

**The  $GL_2$  main conjecture  
for elliptic curves  
without complex multiplication**

by Otmar Venjakob

## Arithmetic of elliptic curves

$E$  elliptic curve over  $\mathbb{Q}$  :

$$E : y^2 + A_1xy + A_3y = x^3 + A_2x^2 + A_4x + A_6, \quad A_i \in \mathbb{Z}.$$

$$E(K) = ?$$

for number fields, local fields, finite fields  $K$

$l$  any prime,

$\tilde{E}$  reduction of  $E$  mod  $l$ ,

$$\#\tilde{E}(\mathbb{F}_l) =: 1 - a_l + l$$

Hasse-Weil  $L$ -function of  $E$  :

$$L(E/\mathbb{Q}, s) := \prod_l (1 - a_l l^{-s} + \epsilon(l) l^{1-2s})^{-1}, \quad s \in \mathbb{C}, \quad \Re(s) > \frac{3}{2},$$

$$\text{where } \epsilon(l) := \begin{cases} 1 & E \text{ has good reduction at } l \\ 0 & \text{otherwise} \end{cases}$$

## Mordell-Weil Theorem

$E(\mathbb{Q})$  is a finitely generated abelian group

## Birch & Swinnerton-Dyer Conjecture

I.  $r := \text{ord}_{s=1} L(E/\mathbb{Q}, s) = \text{rk}_{\mathbb{Z}} E(\mathbb{Q})$

II.  $\lim_{s \rightarrow 1} (s-1)^r L(E/\mathbb{Q}, s) = \Omega_+ R_E \frac{\#\text{III}(E/\mathbb{Q})}{(\#E(\mathbb{Q})_{\text{tors}})^2} \prod_l c_l$

$\text{III}(E/\mathbb{Q})$

Tate-Shafarevich group

$R_E = \det(\langle P_i, P_j \rangle)_{i,j}$

regulator of  $E$

$\omega$

Néron Differential

$\Omega_+ = \int_{\gamma^+} \omega$

real period of  $E$

$c_l = [E(\mathbb{Q}_l) : E^{ns}(\mathbb{Q}_l)]$

Tamagawa-number at  $l$

## The Selmer group of $E$

*Assumption:*  $p \geq 5$  prime such that  $E$  has *good ordinary* reduction at  $p$ , i.e.  
 $\#\tilde{E}(\overline{\mathbb{F}}_p)[p] = p$ .

For any finite extension  $K/\mathbb{Q}$  we have the ( $p$ -primary) *Selmer group*  $Sel(E/K)$

$$0 \longrightarrow E(K) \otimes_{\mathbb{Z}} \mathbb{Q}_p/\mathbb{Z}_p \longrightarrow Sel(E/K) \longrightarrow \text{III}(E/K)(p) \longrightarrow 0$$

Thus, assuming  $\#\text{III}(E/K) < \infty$ , it holds for the Pontryagin dual of the Selmer group

$$Sel(E/K)^\vee := \text{Hom}(Sel(E/K), \mathbb{Q}_p/\mathbb{Z}_p),$$

that

$$\text{rk}_{\mathbb{Z}} E(K) = \text{rk}_{\mathbb{Z}_p} Sel(E/K)^\vee$$

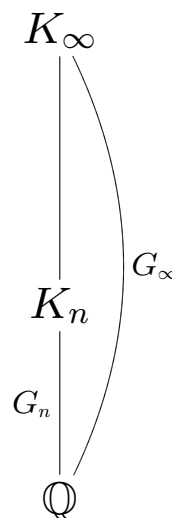
## Towers of number fields

$$K_n := \mathbb{Q}(E[p^n]), \quad 1 \leq n \leq \infty,$$

$$G_n := G(K_n/\mathbb{Q}) \quad G := G_\infty$$

$$G \subseteq GL_2(\mathbb{Z}_p) \quad \text{closed subgroup}$$

i.e. a ***p*-adic Lie group**



$X(E/K_n) := Sel(E/K_n)^\vee$  is a compact  $\mathbb{Z}_p[G_n]$ -module

$X := X(E/K_\infty) := \varprojlim_n Sel(E/K_n)^\vee$  is a finitely generated  $\Lambda(G)$ -module, where

$$\Lambda(G) = \varprojlim_n \mathbb{Z}_p[G_n]$$

denotes the **Iwasawa algebra** of  $G$ ,

a noetherian *possibly non-commutative* ring.

## Twisted $L$ -functions

$\text{Irr}(G_n)$  irreducible representations of  $G_n$ , realized over a number field  $\subseteq \mathbb{C}$  or a local field  $\subseteq \overline{\mathbb{Q}_l}$

$R := \{p\} \cup \{l \mid E \text{ has bad reduction at } l\}$

$(\rho, V_\rho) \in \text{Irr}(G_n), n < \infty$

$L_R(E, \rho, s)$   $L$ -function of  $E \times \rho$  without Euler-factors of  $R$ ,

## From BSD to the Main Conjecture

<b>algebraic</b>		<b>analytic</b>
$X(E/K_n)$ as $G_n$ -module	$\sim$	$L_R(E/K_n) = \prod_{\text{Irr}(G_n)} L_R(E, \rho, s)^{n_\rho}$
<b><i>p</i>-adic families</b>		
$X(E/K_\infty)$	$\sim$	$(L_R(E, \rho, 1))_{\rho \in \text{Irr}(G_n), n < \infty}$
<b><i>p</i>-adic <i>L</i>-functions</b>		
$F_E := F_X$ Characteristic Element		$\mathcal{L}_E$ analytic <i>p</i> -adic <i>L</i> -function

### Main Conjecture

$$F_E \equiv \mathcal{L}_E$$

## What is new?

### Example (CM-case):

$$E : y^2 = x^3 - x$$

$\text{End}(E) \cong \mathbb{Z}[i] \neq \mathbb{Z}$ , i.e.  $E$  admits complex multiplication (CM), thus

$$G \cong \mathbb{Z}_p^2 \times \text{finite group}$$

is **abelian**.

Main conjecture is a Theorem of Rubin in many cases, i.e. the theory is rather **well known!**

### Example ( $GL_2$ -case):

$$E : y^2 + y = x^3 - x^2$$

$\text{End}(E) \cong \mathbb{Z}$ , i.e.  $E$  does **not** admit complex multiplication, thus

$$G \subseteq_o GL_2(\mathbb{Z}_p) \quad \text{open subgroup}$$

is **not abelian**.

It was not even known how to formulate a main conjecture!

New: existence of **characteristic elements**



## Structure Theory

$G \subseteq GL_n(\mathbb{Z}_p)$  compact  $p$ -adic Lie group without element of order  $p$

*Classical:*  $G \cong \mathbb{Z}_p^n$ ,  $\Lambda = \Lambda(G) \cong \mathbb{Z}_p[[X_1, \dots, X_n]]$

$M$  torsion  $\Lambda$ -module, then up to pseudo-null modules

$$M \sim \prod_i \Lambda / \Lambda f_i^{n_i}, \quad f_i \text{ irreducible}, \quad F_M := \prod f_i^{n_i}$$

*Now:*  $G$  non-abelian, but still notion of pseudo-null

**Theorem (Coates, Schneider, Sujatha).** *For every torsion  $\Lambda$ -module  $M$  one has up to pseudo-null modules*

$$M \sim \prod_i \Lambda / L_i, \quad L_i \text{ (reflexive) left ideal}$$

*Problems:*

- (i)  $L_i$  not principal in general, i.e. no characteristic element
- (ii) Euler characteristics do not behave well under pseudo-isomorphisms

## Localization of Iwasawa algebras

(joint work with: Coates, Fukaya, Kato and Sujatha)

Assumption:  $H \trianglelefteq G$  with  $\Gamma := G/H \cong \mathbb{Z}_p$

(is satisfied in our application because  $K_\infty$  contains the cyclotomic  $\mathbb{Z}_p$ -extension  $\mathbb{Q}_{cyc}$  of  $\mathbb{Q}$ )

$\Lambda := \Lambda(G)$

We define a certain multiplicatively closed subset  $\mathcal{T}$  of  $\Lambda$ .

**Question** Can one localize  $\Lambda$  with respect to  $\mathcal{T}$ ?

In general, this is very difficult for **non-commutative** rings!

If yes, the localisation with respect to  $\mathcal{T}$  should be related - by construction - to the following subcategory of the category of  $\Lambda$ -torsion modules:

$\mathfrak{M}_H(G)$  category of  $\Lambda$ -modules  $M$  such that modulo  $\mathbb{Z}_p$ -torsion  $M$  is finitely generated over  $\Lambda(H) \subseteq \Lambda(G)$ .

## Characteristic Elements

**Theorem.** *The localization  $\Lambda_{\mathcal{T}}$  of  $\Lambda$  with respect to  $\mathcal{T}$  exists and there is a surjective map*

$$\partial : (\Lambda_{\mathcal{T}})^{\times} \twoheadrightarrow K_0(\mathfrak{M}_H(G))$$

*arising from  $K$ -theory.*

**Definition.** Any  $F_M \in (\Lambda_{\mathcal{T}})^{\times}$  with  $\partial[F_M] = [M]$  is called *characteristic element* of  $M \in \mathfrak{M}_H(G)$ .

### Properties

- (i) Any  $f \in (\Lambda_{\mathcal{T}})^{\times}$  can be interpreted as a map on the isomorphism classes of (continuous) representations  $\rho : G \rightarrow Gl_n(\mathcal{O}_K)$ ,  $[K : \mathbb{Q}_p] < \infty$  :

$$\rho \mapsto f(\rho) \in K \cup \{\infty\}.$$

- (ii) The evaluation of  $F_M$  at  $\rho$  gives the generalized  $G$ -Euler characteristic  $\chi(G, M(\rho))$

$$|F_M(\rho)|_p^{-[K:\mathbb{Q}_p]} = \chi(G, M(\rho))$$

if the Euler-characteristic is finite.

## Numerical Example

$$\begin{aligned}
 E = X_1(11) & : y^2 + y = x^3 - x^2, \\
 A & : y^2 + y = x^3 - x^2 - 7820x - 263580
 \end{aligned}$$

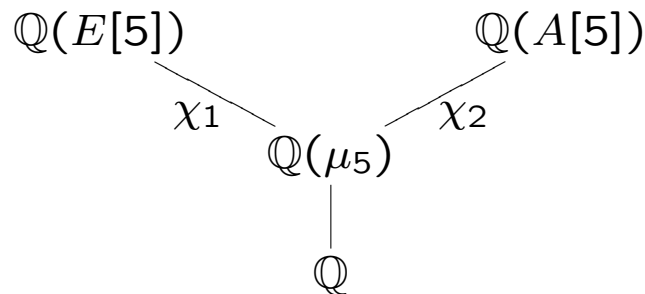
$$p = 5$$

One can show:  $X \in \mathfrak{M}_H(G)$ , i.e.  $F_X$  exists.

$G = G(\mathbb{Q}(E(5))/\mathbb{Q})$  has 2 irreducible Artin Representations of degree 4 :

$$\rho_i = \text{Ind} \chi_i : G \rightarrow GL_4(\mathbb{Z}_5),$$

induced by  $\chi_i$ ,  $i = 1, 2$ .



Calculations show:

$$\chi(G, X(\rho_i)) = \begin{cases} 5^3 & i = 1 \\ 5 & i = 2 \end{cases},$$

i.e.

$$F_X(\rho_1) \sim 5^3, \quad F_X(\rho_2) \sim 5$$

up to  $\mathbb{Z}_5^\times$ .

## Analytic $p$ -adic $L$ -function

**Period - Conjecture:**  $\frac{L_R(E, \rho, 1)}{\Omega(E, \rho)} \in \bar{\mathbb{Q}}$

**Conjecture (Existence of analytic  $p$ -adic  $L$ -function).**  
*Let  $p \geq 5$  and assume that  $E$  has good ordinary reduction at  $p$ . Then there exists*

$$\mathcal{L}_E \in (\Lambda(G)_T)^\times,$$

*such that for all Artin representations  $\rho$  of  $G$  one has  $\mathcal{L}_E(\rho) \neq \infty$  and*

$$\mathcal{L}_E(\rho) \sim \frac{L_R(E, \rho, 1)}{\Omega(E, \rho)}$$

*up to some modifications of the Euler factor at  $p$ .*

**Conjecture (Main Conjecture).** *Assume that  $p \geq 5$ ,  $E$  has good ordinary reduction at  $p$ , and  $X(E/K_\infty)$  belongs to  $\mathfrak{M}_H(G)$ . Granted the existence of the  $p$ -adic  $L$ -function,  $\mathcal{L}_E$  is a characteristic element of  $X(E/K_\infty)$  :*

$$\partial[\mathcal{L}_E] = [X(E/K_\infty)].$$

## Implications of the Main Conjecture

Assuming the existence of  $\mathcal{L}_E$  and the main conjecture, one can show:

1) )  $GL_2$  main conjecture  $\Rightarrow$  1-variable main conjecture  
(over  $\mathbb{Q}_{cyc}$ )

2)

$$\chi(G, X(\rho)) \text{ finite} \Leftrightarrow L_R(E, \rho, 1) \neq 0$$

In this case one has:

$$\chi(G, X(\rho)) = |\mathcal{L}_E(\rho)|_p^{-m_\rho}$$

3) If  $L(E, 1) \neq 0$ , then by Kolyvagin:

$$E(\mathbb{Q}), \quad \text{III}(E/\mathbb{Q}) \text{ are finite}$$

and the  $p$ -part of the BSD-conjecture holds.

## Evidence for Main Conjecture

### I CM-case

Existence of  $\mathcal{L}_E$  follows from existence of 2-variable  $p$ -adic  $L$ -function (Manin-Vishik, Katz, Yager)

If  $X \in \mathfrak{M}_H(G)$ , then the main conjecture follows from 2-variable main conjecture (Rubin, Yager)

### II $GL_2$ -case

almost nothing is known!

Only weak numerical evidence by calculations of T. and V. Dokchitser:

$$E = X_1(11),$$

$$p = 5,$$

$\rho_i$ ,  $i = 1, 2$ , the two unique irreducible Artin representations of degree 4

$$\chi(G, X(\rho_i)) = |\mathcal{L}_E(\rho_i)|_p^{-1}, \quad i = 1, 2$$