \operatorname{GL}_n \mathcal{O}$$

where  $\mathcal{O}$  is the ring of integers of a finite extension of  $\mathbb{Q}_p$ . Let  $Q_{\mathcal{O}}(\Gamma)$  be the fraction field of the Iwasawa algebra  $\Lambda_{\mathcal{O}}(\Gamma)$ . There is an induced homomorphism (see [CFKSV])

$$\Phi_{\rho} : \Lambda(G)_S \to \mathcal{M}_n(Q_{\mathcal{O}}(\Gamma))$$

which by functoriality induces a group homomorphism

$$\Phi_{\rho'} : K_1'(\Lambda(G)_S) \to K_1'(M_n(Q_{\mathcal{O}}(\Gamma))) = Q_{\mathcal{O}}(\Gamma)^{\times},$$

where the last equality holds by Morita invariance. On the other hand, the augmentation map  $\phi : \Lambda_{\mathcal{O}}(\Gamma) \to O$  extends to a homomorphism

$$\phi: \Lambda_{\mathcal{O}}(\Gamma)_{\mathfrak{p}} \to L,$$

where  $\mathfrak{p}$  is the kernel of  $\phi$  and  $\Lambda_{\mathcal{O}}(\Gamma)_{\mathfrak{p}}$  is the corresponding localisation. Extend this map to

$$\phi': Q_{\mathcal{O}}(\Gamma) \to L \cup \infty$$

by mapping any  $x \in L \setminus \Lambda_{\mathcal{O}}(\Gamma)_{\mathfrak{p}}$  to  $\infty$ . Composing  $\Phi'_{\rho}$  with  $\phi'$ , we get a map

$$\begin{array}{rccc} K_1(\Lambda(G)_S) & \to & L \cup \infty \\ x & \mapsto & x(\rho). \end{array}$$

Thus elements of  $K_1(\Lambda(G)_S)$  can be evaluated on Artin characters to get values. The main conjecture predicts a precise interpolation of these values as below. For any Artin representation  $\rho$  of the Galois group of F, let  $L_{\Sigma}(\rho, s)$  denote the imprimitive *L*-function associated to  $\rho$  with the Euler factors at the primes in  $\Sigma$  being removed.

**Theorem 2.4.** (MAIN CONJECTURE) Let  $F_{\infty}/F$  be an admissible p-adic Lie extension satisfying the hypothesis  $\mu = 0$ . Then there is a unique element  $\zeta(F_{\infty}/F)$  in  $K'_1(\Lambda(G)_S)$ such that  $\partial(\zeta(F_{\infty}/F)) = -[C(F_{\infty}/F)]$  where  $C(F_{\infty}/F)$  is the complex defined above, and such that for any Artin character  $\rho$  of G and any positive integer r divisible by the extension degree  $[F_{\infty}(\mu_p) : F_{\infty}]$ , we have

$$\zeta(F_{\infty}/F)(\rho\kappa_F^r) = L_{\Sigma}(\rho, 1-r),$$

where  $\kappa$  is the cyclotomic character.

The element  $\zeta(F_{\infty}/F)$  is called a *p*-adic zeta function for the extension  $F_{\infty}/F$ . It depends on the finite set  $\Sigma$  but we shall suppress this in the notation. It can be shown that the validity of the Main Conjecture is independent of  $\Sigma$  as long as it contains all the primes of F that ramify in  $F_{\infty}$ .

## 3. Reductions

In this section, we show how the proof of the main conjecture can be reduced to the case when the Galois group G has dimension one. In other words, the validity of the main conjecture for one dimensional p-adic Lie groups implies the main conjecture for p-adic Lie groups of arbitrary dimension. This was first proven by Burns [Bu] using slightly different methods.

Let us start with some preliminary observations. By a result of Higman (see [O]), it is known that the groups  $SK_1(\mathcal{O}[\Delta])$  are finite for all finite groups  $\Delta$ . Combining this with (12), for a compact *p*-dic Lie group *P*, we have

(14) 
$$K'_1(\Lambda_{\mathcal{O}}(P)) \simeq \lim K'_1(\mathcal{O}[\Delta]),$$

 $\Delta$ 

where  $\Delta$  varies over the finite quotients of P. Let G be the Galois group  $F_{\infty}/F$  as before. The following set of quotient groups of G plays an important role.

**Definition 3.1.** The set  $Q_1(G) = \{G/U : U \text{ is an open subgroup of } H \text{ and is normal in } G\}$ .

**Proposition 3.2.** The natural map

$$K'_1(\Lambda(G)) \to \varprojlim_{G' \in Q_1(G)} K'_1(\Lambda(G'))$$

is an isomorphism.

*Proof.* We have

1

$$\lim_{G' \in Q_1(G)} K'_1(\Lambda(G')) \simeq \lim_{G'} \lim_{\Delta_{G'}} K'_1(\mathbb{Z}_p[\Delta_G])$$

$$\simeq \lim_{\Delta} K'_1(\mathbb{Z}_p[\Delta])$$

$$\simeq K'_1(\Lambda(G)),$$

where  $\Delta'_G$  runs through finite quotients of G' and  $\Delta$  runs through all finite quotients of G.

The first reduction is the following:

**Theorem 3.3.** Assume  $F_{\infty}/F$  is an admissible p-adic Lie extension satisfying  $\mu = 0$ hypothesis. Then the main conjecture is true for  $F_{\infty}/F$  if it is true for each of the extensions  $F_{\infty}^U/F$  for all open subgroups  $U \subseteq H$  such that U is normal in G, and if for each group  $G_U := G/U$ , the group  $K'_1(\Lambda(G_U))$  injects into  $K_1(\Lambda(G_U)_S)$ .

*Proof.* (sketch) Note first that  $F_{\infty}^{U}/F$  is an admissible *p*-adic Lie extension which again satisfies the  $\mu = 0$  Hypothesis. (This is true because the  $\mu = 0$  hypothesis is equivalent to  $X(F_{\infty})$  being finitely generated as a  $\Lambda(H)$ -module, and observing that  $\Lambda(U)$  is a subalgebra of  $\Lambda(H)$  with the property that  $\Lambda(H)$  is finite as a  $\Lambda(U)$ -module). Consider now the following commutative diagram:

Using the hypothesis on the validity of the main conjecture for the quotients G/U, we see that there exist elements  $\zeta_U$  in  $K'_1(\Lambda(G_U)_S)$  satisfying the main conjecture for each  $G_U$ . Let  $f \in K'_1(\Lambda(G)_S)$  be a characteristic element of  $C(F_{\infty}/F)$  and let  $(f_U)_U \in$  $\lim_{G_U} K'_1(\Lambda(G_U)_S)$  be the image of f under the second vertical map. Put  $w_U = \zeta_U f_U^{-1} \in$  $K'_1(\Lambda(G_U)_S)$ . Then  $(w_U)_{U \in Q_1(G)} \in \lim_{G_U} K'_1(\Lambda(G_U))$ . There is an element  $w \in K'_1(\Lambda(G))$ that maps to  $(w_U)_U$  under the first vertical isomorphism. Let us check that  $\zeta := wf$  is the required p-adic zeta function. Uniqueness is clear, and an easy diagram chase gives  $\partial(\zeta) = -[C(F_{\infty}/F)].$ 

To check the interpolation property, let  $\rho$  be an Artin representation of G. Note first that there is a G' in  $Q_1(G)$  such that  $\rho$  factors through G'. Since  $\zeta$  maps to  $\zeta_G$ in  $K_1(\Lambda(G)_S)$ , for any positive integer r divisible by  $[F_{\infty}(\mu_p):F_{\infty}]$ , we have

$$\zeta(\rho\kappa_F^r) = \zeta_G(\rho\kappa_F^r) = L_{\Sigma}(\rho, 1-r),$$

as required.

The next reduction steps help us to reduce further to special kinds of admissible *p*-adic extensions of dimension one. Let  $\Gamma \simeq \mathbb{Z}_p$  be the Galois group of the cyclotomic extension  $F^{\text{cyc}}/F$ . Pick and fix a lift of  $\Gamma$  in G so that we get an isomorphism  $G \simeq H \rtimes \Gamma$ . Fix also an open subgroup  $\Gamma^{p^e}$  of  $\Gamma$  that acts trivially on H and put  $G_e = G/\Gamma^{p^e}$ . We need the following definitions.

**Definition 3.4.** Let *l* be prime integer. A finite group *P* is called *l*-hyperelementary if *P* is of the form  $C_n \rtimes P_1$ , with  $C_n$  cyclic group of order n and  $P_1$  a finite l-group with  $l \nmid n$ . Let K be a field. An *l*-hyperelementary group  $C_n \rtimes P_1$  is called *l*-K-elementary if

$$\operatorname{Im}[P_1 \to \operatorname{Aut}(C_n) \simeq (\mathbb{Z}/n\mathbb{Z})^{\times}] \subset \operatorname{Gal}(K(\mu_n)/K$$

A hyperelementary group is one which is l-hyperelementary for some prime l. A Kelementary group is one which is l-K-elementary for some prime l.

The above definition is extended to *p*-adic Lie groups as follows.

**Definition 3.5.** Let *l* be a prime. A *p*-adic Lie group is called *l*-*K*-elementary if it is of the form  $P \rtimes \Gamma$  for a finite group P and such that there is a central open subgroup  $\Gamma^{p^r}$ of  $P \rtimes \Gamma$  such that  $(P \rtimes \Gamma)/\Gamma^{p^r}$  is an *l*-K-elementary finite group. A *p*-adic Lie group is K-elementary if it is l-K-elementary for some prime l.

The next tool we use is the induction theory of A. Dress which reduces the computation of  $K'_1$  for finite group rings  $\mathcal{O}[P]$  to *l*-K-elementary subgroups of the finite group P, where K is the fraction field of  $\mathcal{O}$ . In particular, we need the following theorem (see [O, Theorem [11.2] and also [W]).

**Theorem 3.6.** Let P be a finite group. Then there is an isomorphism

$$K'_1(\mathcal{O}[P]) \simeq \varprojlim_{\pi} K'_1(\mathcal{O}[\pi]),$$

where  $\pi$  runs through all K-elementary subgroups of P. The inverse limit is taken with respect to norm maps and the maps induced by conjugation. In other words,  $(x_{\pi}) \in$  $\prod_{\pi} K'_1(\mathcal{O}[\pi]) \text{ lies in } \varprojlim_{\pi} K'_1(\mathcal{O}[\pi]) \text{ if and only if}$ 

- For all  $g \in P$ ,  $gx_{\pi}g^{-1} = x_{q\pi}g^{-1}$ , and
- For  $\pi \leq \pi' \leq P$ , the norm homomorphism  $K'_1(\mathcal{O}[\pi']) \to K'_1(\mathcal{O}[\pi])$  maps  $x_{\pi'} \to x_{\pi}$ . 14

Hence in the above isomorphism, we may restrict the inverse limit to only maximal K-elementary subgroups of P.

**Lemma 3.7.** Let  $G_n = G/\Gamma^{p^{n+e}}$  for all  $n \ge 0$ . We have an isomorphism  $K'_1(\Lambda(G)) \simeq \varprojlim_P K'_1(\Lambda(U_P)),$ 

where the inverse limit ranges over all  $\mathbb{Q}_p$ -elementary subgroups of  $G_n$ , and it is with respect to the maps induced by conjugation and the norm maps. The group  $U_P$  is the inverse image of P in G under the surjection  $G \to G_n$ .

*Proof.* Note that  $G_n$  is a finite group as we have reduced to the case when G has dimension one. Letting P run through all  $\mathbb{Q}_p$ -elementary subgroups of  $G_n$  and taking all inverse limits with respect to the maps induced by conjugation and the norm maps, by Theorem 3.6 we have an isomorphism

$$K'_1(\mathbb{Z}_p[G_n]) \simeq \varprojlim_P K'_1(\mathbb{Z}_p[P])$$

Claim:

$$K'_1(\mathbb{Z}_p[G_{n+i}]) \simeq \varprojlim_P K'_1(\mathbb{Z}_p[U_p/\Gamma^{p^{n+e+i}}]).$$

We remark that  $U_P/\Gamma^{p^{n+e+i}}$  is the inverse image of P in the group  $G_{n+i}$  under the surjection  $G_{n+i} \to G_n$ . Further, any  $\mathbb{Q}_p$ -elementary subgroup of  $G_{n+i}$  is contained in  $U_P/\Gamma^{p^{n+e+1}}$  for some P. Hence

$$\lim_{P} K_1'(\mathbb{Z}_p[U_P/\Gamma p^{n+e+1}]) \simeq \lim_{P} \lim_{C_P} K_1'(\mathbb{Z}_p[C_P])$$

$$\simeq \lim_{Q} K_1'(\mathbb{Z}_p[Q])$$

$$\simeq K_1'(\mathbb{Z}_p[G_{n+i}]);$$

where  $C_P$  runs through all  $\mathbb{Q}_p$ -elementary subgroups of  $U_p/\Gamma^{p^{n+e+i}}$  and Q runs through all  $\mathbb{Q}_p$ -elementary subgroups of  $G_{n+i}$ . Hence the claim is proved and the lemma follows by passing to the inverse limit and using (14).

The following theorem reduces proving the main conjecture to the case of  $\mathbb{Q}_p$ -elementary extensions.

**Theorem 3.8.** Assume that the main conjecture is valid for all one dimensional, admissible p-adic Lie extensions satisfying  $\mu = 0$  hypothesis and whose Galois group is  $\mathbb{Q}_p$ -elementary. Also assume that for all  $\mathbb{Q}_p$ -elementary p-adic Lie groups U, the group  $K'_1(\Lambda(U))$  injects into  $K'_1(\Lambda(U)_S)$ . Then the main conjecture is valid for all admissible p-adic Lie extensions  $F_{\infty}/F$  satisfying  $\mu = 0$  hypothesis. Moreover, if  $G = \text{Gal}(F_{\infty}/F)$ , then  $K'_1(\Lambda(G))$  injects into  $K'_1(\Lambda(G)_S)$ . Proof. We sketch the essential steps. First note that if the  $\mu = 0$  hypothesis is valid for an admissible *p*-adic Lie extension  $F_{\infty}/F$  of dimension one, then it is valid for all its admissible *p*-adic Lie subextensions as well. This is a consequence of the fact that  $\mu = 0$  is equivalent to  $X(F_{\infty}/F)$  being a finitely generated module over  $\mathbb{Z}_p[H]$  where  $H = \operatorname{Gal}(F_{\infty}/F^{\operatorname{cyc}})$ . Assume that the main conjecture is valid for all admissible *p*-adic Lie subextensions of  $F_{\infty}/F$  whose Galois group is  $\mathbb{Q}_p$ -elementary, and let  $G_n = G/\Gamma^{p^{n+e}}$ , for  $n \geq 0$ . Consider the following commutative diagram where *P* runs through all  $\mathbb{Q}_p$ elementary subgroups of  $G_n$  and  $U_P$  denotes the inverse image of *P* in *G*.

1

Pick f in  $K'_1(\Lambda(G)_S)$  such that  $\partial(f) = -[C(F_\infty)/F]$  and let  $(f_P)$  be its image in  $\varprojlim_P K'_1(\Lambda(U_P)_S)$ .

From our hypothesis, we get a *p*-adic zeta function  $\zeta_P$  for each *P*, which gives, by uniqueness, an element  $(\zeta_P) \in \varprojlim_P K'_1(\Lambda(U_P))$ . Put  $u_P = \zeta_P f_P^{-1} \in K'_1(\Lambda(U_P))$  and note that there is a  $u \in K'_1(\Lambda(G))$  mapping to  $(u_P)$ . Then  $\zeta = uf$  is the sought after *p*-adic *L*-function.

Is a  $u \in K_1(\Lambda(G))$  mapping to  $(u_P)$ . Then  $\zeta = u_f$  is the sought after *p*-adic *L*-function. Being the only element in  $K'_1(\Lambda(G)_S)$  such that  $\partial(\zeta) = -[C(F_{\infty}/F)]$  and whose image in  $K'_1(\Lambda(U_P))$  is  $\zeta_P$ , it is independent of the choice of *n*.

The interpolation property is seen to hold as follows. Let  $\rho$  be an Artin character of G, then  $\rho$  factors through a quotient  $G_n$  for some n. By the Brauer Induction theorem (see [CR, (15.9)], we can write

$$\rho = \sum_{P} n_p \operatorname{Ind}_{U_P}^G \rho_P,$$

remembering that P runs through  $\mathbb{Q}_p$ -elementary subgroups of  $G_n$ , and also that  $\rho_P$ 's need not be one dimensional. Let  $F_P$  denote the field extension of F that is the fixed field of  $U_P$  and suppose that  $\kappa_{F_P}$  is the corresponding cyclotomic character. For any positive integer r divisible by  $[F_{\infty}(\mu_P) : F_{\infty}]$ , we then have

$$\begin{split} \zeta(\rho\kappa_F^r) &= \prod_P \zeta(\mathrm{Ind}_{U_P}^G \rho_P \kappa_F^r)^{n_\rho} \\ &= \prod_P \zeta_P (\rho_P \kappa_{F_P}^r)^{n_P} \\ &= \prod_P L_\Sigma (\rho_P, 1-r)^{n_P}, \end{split}$$

thereby establishing the interpolation property. The remaining assertions follow easily from a diagram chase and the theorem is proved.  $\hfill \Box$ 

We have thus reduced the proof of the main conjecture to  $\mathbb{Q}_p$ -elementary extensions. Within this reduction, we proceed to analyse the case under the assumption that G is  $l - \mathbb{Q}_p$ -elementary for some prime  $l \neq p$ . The first observation is the following whose proof we omit.

**Lemma 3.9.** If a p-adic Lie group G is  $l-\mathbb{Q}_p$ -elementary for some prime  $l \neq p$ , then it is isomorphic to  $\Gamma_{p^e} \times E$  for some finite  $l-\mathbb{Q}_p$ -elementary group E.

This lemma enables us to take the further step of reducing to the case when G is of the form  $\Gamma \times E$ , with E a l- $\mathbb{Q}_p$ -elementary finite group. We may write  $E = C \rtimes U$  where C is a cyclic group of order prime to l and U is a finite l-group. If  $p \nmid$  the order of C, then the main conjecture is valid for  $F_{\infty}/F$  by the following classical theorem (see [C]).

**Theorem 3.10.** Let  $F_{\infty}/F$  be a p-adic Lie extension with Galois group  $G = E' \times E \times \Gamma$ where E' is a finite group whose order is prime to p and E is a finite abelian group. Then the main conjecture is true for the extension  $F_{\infty}/F$ .

 $\Box$  The main idea in the proof of the above theorem is to use the images  $C_{\chi}$  of the complex  $C(F_{\infty}/F)$  for characters  $\chi$  in the set  $R(\chi)$  of irreducible characters of E', where

$$C_{\chi} := (\Lambda_{\mathcal{O}_{\chi}}(E \times \Gamma)_S \otimes^L_{\Lambda(G)_S} C(F_{\infty}/F).$$

Then

$$\partial(L_p(\chi)) = -[C_{\chi}] \in K_0(\Lambda_{\mathcal{O}_{\chi}}((E \times \Gamma), \Lambda_{\mathcal{O}_{\chi}}(e \times \Gamma)_S).$$

One then uses the isomorphism

$$K_0(\Lambda(G), \Lambda(G)_S)) \to \bigoplus_{\chi \in R(E')} K_0(\Lambda_{\mathcal{O}_\chi}(E \times \Gamma), \Lambda_{\mathcal{O}_\chi}(E \times \Gamma)_S).$$

We therefore assume that our group G is an l- $\mathbb{Q}_p$ -elementary group of the form

(15) 
$$G = \Gamma \times E \text{ with } E = C \rtimes P,$$

with C a cyclic group of p-power order and P a finite group of order prime to p. By a result of Oliver (see [O, Proposition 12.7]), if G' is a finite group such that the p-Sylow subgroup of G' has an abelian normal subgroup with cyclic quotient, then  $SK_1(\mathbb{Z}_p[G']) = 1$ . By passing to the inverse limit, one then concludes that  $SK_1(\mathbb{Z}_p[G]) = 1$  for G as reduced above in (15).

**Definition 3.11.** Let G be as in (15). Since P acts on C, the homology groups  $H_i(P, C)$  are defined. Put  $\overline{C} = H_0(P, C)$ ,  $\overline{H} = \overline{C} \times P$  and  $\overline{G} = \Gamma \times \overline{H}$ . We also define  $G_1 = \Gamma \times C$ .

We have the natural maps

$$can : K_1(\Lambda(G) \to K_1(\Lambda(\overline{G})), norm : K_1(\Lambda(G)) \to K_1(\Lambda(G_1)).$$

(16) 
$$\begin{aligned} \theta : K_1(\Lambda(G)) &\to K_1(\Lambda(G)) \times K_1(\Lambda(G_1)), \\ \theta_S : K_1(\Lambda(G)_S) &\to K_1(\Lambda(\bar{G})_S) \times K_1(\Lambda(G_1)_S), \\ & 17 \end{aligned}$$

where the map in the first component is the one induced by the natural surjection and the map in the second component is the norm map. This is used to define the following set which will play a key role in the description of  $K_1(\Lambda(G))$ .

**Definition 3.12.** Consider the maps

$$can : K_1(\Lambda(\bar{G}) \to K_1(\Lambda(\Gamma \times \bar{C}), norm : K_1(\Lambda(\bar{G})) \to K_1(\Lambda(\Gamma \times \bar{C})).$$

Let  $\Phi$  (resp.  $\Phi_S$ ) be the set of all pairs  $(x_0, x_1) \in K_1(\Lambda(\bar{G}) \times K_1(\Lambda(G_1)))$  (resp.  $K_1(\Lambda(G)_S) \times K_1(\Lambda(G_1)_S)$ ) such that  $norm(x_0) = can(x_1)$ , and  $x_1$  is fixed under the conjugation action by every element of P.

**Proposition 3.13.** The map  $\theta$  induces an isomorphism between  $K'_1(\Lambda(G))$  and  $\Phi$ . The image of  $\theta_S$  is contained in  $\Phi_S$ . In particular

$$\operatorname{Im}(\theta_S) \cap (K_1(\Lambda(G)) \times K_1(\Lambda(G_1))) = \operatorname{Im}(\theta).$$

Thus there is a commutative diagram

$$K_1(\Lambda(G) \xrightarrow{\theta} \Phi \subseteq K_1(\Lambda(\overline{(G)}) \times K_1(\Lambda(G_1)))$$

$$\downarrow \qquad \qquad \downarrow$$

$$K_1(\Lambda(G)_S) \xrightarrow{\theta_S} \Phi_S \subseteq K_1(\Lambda((\overline{G}))_S) \times K_1(\Lambda(G_1)_S).$$

Proof. Let us verify that the image of  $\theta$  is contained in  $\Phi$ ; the corresponding verification for  $\theta_S$  is similar. Let B be the set of right coset representatives of P in H. Then B is a basis of the  $\Lambda(G_1)$ -module  $\Lambda(G)$ . Let  $x \in K_1(\Lambda(G))$ . The image of x in  $K_1(\Lambda(G_1))$  under the norm map is described as follows. Let  $\tilde{x}$  be a lift of x in  $\Lambda(G)^{\times}$ . Multiplication on the right by  $\tilde{x}$  gives a  $\Lambda(G_1)$ -linear map on  $\Lambda(G)$ . Let  $A(B, \tilde{x})$  be the matrix of this map with respect to the basis B. Then the norm of x is the class of this matrix in  $K_1(\Lambda(G_1))$ , and is independent of  $\tilde{x}$  and the basis B.

Let  $g \in P$ . Then  $gBg^{-1}$  is also a  $\Lambda(G_1)$ -basis of  $\Lambda(G)$ , and  $gA(B, \tilde{x})g^{-1} = K_1(\Lambda(\bar{G}) \times K_1(\Lambda(G_1)))$ . Since  $g\tilde{x}g^{-1}$  is also a lift of x, the class of  $A(gBg^{-1}, g\tilde{x}g^{-1})$  in  $K_1(\Lambda(G_1))$  is the same as that of  $A(B, \tilde{x})$ . Hence  $\theta(x)$  satisfies the second condition on the definition of  $\Phi$ , namely invariance under conjugation. Choosing the same set B as a basis of the  $\Lambda(\Gamma \times \bar{C})$ -module  $\Lambda(\bar{G})$ , one sees that the following diagram commutes:

$$\begin{array}{ccc} K_1(\Lambda(G)) & \xrightarrow{norm} & K_1(\Lambda(G_1)) \\ & & & \downarrow can \\ & & & \downarrow can \\ K_1(\Lambda(\bar{G})) & \xrightarrow{norm} & K_1(\Lambda(\Gamma \times \bar{C})). \end{array}$$

Hence  $\theta(x)$  also satisfies the first condition in the definition of  $\Phi$ .

We show that  $\theta$  surjects onto  $\Phi$ . Let  $(x_0, x_1) \in \Phi$ . Since  $K_1(\Lambda(G))$  surjects onto  $K_1(\Lambda(G))$ , we can assume that  $x_0 = 1$ . Then the first condition in the definition of  $\Phi$ 

implies that

$$x_1 \in J := \operatorname{Ker}\left(can : K_1(\Lambda(G_1)) \to K_1(\Lambda(\Gamma \times \overline{C}))\right)$$

By [O, Theorem 2.10] and [FK, Proposition 1.5.3], the subgroup J is pro-p. Let  $J^P$  be the subgroup of elements of J that are fixed pointwise under the conjugaton action of P, and let  $x_1 \in J^P$ . Note that the above result tells us that  $J^P$  is pro-p as well. Let  $x_1 \in J^P$ , and suppose that n is the order of P. Let  $z \in J^P$  be such that  $z^n = x_1$ . Denote by zthe image of z in  $K_1(\Lambda(G))$ . Let  $\theta(z) = (z_0, z_1)$ . By construction, we have  $z_0 = z_1$ , and we have  $norm(z_0) = z_0^n = 1$  in  $K_1(\Lambda(\Gamma \times \overline{C}))$ . But  $z_0$  lies in a pro-p subgroup and hence  $z_0 = 1$ , thereby proving the sujectivity of  $\theta$  onto  $\Phi$ .

We now show that  $\theta$  is injective. For a finite group G', let Conj(G') denote the set of conjugacy classes of G'. Let n be a non-negative integer. Consider the map

$$\beta : \mathbb{Q}_p[Conj(G/\Gamma^{p^n})] \to \mathbb{Q}_p[Conj(\bar{G}/\Gamma^{p^n})] \times \mathbb{Q}_p[G_1/\Gamma^{p^n}]$$

where the map into the first component is induced by the natural surjection and the map into the second component is defined as follows. Let B be a set of left coset representatives of G' in  $G/\Gamma^{p^n}$  and let  $g \in G/\Gamma^{p^n}$ . Then the map is the  $\mathbb{Q}_p$ -linear map induced by

$$g \mapsto \sum_{x \in B} \left\{ x^{-1}gx : x^{-1}gx \in G_1/\Gamma^{p^n} \right\}.$$

To see this injectivity property the following result (see [Se, Proposition 25]) is used:

**Lemma 3.14.** Any irreducible representation of G is obtained either by inflating an irreducible representation of  $\overline{C} \times H$  or by inducing an irreducible representation of C.

 $\Box$ It follows from the above lemma that  $\beta$  is injective. It induces an injection

$$\beta : \lim_{n} \mathbb{Q}_p[Conj(G/\Gamma^{p^n}]) \to \lim_{n} \mathbb{Q}_p[Conj(\bar{G}/\Gamma^{p^n}]) \times \lim_{n} \mathbb{Q}_p[G_1/\Gamma^{p^n}].$$

A final crucial ingredient in proving the injectivity of  $\theta$  is the *logarithm* map on  $K_1$  (see [SV]) defined by Oliver and Taylor. For a finite group G', there is a group homomorphism

$$log : K_1(\mathbb{Z}_p[G']) \to \mathbb{Q}_p[Conj(G)]$$

with kernel the torsion subgroup. By a theorem of Wall [W, Theorem 7.4], the torsion subgroup of  $K_1(\mathbb{Z}_p[G'])$  is  $\mu_{p-1} \times G^{ab} \times SK_1(\mathbb{Z}_p[G])$ . Thus the exact sequence

$$1 \to \mu_{p-1} \times (G/\Gamma^{p^n})^{\mathrm{ab}} \to K_1(\mathbb{Z}_p[G/\Gamma^{p^n}] \stackrel{log}{\to} \mathbb{Q}_p[Conj(G/\Gamma^{p^n})]$$

induces a homomorphism

$$1 \to \mu_{p-1} \times G^{\mathrm{ab}} \to K_1(\Lambda(G)) \stackrel{log}{\to} \varprojlim_n \mathbb{Q}_p[Conj(G/\Gamma^{p^n})].$$

There is a commutative diagram (see proof of [O, Theorem 6.8])

We complete the proof of the injectivity of  $\theta$ . Let  $x \in Ker(\theta)$ . As  $\beta$  is injective,  $x \in Ker(log)$ , and hence  $x \in \mu_{p-1} \times G^{ab}$ . But under the natural surjection

 $K_1(\Lambda(G)) \to K_1(\Lambda(\overline{G})),$ 

which is in fact the first component of the map  $\theta$ ,  $\mu_{p-1} \times G^{ab}$  maps identically on  $\mu_{p-1} \times (\bar{G})^{ab}$  (note that  $G^{ab} = \bar{G}^{ab}$ ). Hence x = 1 and the proposition is proved.

**Theorem 3.15.** Let  $F_{\infty}/F$  be an admissible extension satisfying the hypothesis  $\mu = 0$ and let  $G = \text{Gal}(F_{\infty}/F)$ . Assume that G is  $l - \mathbb{Q}_p$ -elementary for some prime  $l \neq p$ . Then the main conjecture for  $F_{\infty}/F$  is valid. Moreover,  $K_1(\Lambda(G))$  injects into  $K_1(\Lambda(G)_S)$ .

Proof. Let  $f \in K_1(\Lambda(G)_S)$  such that  $\partial(f) = -[C(F_{\infty}/F)]$ , and let  $\theta_S(f) = (f_0, f_1) \in \Phi_S$ . Recall that  $G_1 \simeq \Gamma \times C$  with C a cyclic group of p-power order, and  $\bar{G} \simeq \Gamma \times \bar{C} \times P$ , with P a finite group of order prime to p. Let  $L = F_{\infty}^{G_1}$ , and  $F'_{\infty}/F$  be the Galois extension of F such that  $\operatorname{Gal}(F'_{\infty}/F) = \bar{G}$ . By the earlier reductions, the main conjecture is valid for subextensions  $F_{\infty}/L$  and the extension  $F'_{\infty}/F$ . Let  $\zeta_1$  and  $\zeta_0$  be the corresponding p-adic zeta functions satisfying the main conjecture. By the uniqueness and the interpolation property, one verifies that  $(\zeta_0, \zeta_1) \in \Phi_S$ . Let  $(\zeta_0, \zeta_1) \in \Phi_S$  and  $u_i = \zeta_i f_i^{-1}$ . (for i = 0, 1). Then  $\zeta = uf$  is the required p-adic  $\zeta$ -function. That  $\partial(f) = -[C(F_{\infty})/F]$  is clear, and we check the interpolation property. By Lemma 3.14,  $\rho$  is either obtained by inflating a representation  $\bar{\rho}$  of  $\bar{G}$  or by inducing a representation  $\rho_1$  of  $G_1$ . Hence for any positive integer r divisible by  $[F_{\infty}(\mu_p) : F_{\infty}]$ , we have for i = 0 or 1, and  $\kappa_0 = \kappa_F$ ,  $\kappa_1 = \kappa_L$ ,

$$\zeta(\rho\kappa_F^r) = \zeta_i(\rho_i\kappa_i^r) = L_{\Sigma}(\rho_i, 1-r) = L_{\Sigma}(\rho, 1-r).$$

To see the assertion about injectivity, note that if  $x \in K_1(\Lambda(G))$  maps to 1 in  $K_1(\Lambda(G)_S)$ , then  $\theta(x) = 1$  and hence x = 1. Hence the theorem is proved.

The final reduction is to pro-p extensions. We may assume by the results proved so far that G is  $p-\mathbb{Q}_p$ -elementary, say  $G = C_n \rtimes H_p$  where  $H_p$  is a p-group and  $C_n$  is a cyclic group of order n prime to p. The Galois group  $\operatorname{Gal}(\mathbb{Q}_p(\mu_n)/\mathbb{Q}_p)$  acts on the set of one dimensional characters  $\hat{C}_n$  of  $C_n$ , and we let C be the orbit set of  $\hat{C}_n$  under this action. Then the ring  $\mathbb{Z}_p[C_n]$  decomposes as

$$\mathbb{Z}_p[C_n] \simeq \bigoplus_{\chi} \mathcal{O}_{\chi},$$
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where  $\chi$  varies over a representative character from each orbit, and  $\mathcal{O}_{\chi}$  is the ring of integers in a finite extension  $L_{\chi}$  of  $\mathbb{Q}_p$ . The action of  $H_p$  on  $C_n$  induces an action on each  $\mathcal{O}_{\chi}$  through

$$H_p \to \operatorname{Gal}(L_\chi/\mathbb{Q}_p).$$

Set  $U_{H_p}$  to be the inverse image of  $H_p$  in G which for ease of notation, we denote by U. Then  $G = C_n \rtimes U$ . Let  $t_{\chi}$  denote the composition

$$t_{\chi} : U \to H_p \to \operatorname{Gal}(L_{\chi}/\mathbb{Q}_p),$$

and let  $U_{\chi}$  denote Ker  $(t_{\chi})$ .

Proposition 3.16. We have an isomorphism

$$K'_1(\Lambda(G)) \to \bigoplus_{\chi} K'_1(\Lambda_{\mathcal{O}_{\chi}}(U_i))^{U/U_{\chi}}.$$

*Proof.* For every  $n \ge 0$ , let  $G_n = G/\Gamma^{p^{n+e}}$ . Define  $U_{\chi,n}$  to be the kernel of the map

$$U/\Gamma^{p^{e+n}} \to H_p \to \operatorname{Gal}(L_{\chi}/\mathbb{Q}_p).$$

Then by [O, Theorem 12.3], we have

$$K'_1(\mathbb{Z}_p[G_n]) \simeq \bigoplus_{\chi} K'_1(\mathcal{O}_{\chi}[U_{\chi,n}])^{U/U_{\chi,n}}$$

The required result follows on passing to the inverse limit.

Let us recall the set-up;  $G = \operatorname{Gal}(F_{\infty}/F)$  is a *p*-adic Lie extension such that it satisfies the  $\mu = 0$  hypothesis and such that G contains a p- $\mathbb{Q}_p$ -elementary subgroup G' and G'contains a fixed open subgroup  $\Gamma^{p^e}$  of  $\Gamma$  which is central in G. Let  $\chi$  be a character as in the paragraph above.

**Theorem 3.17.** Let  $F_{\infty}/F$  be a p-adic Lie extension satisfying  $\mu = 0$  hypothesis such that G' is a p- $\mathbb{Q}_p$ -elementary group  $G = C_n \rtimes H_p$ . With notation as above, assume that the main conjecture is true for  $F_{\infty}^{\text{Ker }\chi}/F_{\infty}^{C_n \rtimes U_{\chi}}$  for each  $\chi \in \hat{C}_n$  and that  $K'_1(\Lambda_{\mathcal{O}_{\chi}}(U_{\chi}))$ injects into  $K'_1(\Lambda_{\mathcal{O}_{\chi}}(U_{\chi})_S)$ . Then the main conjecture is true for  $F_{\infty}/F$  and  $K'_1(\Lambda(G))$ injects into  $K'_1(\Lambda(G)_S)$ .

*Proof.* Assume that the main conjecture is valid for each of the extensions  $F_{\infty}^{\text{Ker }\chi}/F_{\infty}^{C_n \rtimes U_{\chi}}$ . Let  $\zeta_{\chi} \in K'_1(\Lambda(C_n/(\text{Ker }\chi \times U_{\chi})_S))$  be the *p*-adic zeta function in the main conjecture. Let  $L_p(\chi)$  be the image of  $\zeta_{\chi}$  under the natural map

$$K'_1(\Lambda(C_n/(\operatorname{Ker} \chi \times U_{\chi})_S) \to K'_1(\Lambda_{\mathcal{O}_{\chi}}(U_{\chi})_S),$$
  
<sup>21</sup>

induced by the natural surjection  $\mathbb{Z}_p[C_n/\text{Ker }\chi] \to \mathcal{O}_{\chi}$ . Consider the following commutative diagram

We have  $L_p(\chi) \in K'_1(\Lambda_{\mathcal{O}_{\chi}}(U_{\chi}))^{U/U_{\chi}}$ . Suppose  $f \in K'_1(\Lambda(G)_S)$  is such that  $\partial(f) = -[C(F_{\infty}/F)]$ . Denote the image of f under the middle vertical arrow by  $(f_{\chi})$ , and put  $u_{\chi} = L_p(\chi)f_{\chi}^{-1}$ . Then  $(u_{\chi}) \in \bigoplus_{\chi} K'_1(\Lambda_{\mathcal{O}_{\chi}}(U_{\chi}))^{U/U_{\chi}}$ , and there is a unique u in  $K_1(\Lambda(G))$  mapping to  $(u_{\chi})$  under the left vertical isomorphism. Then  $\zeta := uf$  is the sought after p-adic L-function. We only check the interpolation property. Let  $\rho$  be an irreducible Artin representation of G. Then by [Se, Proposition 35], there is a  $\chi$  and an Artin representation  $\rho_{\chi}$  of  $U_{\chi}$  such that

$$\rho = \operatorname{Ind}_{C_n \rtimes U_{\chi}}^G \left( \chi \rho_{\chi} \right)$$

Thus for any positive integer r divisible by  $[F_{\infty}(\mu_p):F_{\infty}]$ , we get

$$\zeta(\rho\kappa_F^r) = \zeta_{\chi}(\chi\rho_{\chi}\kappa^r) = L_{\Sigma}(\rho, 1-r),$$

where  $\kappa$  is the *p*-adic cyclotomic character of  $F_{\infty}^{C_n \rtimes U_{\chi}}$ . The uniqueness of the *p*-adic zeta function and the statement abut  $K'_1$ -groups follows from an easy diagram chase and the theorem is proved.

We have thus reduced the proof of the main conjecture to the case where the Galois goup G is of the form  $G = \Delta \times G_p$ , where  $\Delta$  is a finite cyclic group of order prime to p and  $G_p$  is a pro-p compact p-adic Lie group of dimension 1.

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