

An Introduction to Contact Cuts

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Overview

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Recapitulation: The Poincaré return map

Def.: (global surface of section)

- M closed 3-manifold, X (smooth) vector field on M
- $\Sigma \subset M$ embedded compact surface satisfying:
 - i. Each component of $\partial\Sigma$ is a periodic orbit of X
 - ii. $\text{Int}(\Sigma)$ is transverse to X
 - iii. The orbit of X through any point in $M \setminus \partial\Sigma$ intersects $\text{Int}(\Sigma)$ in forward and backward time

Then Σ is called a *global surface of section*.

Def: (Poincaré return map)

Let ϕ_X^t be the flow of X . The Poincaré return map of X is defined as

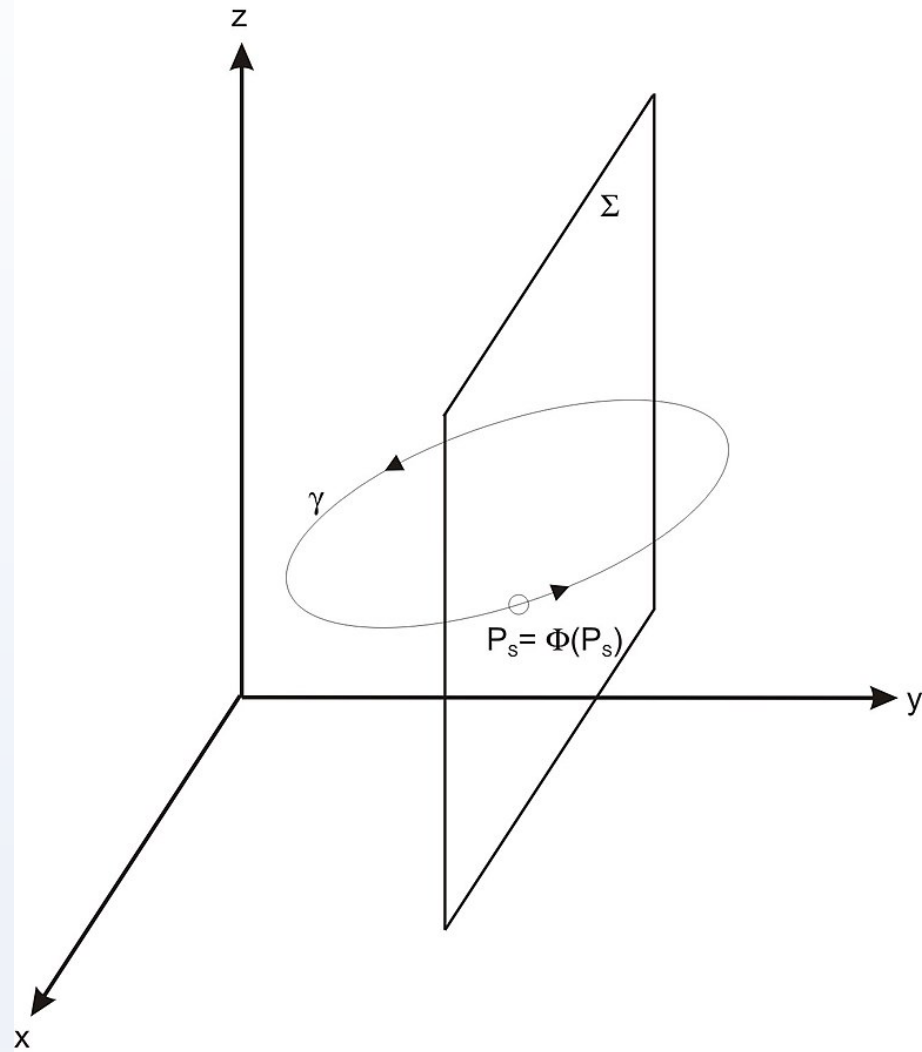
$$\psi: \text{Int}(\Sigma) \rightarrow \text{Int}(\Sigma)$$

$$p \mapsto q = \phi_X^t(p) \text{ with minimal } t \text{ so that } \phi_X^t(p) \in \text{Int}(\Sigma)$$

Def.:

An area-preserving diffeomorphism $\psi: \Sigma \rightarrow \Sigma$ *embeds into a Reeb flow on M*

if ψ is the Poincaré return map for some Reeb vector field on M .



Source:
<https://de.wikipedia.org/wiki/Poincar%C3%A9-Abbildung#/media/Datei:Poincareschnitt.jpg>

Main Theorem

Assumption:

Write (r, θ) for polar coordinates on D^2 .

Let $H = (H_s)_{s \in \mathbb{R}/2\pi\mathbb{Z}}$ be a smooth family of Hamiltonian functions (i.e. functions) on the 2-disc D^2 and assume there is a neighbourhood of the boundary ∂D^2 in D^2 on which H only depends on r , not on θ or the 'time-parameter' s .

Def.: (Hamiltonian vector field)

$$\lambda := r^2 d\theta = 2x dy$$

$$\omega := d\lambda = 2r dr \wedge d\theta$$

Then (D^2, ω) is a symplectic manifold.

For $s \in \mathbb{R}/2\pi\mathbb{Z} = S^1$ define the time dependent vector field $X = (X_s)$ on D^2 via

$$\iota_{X_s} \omega = \omega(X_s, \cdot) = dH_s$$

X is called the *Hamiltonian vector field* of H_s .

Main Theorem:

Let H be as in our assumption and X the associated Hamiltonian vector field.

$\psi := \phi_X^{2\pi}$, where ϕ_X denotes the flow of the time dependent vector field X .

Then ψ embeds into a Reeb flow on S^3 .

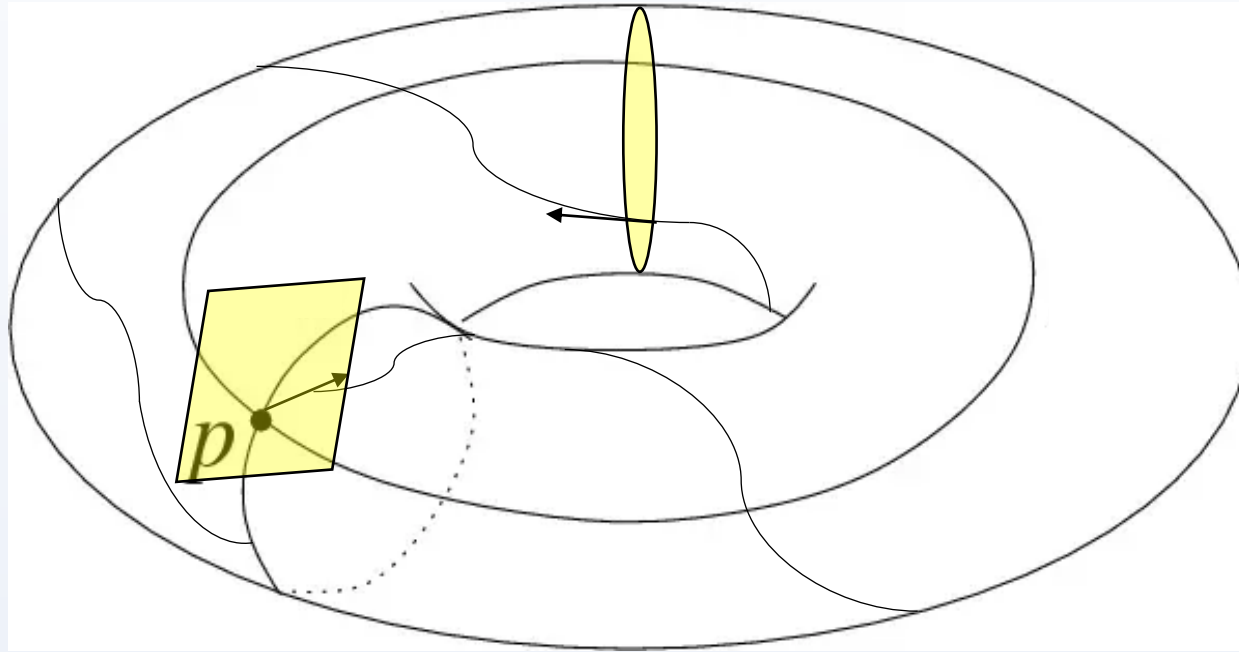
First steps

Notice:

- X_s is a multiple of the angular vector field $\partial\theta$ near the boundary
 $\partial(S^1 \times D^2) = S^1 \times \partial D^2$ of $V := S^1 \times D^2$
- We can add any constant to H_s without changing X_s , so we may assume:
 $H_s|_{\partial D^2} = h \in \mathbb{N}$ (applying our assumption)
- The canonical transformation of X into an autonomous vector field R on $S^1 \times D^2$ is
 $R := \partial_s + X_s$

Then: $\psi = \phi_X^{2\pi} = \phi_R^{2\pi}$

Visualization of the flow of R on ∂V :



Source (modified version):

https://www.google.de/imgres?imgurl=https%3A%2F%2Fqph.fs.quoracdn.net%2Fmain-qimg-79d8ddf944f86bcc57aedc4926780ad.webp&imgrefurl=https%3A%2F%2Fwww.quora.com%2FWhat-is-an-intuitive-explanation-of-a-fundamental-group&tbnid=E8n6APQkOwsn5M&vet=10ChsQMMyidAWoXChMI2Pv547PZ6gIVAAAAAB0AAAAEAM..i&docid=9_GM5ieaZ_Y6eM&w=600&h=303&q=2%20torus&ved=0ChsQMMyidAWoXChMI2Pv547PZ6gIVAAAAAB0AAAAEAM

Topological cuts

Setting:

- smooth action $S^1 \times M \rightarrow M, (\lambda, m) \mapsto \lambda * m$ on manifold M
- $f: M \rightarrow \mathbb{R}$ smooth S^1 -invariant function with regular value $a \in \mathbb{R}$
- S^1 -action on $f^{-1}(a)$ is free

Define the equivalence relation \sim on $f^{-1}([a, \infty))$ through: For

$$m \neq n: m \sim n \quad \Leftrightarrow \quad \begin{array}{l} m, n \in f^{-1}(a) \text{ and} \\ m, n \text{ are in the same } S^1\text{-orbit} \end{array}$$

$$M_{[a, \infty)} := f^{-1}([a, \infty)) / \sim$$

- We have the natural S^1 -action $\lambda * (m, z) := (\lambda * m, \lambda^{-1}z)$ on $M \times \mathbb{C}$
- $\Psi: M \times \mathbb{C} \rightarrow \mathbb{R}, (m, z) \mapsto f(m) - |z|^2$ is S^1 -invariant and a is a regular value of Ψ
- S^1 acts freely on $\Psi^{-1}(a)$
- $\sigma: f^{-1}([a, \infty)) \rightarrow \Psi^{-1}(a), m \mapsto (m, \sqrt{f(m) - a})$ descends to a homeomorphism $\bar{\sigma}: M_{[a, \infty)} \xrightarrow{\cong} \Psi^{-1}(a)/S^1$ and hence $M_{[a, \infty)}$ carries a smooth structure
- $f^{-1}((a, \infty))$ is open and dense in $M_{[a, \infty)}$ and $M_{[a, \infty)} \setminus f^{-1}((a, \infty))$ is diffeomorphic to $f^{-1}(a)/S^1$.

Contact cuts

Setting:

- Contact manifold (N, α) with strict contact S^1 -action generated by vector field Y
(i.e. $(\phi_Y^t)^* \alpha = \alpha$)
- Define the *momentum map* $\mu_N: N \rightarrow \mathbb{R}$, $\mu_N := \alpha(Y)$

By Cartan, we have:

$$(1) \quad d\mu_N = \mathcal{L}_Y \alpha - \iota_Y d\alpha = -\iota_Y d\alpha$$

Consequences of (1):

- Y is tangent to $\mu_N^{-1}(0)$
- $\mu_N^{-1}(0)$ regular $\Leftrightarrow Y \neq 0$ along $\mu_N^{-1}(0)$
- the S^1 -action restricts to $\mu_N^{-1}(0)$ and is locally free

Furthermore we assume:

S^1 -action is free on $\mu_N^{-1}(0)$.

- By Quotient manifold theorem we have: $\mu_N^{-1}(0)/S^1$ smooth manifold
- There is a unique contact form $\hat{\alpha}$ on $\mu_N^{-1}(0)/S^1$ with $\pi_N^* \hat{\alpha} = \alpha|_{T\mu_N^{-1}(0)}$ with $\pi_N: \mu_N^{-1}(0) \rightarrow \mu_N^{-1}(0)/S^1$ the projection

Now consider the contact manifold $(N \times \mathbb{C}, \alpha + xdy - ydx)$ with S^1 -action generated by the vector field $Y - (x\partial_y - y\partial_x)$

Notice that this action is compatible with the action on $N \times \mathbb{C}$ defined in the ‘Topological cut’ section since

$$\phi_{Y-(x\partial_y-y\partial_x)}^t(p, x_0 + iy_0) = (\phi_Y^t(p), (x_0 + iy_0)(\cos(-t) + i \sin(-t)))$$

The action on $N \times \mathbb{C}$ is also a strict contact S^1 -action with momentum map

$$\mu(p, z) = \mu_N(p) - |z|^2$$

(with the notation from the ‘Topological cut’ section:

$$M = N, f = \mu_N, \Psi = \mu)$$

Using the results from above for an arbitrary contact manifold satisfying our assumptions and the section ‘Topological cut’, we get:

$(\mu^{-1}(0)/S^1, \bar{\alpha})$ is a contact form of dimension $\dim N$ where

$$\pi: \mu^{-1}(0) \rightarrow \mu^{-1}(0)/S^1 \quad \text{and}$$

$$\pi^* \bar{\alpha} = (\alpha + xdy - ydx)|_{T\mu^{-1}(0)}$$

Also

$$\begin{aligned} \mu_N^{-1}((0, \infty)) &\hookrightarrow \mu^{-1}(0)/S^1 \\ \mu_N^{-1}/S^1 &\hookrightarrow \mu^{-1}(0)/S^1 \end{aligned}$$

are contact embeddings.

Contact cuts on the disc D^2 and related constructions

Lemma 1:

For H_s sufficiently large, the 1-form

$$\alpha := H_s ds + \lambda$$

is a positive contact form on $S^1 \times D^2$.

The condition for α to be a positive contact form is given by

$$(2) \quad H_s + \lambda(X_s) > 0 \quad \text{or equivalently}$$

$$(2') \quad r \frac{\partial H_s}{\partial r} < 2H_s$$

Proof:

$$(3) \quad \alpha \wedge d\alpha = (H_s ds + \lambda) \wedge (dH_s \wedge ds + \omega) = ds \wedge (H_s \omega + \lambda \wedge dH_s)$$

$$(4) \quad \lambda \wedge dH_s = \lambda(X_s) \omega$$

$$\Rightarrow \alpha \wedge d\alpha = (H_s + \lambda(X_s)) ds \wedge \omega$$

$$\Rightarrow (2)$$

$$\lambda = \iota_{\frac{r}{2} \partial_r} \omega$$

$$\Rightarrow \lambda(X_s) = -dH_s \left(\frac{r}{2} \partial_r \right)$$

$$\Rightarrow (2')$$



Now assume that the contact condition is fulfilled.

Lemma 2:

$R = \partial_s + X_s = fR_\alpha$ for some positive function f , where R_α denotes the Reeb vector field of α .

Proof:

$$\iota_R d\alpha = \iota_R (dH_s \wedge ds + \omega) = -dH_s + dH_s = 0$$

and

$$\alpha(R) = H_s + \lambda(X_s) \underset{(2)}{>} 0$$



Lemma 3:

On a collar neighbourhood of $\partial V = \partial(S^1 \times D^2)$ in $S^1 \times D^2$ where $H = (H_s)_s$ depends only on r , the S^1 -action generated by $Y := \partial_s - h\partial_\theta$ is a strict contact S^1 -action with respect to α .

The momentum map is $\mu_V = \alpha(Y) = H_s - hr^2$ and since $H_s|_{\partial D^2} = h$ we have:
 $\partial V \subset \mu_V^{-1}(0)$

$Y \neq 0 \quad \Rightarrow \quad \partial V$ regular component

(2') on $\partial V \quad \Rightarrow \quad d\mu_V(\partial_r) < 0$ on $\partial V \quad \Rightarrow \quad \mu_V > 0$ on an interior neighbourhood of ∂V

Lemma 4:

The contact cut $(S^1 \times D^2)/\sim$ is contactomorphic to S^3 endowed with the standard contact structure.

Proof of the main theorem

- $D^2 \cong \{0\} \times D^2 \hookrightarrow S^1 \times D^2 \rightarrow (S^1 \times D^2)/\sim \cong S^3$ is an embedding, smooth on $\text{Int}(D^2)$
- Since X_S is a multiple of the angular vector field $\partial\theta$ near the boundary it suffices to consider the flow of X_S on $\text{Int}(V) \cong (V/\sim) \setminus (\partial V/\sim)$
- On $\text{Int}(V) = \text{Int}(S^1 \times D^2)$ this follows from Lemma 2
- Under the above identification $S^1 = \partial D^2 \cong \mu_V^{-1}(0)/S^1$ and $\mu_V^{-1}(0)/S^1 \hookrightarrow S^3$ is a contact embedding and therefore ∂D^2 is a periodic orbit of the Reeb vector field on S^3

References

- Peter Albers, Hansjörg Geiges, Kai Zehmisch.
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- Eugene Lerman. “Contact cuts”.
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