

HERMITE'S IDENTITY

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Hermite's identity is the following distribution relation for the floor function:

Lemma 1. *For all real values $x \geq 0$ and all natural numbers $m \geq 1$ we have*

$$(1) \quad \lfloor x \rfloor + \left\lfloor x + \frac{1}{m} \right\rfloor + \dots + \left\lfloor x + \frac{m-1}{m} \right\rfloor = \lfloor mx \rfloor.$$

In this note we collect a few proofs of Hermite's identity.

Hermite's techniques from [3] were studied by Giulini [2] and Basoco [1].

1. HERMITE

Hermite [3] proved (1) using generating functions. Assume that a function f is given as a power series

$$f(x) = A_0 + A_1x + A_2x^2 + \dots;$$

then

$$\frac{f(x)}{1-x} = A_0 + (A_0 + A_1)x + (A_0 + A_1 + A_2)x^2 + \dots$$

as can be verified easily by multiplying through by $1-x$.

Next

$$f(x^a) = A_0 + A_1x^a + A_2x^{2a} + \dots,$$

hence

$$\frac{f(x^a)}{1-x} = \sum_{n \geq 0} (A_0 + A_1 + \dots + A_\nu) x^n,$$

where $\nu = \lfloor \frac{n}{a} \rfloor$. For $f(x) = \frac{1}{1-x}$ this implies

$$(2) \quad \frac{1}{(1-x)(1-x^a)} = \sum_{n \geq 0} \left[1 + \left\lfloor \frac{n}{a} \right\rfloor \right] x^n.$$

This implies

$$\frac{1}{(1-x)(1-x^{ma})} = \sum_{n \geq 0} \left\lfloor \frac{n+ma}{ma} \right\rfloor x^n$$

since $1 + \lfloor \frac{n}{ma} \rfloor = \lfloor \frac{n+ma}{ma} \rfloor$.

Multiplying this last equation through by x^{ka} we obtain

$$\frac{x^{ka}}{(1-x)(1-x^{ma})} = \sum_{n \geq 0} \left\lfloor \frac{n+ma}{ma} \right\rfloor x^{n+ka} = \sum_{n \geq ka} \left\lfloor \frac{n+(m-k)a}{ma} \right\rfloor x^n.$$

Observe that (1) is true for very small values of x ; thus it is sufficient to prove the identity for *rational* values of x .

Now the identity

$$\frac{1-x^{ma}}{1-x^a} = 1 + x^a + x^{2a} + \dots + x^{(m-1)a}$$

implies

$$\begin{aligned} \frac{x^a}{(1-x)(1-x^a)} &= \frac{x^a(1+x^a+a^{2a}+\dots+x^{m-1})}{(1-x)(1-x^{ma})} \\ &= \sum_{k=1}^m \frac{x^{ka}}{(1-x)(1-x^{ma})} \\ &= \sum_{k=1}^m \sum_{n \geq ka} \left\lfloor \frac{n+(m-k)a}{ma} \right\rfloor x^n. \end{aligned}$$

Replacing the summation index k by $m-k$ we find

$$\frac{x^a}{(1-x)(1-x^a)} = \sum_{k=0}^{m-1} \sum_{n \geq ka} \left\lfloor \frac{n+ka}{ma} \right\rfloor x^n.$$

On the other hand we know

$$\frac{x^a}{(1-x)(1-x^a)} = \sum_{n \geq 0} \left\lfloor \frac{n+a}{a} \right\rfloor x^{n+a} = \sum_{n \geq a} \left\lfloor \frac{n}{a} \right\rfloor x^n.$$

Comparing the coefficient of x^n and setting $z = \frac{n}{ma}$ we find

$$\lfloor mz \rfloor = \left\lfloor \frac{n}{a} \right\rfloor = \sum_{k=0}^{m-1} \left\lfloor \frac{n+ka}{ma} \right\rfloor = \sum_{k=0}^{m-1} \left\lfloor z + \frac{k}{m} \right\rfloor.$$

2. WEBER

In his proof of the Theorem of Kronecker and Weber given in [9], Weber has to deal with the class numbers of cyclotomic number fields, and he uses a result on the greatest integer function that generalizes Hermite's identity.

For $0 < x < 1$ (and $0 \leq t < m$) we have

$$\left\lfloor x + \frac{t}{m} \right\rfloor = \begin{cases} \left\lfloor \frac{t}{m} \right\rfloor & = 0 & \text{or} \\ \left\lfloor \frac{t}{m} \right\rfloor + 1 & = 1 \end{cases}$$

according as there is an integer between $\frac{t}{m}$ and $x + \frac{t}{m}$ or not. The first case holds if and only if $1 = \left\lfloor \frac{t}{m} \right\rfloor + 1 \leq x + \frac{t}{m}$, i.e., if and only if

$$(3) \quad m - t \leq mx.$$

The number of values of $t \geq 0$ satisfying this inequality is clearly $\lfloor mx \rfloor$. Thus there are $\lfloor mx \rfloor$ values of t for which $\left\lfloor x + \frac{t}{m} \right\rfloor = 1$, and Hermite's identity follows.

3. STERN

Stern [8] determines an integer k satisfying

$$\lfloor x \rfloor + \frac{k}{m} \leq x < \lfloor x \rfloor + \frac{k+1}{m}.$$

Multiplying through by m he finds

$$m\lfloor x \rfloor + k \leq mx < m\lfloor x \rfloor + k + 1,$$

thus $\lfloor mx \rfloor = m\lfloor x \rfloor + k$. On the other hand we have

$$x + \frac{m-k-1}{m} < \lfloor x \rfloor + \frac{k+1}{m} + \frac{m-k-1}{m} = \lfloor x \rfloor + \frac{k}{m} + \frac{m-k}{m} \leq x + \frac{m-k}{m},$$

and this implies

$$x + \frac{m-k-1}{m} < \lfloor x \rfloor \leq x + \frac{m-k}{m}.$$

Thus each term

$$\lfloor x \rfloor, \left\lfloor x + \frac{1}{m} \right\rfloor, \dots, \left\lfloor x + \frac{m-k-1}{m} \right\rfloor$$

has the value $\lfloor x \rfloor$, whereas each term

$$\left\lfloor x + \frac{m-k}{m} \right\rfloor, \dots, \left\lfloor x + \frac{m-1}{m} \right\rfloor$$

has the value $\lfloor x \rfloor + 1$. The sum of these terms thus equals

$$(m-k)\lfloor x \rfloor + k(\lfloor x \rfloor + 1) = m\lfloor x \rfloor + k = \lfloor mx \rfloor.$$

4. POLYA & SZEGÖ

The proof by Polya & Szegö [6] is very short. Clearly it suffices to consider the case $0 \leq x < 1$. Determine k such that

$$x + \frac{k-1}{m} < 1 \leq x + \frac{k}{m},$$

i.e., $-k = \lfloor mx - m \rfloor = \lfloor mx \rfloor - m$. Both sides are $= m - k$.

5. MATSUOKA

The elementary proof given by Matsuoka [5] was included (without attribution) in [7, Ch. 12].

Proof. Consider the function

$$f(x) = \lfloor x \rfloor + \left\lfloor x + \frac{1}{m} \right\rfloor + \dots + \left\lfloor x + \frac{m-1}{m} \right\rfloor - \lfloor mx \rfloor.$$

It is immediately seen that $f(x + \frac{1}{m}) = f(x)$ and that $f(x) = 0$ for $0 \leq x < \frac{1}{m}$. Thus $f(x) = 0$ for all real values of x , and this proves the claim. \square

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