

## 2. Colorability of knots [D.Silver, A colorful approach to knot theory]

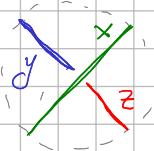
By an arc of a link diagram  $D$ , we mean a segment of  $D$  connecting two consecutive undercrossings (or a circle component).

Def. Given  $m \in \mathbb{N}$  and a knot diagram  $D$ , an  $n$ -coloring of  $D$  is a function

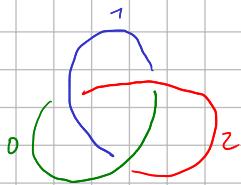
$$c : \{\text{arcs of } D\} \longrightarrow \mathbb{Z}/n \quad \begin{matrix} \text{think of } \mathbb{Z}/n \\ \text{as a set} \\ \text{of "colors"} \end{matrix}$$

such that at each crossing, the color  $x$  of the over-strand and the colors  $y, z$  of the under-strands satisfy

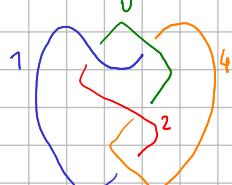
$$2x = y + z$$



Ex.



A 3-coloring



A 5-coloring



Another 5-coloring

We will be interested in the case where  $n = p$  is prime.

Obs. • The set of  $p$ -colorings of  $D$  forms a  $\mathbb{Z}_p$ -vector space  $V_p(D)$ .

•  $V_p(D)$  contains a 1-dimensional subspace  $T_p(D)$  consisting of the constant  $p$ -colorings.

Def. The Fox  $p$ -coloring space of  $D$  is

$$\text{Col}_p(D) := V_p(D) / T_p(D)$$

Exercise. Show that for every knot diagram  $D$ ,

$$\text{Col}_2(D) = 0$$

Obs. Fix an arc  $\alpha_0$  of  $D$ .

Then each element of  $\text{Col}_p(D)$  has a unique representative  $c \in V_p(D)$  such that  $c(\alpha_0) = 0$ .

Thus :

$$\text{Col}_p(D) \cong \left\{ c \in V_p(D) \mid c(\alpha_0) = 0 \right\}$$

Thm. If  $D$  and  $D'$  are diagrams that represent isotopic knots, then

$$\text{Col}_p(D) \cong \text{Col}_p(D')$$

In other words,  $\dim(\text{Col}_p(-))$  is a knot invariant! (proof coming soon)

Def. A knot  $K$  is  $p$ -colorable if for some (hence every) diagram  $D$  representing  $K$  we have

$$\dim(\text{Col}_p(D)) \geq 1$$

Ex. Let  $p=3$ ,

$$D = \text{circle} \quad , \quad D' = \text{trefoil knot}$$

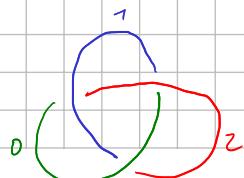
- $D$  admits only constant 3-colorings, so

$$\text{Col}_3(D) = 0$$

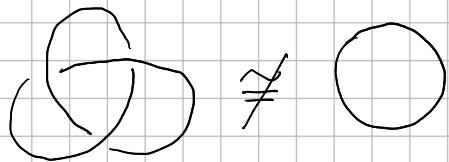
- $D'$  admits a non-constant 3-coloring, so

$$\dim(\text{Col}_3(D')) \geq 1$$

(Exercise: What is this dimension?)



So: The (left-handed) trefoil is not trivial.

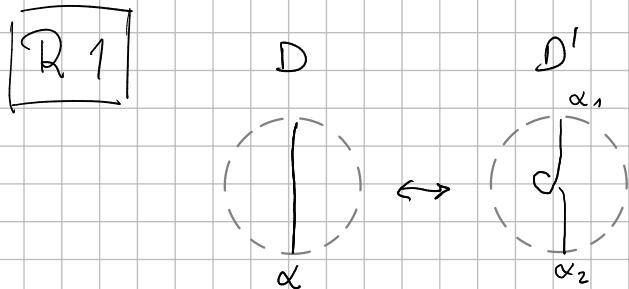


Pf of Thm.

We show that  $\dim(V_p(D)) = \dim V_p(D')$  whenever  $D, D'$  differ by a Reidemeister move.

Then Reidemeister's Theorem implies  $\dim(V_p(D)) = \dim(V_p(D'))$  whenever  $D, D'$  represent isotopic knots, and so

$$\dim(Col_p(D)) = \dim(V_p(D)) - 1 = \dim(V_p(D')) - 1 = \dim(Col_p(D')).$$



For every  $p$ -coloring  $c'$  of  $D'$ , we have

$$\begin{aligned} 2c'(\alpha_1) &= c'(\alpha_1) + c'(\alpha_2) \\ \Leftrightarrow c'(\alpha_1) &= c'(\alpha_2) \end{aligned}$$

Given a  $p$ -coloring  $c$  of  $D$ , define a  $p$ -coloring  $c'$  of  $D'$  by

$$c'(x) = \begin{cases} c(x) & \text{if } x = \alpha_1 \text{ or } x = \alpha_2 \\ c(x) & \text{otherwise} \end{cases}$$

This  $c'$  is indeed a  $p$ -coloring, that is, all crossing relations are satisfied (why?).

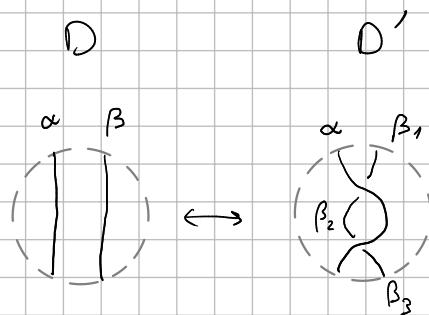
The map  $V_p(D) \longrightarrow V_p(D')$   
 $c \mapsto c'$ ,

is an isomorphism, with inverse  $c' \mapsto c$ , where

$$c(x) = \begin{cases} c'(\alpha_1) = c'(\alpha_2) & \text{if } x = \alpha \\ c'(x) & \text{if } x \neq \alpha \end{cases}$$

(Why is the condition  $c'(\alpha_1) = c'(\alpha_2)$  crucial?)

[R2]



If  $c' \in V_p(D')$ , then:

$$2c'(\alpha) = c'(\beta_1) + c'(\beta_2) = c'(\beta_2) + c'(\beta_3) \\ (\text{in particular, } c'(\beta_1) = c'(\beta_3))$$

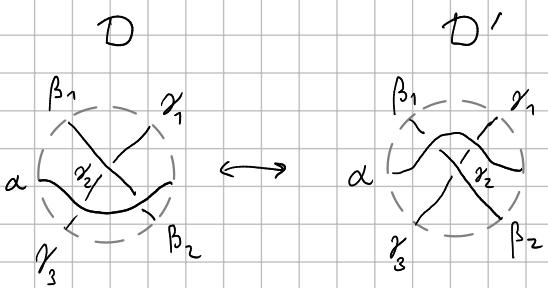
Given  $c \in V_p(D)$ , define  $c' \in V_p(D')$  by

$$c'(x) = \begin{cases} c(\beta) & \text{if } x = \beta_1 \text{ or } x = \beta_3, \\ 2c(\alpha) - c(\beta) & \text{if } x = \beta_2, \\ c(x) & \text{otherwise.} \end{cases}$$

This assignment has an inverse  $c' \mapsto c$ , where

$$c(x) = \begin{cases} c'(\beta_1) = c'(\beta_3) & \text{if } x = \beta \\ c'(x) & \text{if } x \neq \beta \end{cases}$$

R3



Exercise!

Hint. To define assignments  $c \mapsto c'$  and  $c' \mapsto c$  as before, one is forced to preserve the colors of  $\alpha, \beta_1, \beta_2, \gamma_1$  and  $\gamma_2$ . What about  $\gamma_2$ ?  $\square$

Computing  $\text{Col}_p(D)$

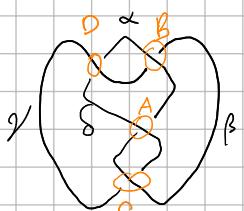
$D$  is not just a circle

Let  $\mathbb{D}$  be a nontrivial diagram with  $n$  crossings for a knot  $k$ .

We encode the coloring relations in an  $m \times n$  matrix  $M_0$  over  $\mathbb{Z}$ , where

rows  $\leftrightarrow$  crossings      columns  $\leftrightarrow$  arcs

Ex.



$$M_0 = \begin{pmatrix} \alpha & \beta & \gamma & \delta \\ -1 & -1 & 0 & 2 \\ 2 & -1 & -1 & 0 \\ 0 & 2 & -1 & -1 \\ -1 & 0 & 2 & -1 \end{pmatrix} \begin{matrix} A \\ B \\ C \\ D \end{matrix}$$

Then for every prime  $p$ ,  $V_p(\mathbb{D})$  is the kernel of the mod- $p$  reduction of  $M_0$ .

Fact. Each row of  $M_0$  is a linear combination of the others. (Challenging exercise)

$\rightsquigarrow$  We may delete any row of  $M_0$  and this remains true.

Recall: For every arc  $\alpha_0$ :

$$\text{Col}_p(D) \equiv \{ c \in V_p(D) \mid c(\alpha_0) = 0 \}$$

$\rightsquigarrow$  We may delete any column of  $M_0$  to obtain  $\text{Col}_p(D)$  as the kernel of the resulting matrix.

Upshot: Let  $M$  be an  $(n-1) \times (n-1)$  matrix obtained by deleting (any) one row and one column from  $M_0$ , and  $M_p$  its mod- $p$  reduction

Then  $\text{Col}_p(D) \cong \ker(M_p)$ . In particular,

$$K \text{ is } p\text{-colorable} \iff \det(M) \equiv 0 \pmod{p}$$

Cor. The set of primes  $p$  for which  $K$  is  $p$ -colorable is finite

Pf. Suppose this set is infinite.

Then  $\det(M)$  is divisible by infinitely-many primes.

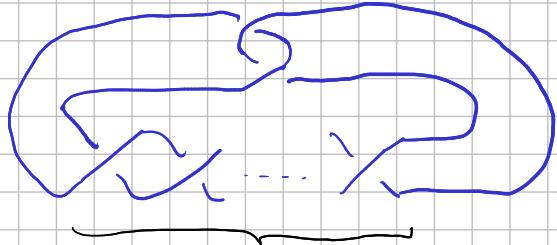
Thus  $\det(M) = 0$ .

In particular,  $\det(M) \equiv 0 \pmod{2}$  and

so  $K$  is 2-colorable.

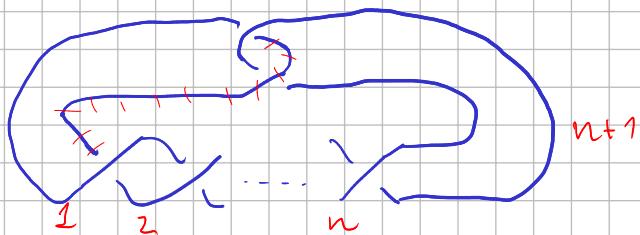
But no knot is 2-colorable! □

Ex. Let  $n \in \mathbb{N}$ . The  $n$ -twist knot  $K_n$  has diagram:



$n$  crossings

Let's number all except one arc:



The corresponding matrix is

$$M = \begin{pmatrix} & 1 & 2 & & & n & n+1 \\ 1 & / & 2 & -1 & & 1 & \\ 2 & & -1 & 2 & -1 & 1 & \\ & & & -1 & 2 & -1 & \\ & & & & -1 & 2 & -1 \\ & & & & & \ddots & -1 \\ & & & & & & -1 & 2 & -1 \\ & & & & & & & 1 & 2 \end{pmatrix}$$

Exercise: Show that  $\det(M) = 2n + 1$ .

Hint: Show first that the upper left  $m \times n$  matrix has determinant  $n + 1$ .

S<sub>o</sub>: If  $n \geq 1$ , then  $\det(M)$  has some prime divisor  $p$ . Thus  $K_n$  is  $p$ -colorable.

S<sub>o</sub>:  $K_n$  is not the unknot!

(We have already encountered  $K_0$ ,  $K_1$  and  $K_2$ .  
Do you recognise them?)