# A note on SKK groups

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This is a brief account on the definition of the SKK groups and SKK invariants given in [Kre73]

# **1** SKK groups and SKK -invariants

### 1.1 SKK groups

**Definition 1.1.** Let  $\mathcal{M}_n$  be the semigroup of diffeomorphism classes of closed oriented *n*-dimensional manifolds. Factoring this semigroup by the following relation

$$(M_1 \cup_f M_2) + (M_3 \cup_q M_4) = (M_1 \cup_q M_2) + (M_3 \cup_f M_4)$$

where  $\partial M_1 = \partial M_2 = \partial M_3$  and f and g are diffeomorphisms of these boundaries, we obtain the semigroup  $\mathcal{M}_n / \sim_{SKK}$ .

The SKK<sub>n</sub> group is the Grothendieck group of the semigroup  $\mathcal{M}_n/\sim_{SKK}$ .

The SKK groups are identified in Theorem 4.4 of [Kre73] with Reinhart's vector field cobordism groups ([Rei63]).

# **1.2** SKK invariants

**Definition 1.2.** An invariant  $\lambda$  is called SK-controlled (SKK) if

$$\lambda(M_1 \cup_f M_2) - \lambda(M_1 \cup_q M_2) := \lambda(f, g) \tag{1}$$

depends only on the diffeomorphism  $f, g : \partial M_1 \to \partial M_2$  and not on the choice of  $M_1$ and  $M_2$ .

Remark 2. We note that all SK -invariants are also SKK invariants. When the correction term  $\lambda(f,g) = 0$  then Equation (1) becomes,

$$\lambda(M_1 \cup_f M_2) = \lambda(M_1 \cup_g M_2)$$

which is the requirement for  $\lambda$  to be an SK invariant.

#### **Proposition 1.3.** Euler characteristic is an SKK invariant.

*Proof.* This follows from the fact that the Euler characteristic is an SK invariant. (See [Kre73]).

Remark 3. In order to describe the Euler characteristic as a bordism invariant, Reinhart introduced the concept of vector field cobordism in [Rei63]. By doing this he implicitly describes the SKK groups, since two manifolds are vector field cobordant if and only if they are equivalent in  $SKK_n$ .

#### Proposition 1.4. The Signature is an SKK invariant.

*Proof.* The signature is an SK invariant, and hence also an SKK invariant.

Nevertheless, it is important to note that some SKK invariants are not SK invariants, as the following propositions show.

#### **Proposition 1.5.** Bordism is an SKK invariant.

*Proof.* We will prove later on (with Theorem 1.7) that there exists a surjective homomorphism from  $SKK_*$  to  $\Omega_*$  which sends oriented manifolds to their cobordism class. Note that two manifolds which are cobordant (i.e. are in the same cobordism class) differ in SKK by a multiple of a sphere.

#### **Proposition 1.6.** The Kervaire semi-characteristic is an SKK invariant.

*Proof.* First recall that the Kervaire semi-characteristic is defined as

$$\chi_{1/2}(M^{4k+1}) = \sum_{i=0}^{2k} b_i(M) \pmod{2},$$

where  $b_i(M)$  is the *i*th betti number. See [Ker56].

We consider the closed oriented manifolds

$$M_1 \cup_f -M_2$$
 and  $M_1 \cup_q -M_2$ ,

which are obtained from each other by cutting and pasting, and f and g are diffeomorphisms of the boundary  $f, g: \partial M_1 \to \partial M_2$ .

By definition an SKK invariant depends only on these diffeomorphisms f and g. So this means that if the Kervaire semi-characteristic  $\chi_{1/2}$  is an SKK invariant, then we will be able to express the following "correction term",

$$\chi_{1/2}(f,g) := \chi_{1/2}(M_1 \cup_f -M_2) - \chi_{1/2}(M_1 \cup_g -M_2)$$

by an expression involving only f and g, and not  $M_1$  or  $M_2$ .

In [Ker56] it is shown that for an even dimensional manifold Y with boundary,

$$\chi_{1/2}(\partial Y) = \chi(Y) - \sigma(Y) \pmod{2}$$

where  $\chi(Y)$  is the Euler characteristic and  $\sigma(Y)$  is the signature of Y.

In this case we consider  $\partial Y$  to have dimension 4k + 1, so  $\sigma(Y^{4k+1}) = 0$ , and then

$$\chi_{1/2}(\partial Y) = \chi(Y) \pmod{2}$$

Now we consider Y to be a bordism which is constructed as in Lemma 1.9 of [Kre73], and has boundary,

$$\partial Y = (M_1 \cup_f - M_2) - (M_1 \cup_g - M_2) - (T : \partial M_1 \xrightarrow{g^{-1}f} \partial M_1)$$

so the expression  $\chi_{1/2}(\partial Y) = \chi(Y) \pmod{2}$  becomes

$$\chi_{1/2}(M_1 \cup_f -M_2) - \chi_{1/2}(M_1 \cup_g -M_2) - \chi_{1/2}(T: \partial M_1 \xrightarrow{g^{-1}f} \partial M_1) = \chi(Y) \pmod{2}$$

From this we deduce that the correction term  $\chi_{1/2}(f,g)$  is defined as

$$\chi_{1/2}(f,g) := \chi_{1/2}(T:\partial M_1 \xrightarrow{g^{-1}f} \partial M_1) - \chi(Y) \pmod{2}$$

But we still need to write  $\chi_{1/2}(f,g)$  as an expression involving only f and g, so we will now use the computation of  $\chi(Y)$ :

$$\chi(Y) = \chi(M_1) + \chi(M_2) - 2\chi(\partial M_1)$$

When considered modulo 2, the term  $2\chi(\partial M)$  disappears, so that

$$\chi_{1/2}(f,g) := \chi_{1/2}(T:\partial M_1 \xrightarrow{g^{-1}f} \partial M_1) - [\chi(M_1) + \chi(M_2)] \pmod{2}$$

We also have that

$$\begin{array}{lll} \chi(M_1 \cup M_2) &=& \chi(M_1) + \chi(M_2) - \chi(M_1 \cap M_2) \\ &=& \chi(M_1) + \chi(M_2) - \chi(\partial M_1) \end{array}$$

 $(M_1 \cup M_2)$  is a closed orientable manifold so that  $\chi(M_1 \cup M_2)$  is even. Hence  $\chi(M_1 \cup M_2) = 0 \pmod{2}$ . That is,

$$\chi(M_1) + \chi(M_2) = \chi(\partial M_1) \pmod{2}$$

This implies that,

$$\chi_{1/2}(f,g) := \chi_{1/2}(T:\partial M_1 \xrightarrow{g^{-1}f} \partial M_1) - \chi(\partial M_1) \pmod{2}$$

For simplicity we will write  $\partial M_1 = N$  and we will also write the mapping torus  $T: \partial M_1 \xrightarrow{g^{-1}f} \partial M_1$  as  $N_{g^{-1}f}$ .

The mapping torus is defined as

$$T: \partial M_1 \xrightarrow{g^{-1}f} \partial M_1 = N_{g^{-1}f} = (N \times I) \cup_{g^{-1}f \times 1} (N \times I)$$



This gives rise the following Mayer-Vietoris sequence,

$$\dots \longrightarrow H_*(N \times \{0,1\}) \longrightarrow H_*(N \times I) \oplus H_*(N \times I) \longrightarrow H_*(T(g^{-1}f)) \longrightarrow \dots$$

We note that

$$H_*(N \times 0, 1) \cong H_*(N) \oplus H_*(N)$$

and

$$H_*(N \times I) \oplus H_*(N \times I) \cong H_*(N) \oplus H_*(N)$$

so the following maps in the Mayer-Vietoris sequence,

$$H_*(N \times \{0,1\}) \xrightarrow{\begin{pmatrix} 1 & 1 \\ 1 & g^{-1}f \end{pmatrix}} H_*(N \times I) \oplus H_*(N \times I)$$

correspond to

$$H_*(N) \oplus H_*(N) \longrightarrow H_*(N) \oplus H_*(N)$$

$$\begin{pmatrix} 1 \\ 1 \end{pmatrix} \uparrow \qquad \uparrow ( 1 \\ H_*(N) \xrightarrow{1-g^{-1}f} H_*(N)$$

This means that we can consider the exact sequence:

$$\dots \longrightarrow H_*(N) \xrightarrow{1-g^{-1}f} H_*(N) \longrightarrow H_*(T(g^{-1}f)) \longrightarrow \dots$$

In this sequence all dimensions except the middle dimension pair off by Poincaré duality, so the "correction term"  $\chi_{1/2}(f,g)$  will be given by the rank of the map in the middle dimension,

$$H_{2k}(N) \xrightarrow{1-g^{-1}f} H_{2k}(N)$$

That is,  $\chi_{1/2}(f,g) = \operatorname{rank}(1-g^{-1}f) \pmod{2}$ 

We have now achieved an expression for this correction term depending only on the diffeomorphisms f and g, so we deduce that the Kervaire semi-characteristic is an SKK invariant.

**Theorem 1.7.** Theorem 4.2 in the SK-book

Let  $I'_n \subset SKK_n$  be the cyclic subgroup generated by  $[S^n]$ . Then,

$$I'_n = \begin{cases} \mathbb{Z} & n \equiv 0 \pmod{2} \\ \mathbb{Z}_2 & n \equiv 1 \pmod{4} \\ 0 & n \equiv 3 \pmod{4} \end{cases}$$

Furthermore there exists an split exact sequence,

$$0 \longrightarrow I'_n \longrightarrow SKK_n \longrightarrow \Omega_n \longrightarrow 0$$

*Proof.* To achieve the computation of  $I'_n$  given above, we need to consider the order of  $[S^n, *]$  in  $SKK_n$ . So we do this for the different possible values of n. Recall that by Lemma 4.3 in [Kre73] we have that

$$\chi(M^{n+1})[S^n] = 0$$
 where  $M^{n+1}$  is a closed manifold.

•  $n \equiv 0 \pmod{2}$ , i.e., n = 2k:

The argument in this case is the same as the one given in the proof of Theorem 1.1 in [Kre73].

•  $n \equiv 3 \pmod{4}$ , i.e., n = 4k + 3:

Since  $\chi(M^{n+1})[S^n] = 0$ , then in this case we have  $\chi(M^{4k+4})[S^{4k+3}] = 0$ . Firstly we can deduce from this that  $[S^{4k+3}]$  has at most order 2, since  $\chi(S^{2m}) = 2$ , then,

$$\chi(S^{2m})[S^{4k+3}] = 0 \implies 2[S^{4k+3}] = 0$$

so in general, if the  $M^{n+1}$  has even Euler Characteristic, then  $[S^{4k+3}] = 0$ .

If we consider  $M^{n+1}$  to have odd Euler characteristic, that is  $\chi(M^{n+1}) = 2a + 1$  then we will have,

$$0 = \chi(M^{4k+4})[S^{4k+3}]$$
  
=  $(2a+1)[S^{4k+3}]$   
=  $2a[S^{4k+3}] + [S^{4k+3}]$   
=  $[S^{4k+3}]$ 

Hence we deduce that  $[S^{4k+3}] = 0$  and consequently  $I'_{4k+3} = 0$ 

•  $n \equiv 1 \pmod{4}$ , i.e., n = 4k + 1:

In this case,  $[S^{4k+1}]$  also has order at most 2 in SKK, since  $\chi(S^{4k+2}) = \chi(S^{2m}) = 0$ First we note that if M is orientable then  $\chi(M^{4k+2})$  is even.

We will show by contradiction that  $[S^{4k+1}] \neq 0 \in SKK_{4k+1}$ . So suppose that  $[S^{4k+1}] = 0$  in  $SKK_{4k+1}$ .

By the definition of SKK, we know that there exist orientable manifolds  $N_i$  and  $N'_i$ , where i = 1, 2 and  $\partial N_1 = \partial N_2$  and  $\partial N'_1 = \partial N'_2$  and diffeomorphisms  $f, g : \partial N_i \longrightarrow \partial N'_i$ such that,

$$(N_1 \cup_f -N_1') - (N_2 \cup_g -N_2') = (N_2 \cup_f -N_2') - (N_1 \cup_g -N_1')$$
(4)

and if we are assuming that  $[S^{4k+1}] = 0$  then we can also write Equation (4) as,

$$S^{4k+1} + (N_1 \cup_f -N_1') - (N_2 \cup_g -N_2') = (N_2 \cup_f -N_2') - (N_1 \cup_g -N_1')$$

Following the same procedure as in Theorem 1.2 in [Kre73], we can construct two bordisms  $Y_1$  and  $Y_2$  defined as follows: Let  $Y_1$  be the bordism with boundary  $\partial Y_1 = (N_1 \cup_f - N'_1) - (N_1 \cup_g - N'_1) - T(g^{-1}f)$ ,



and  $Y_2$  be the bordism with boundary  $\partial Y_2 = (N_2 \cup_f - N'_2) - (N_2 \cup_g - N'_2) - T(g^{-1}f)$ ,



Note that mapping torus  $T(g^{-1}f)$  is the same mapping torus in both bordisms  $Y_1$  and  $Y_2$ .

This means that the disjoint union of  $Y_1$  and  $Y_2$  has boundary,

$$\partial(Y_1 \sqcup Y_2) = [(N_1 \cup_f -N_1') - (N_1 \cup_g -N_1') - T(g^{-1}f)] + [(N_2 \cup_f -N_2') - (N_2 \cup_g -N_2') - T(g^{-1}f)] \\ = [(N_1 \cup_f -N_1') - (N_2 \cup_g -N_2') - T(g^{-1}f)] + [(N_2 \cup_f -N_2') - (N_1 \cup_g -N_1') - T(g^{-1}f)]$$

Using the relation established in (4), we can rewrite this as follows

$$\partial(Y_1 \sqcup Y_2) = [(N_1 \cup_f - N_1') - (N_2 \cup_g - N_2') - T(f^{-1}g)] - [S^{4k+1} + (N_1 \cup_f - N_1) - (N_2 \cup_g - N_2) - T(f^{-1}g)]$$

If we now paste pairwise the boundaries in this expression, then we obtain a manifold with one boundary component,  $S^{4k+1}$ . So if we glue an 4k + 2-dimensional disc along this boundary, then the manifold  $Y_1 \cup Y_2 \cup D^{4k+2}$  is a closed 4k + 2-dimensional manifold  $M^{4k+2}$ 

We now compute Euler characteristic  $\chi(M^{4k+2})$ .

$$\chi(M^{4k+2}) = \chi(Y_1 \cup Y_2 \cup D^{4k+2}) = \chi(Y_1 \cup Y_2) + 1$$

So we need to compute,

$$\chi(Y_1 \cup_{(N_1 \cup_f - N_1') - (N_2 \cup_g - N_2') - T(f^{-1}g)} Y_2)$$

This is given by

$$\chi(Y_1 \cup Y_2) = \chi(Y_1) + \chi(Y_2) - [\chi(N_1 \cup_f - N_1') + \chi(N_2 \cup_g - N_2') + \chi(T(f^{-1}g))]$$
(5)

By the computation of  $\chi(Y_i)$  given before, we know that

$$\chi(Y_i) = \chi(N_i) + \chi(N'_i) - 2\chi(\partial N_i)$$

so substituting appropriately in Equation (??) we obtain

$$\chi(Y_1 \cup Y_2) = [\chi(N_1) + \chi(N_1') - 2\chi(\partial N_1)] + [\chi(N_2) + \chi(N_2') - 2\chi(\partial N_2)] - \chi(N_1 \cup -N_1') - \chi(N_2 \cup -N_2') - \chi T(g^{-1}f)]$$

$$= [\chi(N_1) + \chi(N'_1) - 2\chi(\partial N_1)] + [\chi(N_2) + \chi(N'_2) - 2\chi(\partial N_2)] - [\chi(N_1) + \chi(N'_1) - \chi(\partial N_1)] - [\chi(N_2) + \chi(N'_2) - \chi(\partial N_2)] - 0$$

so rearranging we obtain,

$$\chi(Y_1 \cup Y_2) = -\chi(\partial N_1) - \chi(\partial N_2) = -2\chi(\partial N_1)$$
(6)

Hence,

$$\chi(M^{4k+2}) = \chi(Y_1 \cup Y_2 \cup D^{4k+2}) = \chi(Y_1 \cup Y_2) + 1 = 1 - 2\chi(\partial N_1)$$

But  $1-2\chi(\partial N_1)$  is always odd, and this is a contradiction since a 4k+2-dimensional closed manifold always has even Euler characteristic. Hence we deduce that the assumption that  $[S^{4k+1}] = 0 \in SKK_{4k+1}$  is false. Hence  $[S^{4k+1}] \neq 0$  and  $[S^{4k+1}]$  has order 2 in  $SKK_{4k+1}$  so from this we deduce that  $I'_{4k+1} = \mathbb{Z}_2$ .

Finally we note that the exact sequence

$$0 \longrightarrow I'_n \longrightarrow SKK_n \longrightarrow \Omega_n \longrightarrow 0$$

splits:

• For n = 2k we have that the Euler characteristic gives a map

$$SKK_{2k} \longrightarrow \mathbb{Z} = I'_{2k}$$

- For n = 4k + 3, the sequence splits trivially because  $I'_{4k+3} = 0$
- For n = 4k + 1, the Kervaire semi-characteristic provides a retraction map,

$$SKK_n \longrightarrow \mathbb{Z}_2 = I'_{4k+1}$$

which provides an inverse of  $I'_n \longrightarrow SKK_n$ 

Thus for any possible value of n there exists a retraction map, so the sequence splits.

### **1.3** Relating concepts

Here we present a diagram relating the exact sequences from the theorems 1.1 and 1.2 in [Kre73], and 1.7 mentioned in this account.

Through this diagram of exact sequences, it becomes clear that the difference in the groups  $I_n$  from Theorem 1.1 in [Kre73] and  $I'_n$  from 1.7 is given by the Kervaire semi-characteristic. Similarly for  $F_n$  which is introduced in Theorem 1.2 in [Kre73] and  $F'_n$  which does not figure in this book.

Also with this diagram we establish the relation between  $SKK_n$  and  $SK_n$  which is defined as a surjective homomorphism. This is homomorphism is not discussed in [Kre73].



# References

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