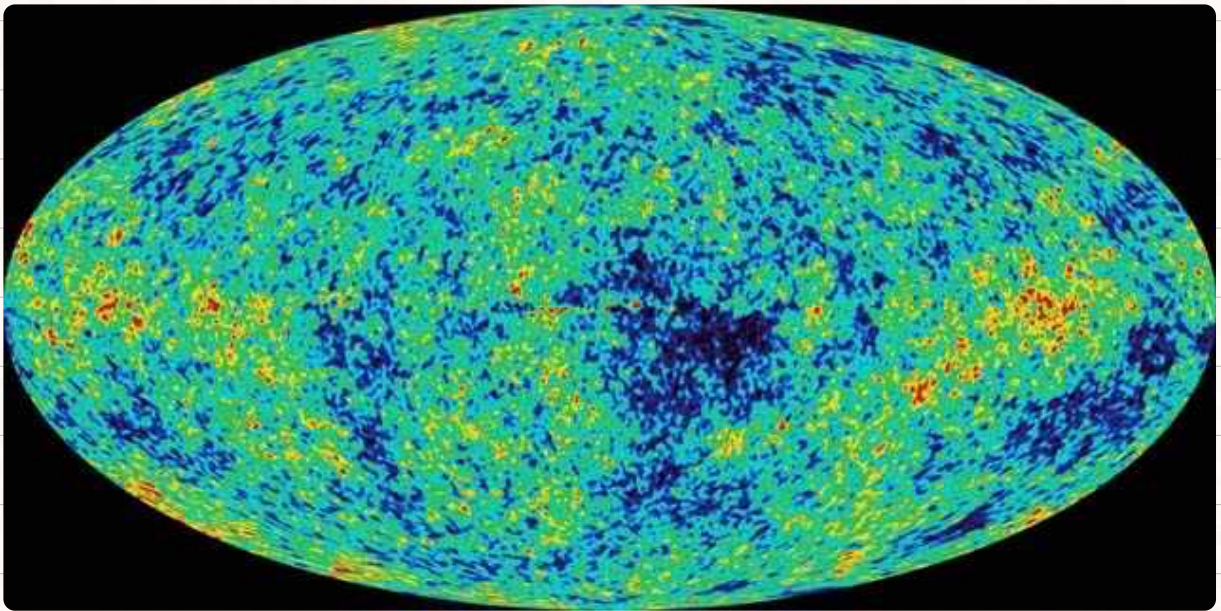


# Singularity Theorems in Lorentzian Geometry



[http://cosmology.berkeley.edu/Education/CosmologyEssays/  
The\\_Cosmic\\_Microwave\\_Background.html](http://cosmology.berkeley.edu/Education/CosmologyEssays/The_Cosmic_Microwave_Background.html)

Goal: Formulate general conditions on spacetime which imply the existence of singularities.

What is a Singularity?

Symmetry-examples:  $S \rightarrow \infty$ ,  $R \rightarrow \infty$ , incomplete  
geodesics



Def. 1 (Singular spacetime)

We call a spacetime  $(M, g)$  singular if there exist incomplete causal geodesics.

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Or Lorentzian version of Myers's Theorem:

Theorem (Myer)

Let  $(M, g)$  be a complete smooth Riemannian manifold of dimension  $n$ .

If  $k > 0$  and  $\text{Ric}(V, V) \geq (n-1)k g(V, V)$   
 $\forall V \in TM$

$\Rightarrow$   $\left\{ \begin{array}{l} \cdot M \text{ compact} \\ \cdot \text{diam}(M) \leq \pi / \sqrt{k} \\ \cdot \pi(M) \text{ finite} \end{array} \right.$

## (I) The Arena

- (\*)  $M$  smooth manifold (Hausdorff, second countable)
- (\*)  $g$  Lorentzian metric: Symmetric non-degenerate  $(0,2)$ -tensor field of index  $1$ ,  $k$

### Regularity of $g$ ?

- in classical Singularity Theorems  $k \geq 2 \rightarrow$  normal neighbourhoods
- new result by Melanie Graf (2022)  
:  $k = 1$

### Why do we want low regularity $g$ ?

- when describing stars we need to match spacetimes (exterior & interior)  
 $\rightarrow$  classical solution of the Einstein-Field equations:  
Oppenheimer-Snyder solution  $\Rightarrow \underline{g \in C^{1,1}}$
- also when describing shock waves  $\Rightarrow \underline{g \in C^{1,1}}$
- Philosophical: If the theorems would break at low regularity their consequences could be circumvented by a spacetime which is of low regularity.

If  $g \in C^1$ :  
• Ric well defined as distribution  
•  $\exists$  of geodesics, even though not unique (Pano)

The Arena now gives us a language in which we can formulate causality

Remark: Class of timelike curves  $\Rightarrow$  Topology of spacetime

## (II) causality in $(T_p M, g_p)$

• inspired by SRT  $\left( \begin{array}{l} T_p M \equiv \text{lin. approx. of } M \\ \text{SRT} \equiv \text{lin. approx. of GR} \end{array} \right)$

Def 2.1:  $v \in T_p M$  :

- causal :  $g_p(v, v) \leq 0, v \neq 0$
- timelike :  $g_p(v, v) < 0$
- null :  $g_p(v, v) = 0, v \neq 0$
- spacelike :  $g_p(v, v) > 0$  or  $v = 0$

•  $\Pi_p := \{ v \in T_p M \mid g_p(v, v) < 0 \}$  (timelike vectors)

Def 2.2 :  $v, w \in \Pi_p$  :  $v \sim w \iff g_p(v, w) < 0$

### Remark

(\*)  $\sim$  is an equiv. relation

$C(u) := \{ v \in \Pi_p : g_p(u, v) < 0 \}$   $u \in \Pi_p$

$\hookrightarrow \Pi_p = \underbrace{C(u)}_{\text{future direction}} \sqcup \underbrace{C(-u)}_{\text{past direction}}$

In SRT :  $(T_p M, g_p) \cong (M, g) = (\mathbb{R}^4, \eta)$

$\hookrightarrow \sim$  defines at every point the causal future and past

$M \neq \mathbb{R}^4$  ?

Def. 2.2 : We call a Lorentzian manifold  $(M, g)$  time-orientable if there exists a global timelike vector field  $u \in \mathcal{X}(M)$   
( $\Leftrightarrow$  we can define the causal future and past direction at every point in a smooth way)

Def 2.3

We call  $\alpha : I \rightarrow M$  a locally Lipschitz continuous curve :

- timelike if almost everywhere :  
 $\dot{\alpha}(t) \in T_{\alpha(t)} M$  timelike
- causal, null, spacelike ...
- future directed :  $g(\dot{\alpha}, u_{\alpha(t)}) < 0$

Def (2.4)  $A \subseteq M$

$I^+(A) := \{q \in M \mid \exists \alpha \text{ timelike curve from } A \text{ to } q\}$

$J^+(A) := \{q \in M \mid \exists \alpha \text{ causal curve from } A \text{ to } q\}$

$E^+(A) := J^+(A) - I^+(A)$

In SRT:  $I^+(p) = (U_p)$ ,  $Y^+(p) = \overline{(U_p)}$ ,  $E^+(p) = \partial(U_p)$

↓  $\exp_p$ , 'partial' isometry

Theorem (2.1)  $g \in e^2$

Let  $\mathcal{V}$  be a normal neighbourhood of  $p \in M$ .

Then:

$$g = \exp_p(x) \in \begin{cases} I^+(p, \mathcal{V}) \Leftrightarrow x \in (U_p) \\ Y^+(p, \mathcal{V}) \Leftrightarrow x \in \overline{(U_p)} \\ E^+(p, \mathcal{V}) \Leftrightarrow x \in \partial(U_p) \end{cases}$$

Idea: As in Riemannian geometry one proves the Gauß Lemma:

$$g_p(x, \omega x) = g_{\exp_p(x)}(d_{\exp_p(x)}(x), d_{\exp_p(x)}(\omega x))$$

Intuitive result, tedious prove...

An unintuitive result, simpler prove:

### Theorem (2.2) (Twin-paradox)

Let  $\mathcal{V}$  be a normal neighbourhood of  $p \in \mathcal{M}$ .  
For  $q \in \mathcal{Y}^+(p, \mathcal{V})$  the unique (reparametrization)  
longest curve from  $p$  to  $q$  is given  
by the radial geodesic:  
 $\exp_p^{-1}(q) \in (\text{in coord.})$

### Remark (2.3)

- the 'length' is measured by the proper time:

$$L_g(\alpha) := \int_I |\dot{\alpha}|_g dt.$$

- We define the time separation of  $p, q \in \mathcal{M}$ :

$$\tilde{J}(p, q) := \sup \{ L_g(\alpha) \mid \alpha \text{ causal from } p \text{ to } q \}$$

$\hookrightarrow$   $\exists$  of maximizing curves?  $\rightarrow$  study limit curves

→ causal curves as 'atoms' of causality

### (III) Limit curves

**Theorem 3.34.** (limit curve theorem I) (cf. [14] Theorem 1.5 p.5 and [11] Prop. 2.6.1/2.6.7 p.34)

Let  $(\alpha_n)_n$  be a sequence of LLC-causal curves, such that  $\alpha_n(0) \rightarrow p \in M$ . If furthermore one of the following is given:

1. all  $\alpha_n$  are proportional to  $h$ -arclength parametrized, are defined on the interval  $[0, 1]$  and have bounded  $h$ -arclengths from both sides:  $C' > L_h(\alpha_n) > C > 0$ .
2. all  $\alpha_n$  are inextendible

then there exists a causal curve  $\alpha$  starting at  $p$  such that there is a subsequence  $(\alpha_{n_k})_k$  which converges to  $\alpha$  uniformly on compact sets.

In the first case this implies uniform convergence on  $[0, 1]$ . If the second condition is fulfilled instead, it follows that  $\alpha$  is inextendible too.

- $h$  complete Riemannian background metric
- $\alpha_n$  proportional to  $h$ -arc length on  $[0, 1]$   
→  $|\dot{\alpha}_n|_h = L_h(\alpha_n)$  a.e.

- $\alpha_n : [0, b) \rightarrow M$  (future) inextendible  
if  $\lim_{t \rightarrow b} \alpha_n(t)$  does not exist

Proof : Arzelà Ascoli'



## Idea of our Singularity Theorem:

- (1) Find a causality condition which implies the existence of maximal geodesics
- (2) Find an initial and Energy/Curvature-condition which implies the failure of maximality after a finite proper time
- (3) If there would exist timelike curves of arbitrary long proper time there would exist maximal geodesics of arbitrary long proper time

## (IV) Global hyperbolicity

### Def (4.1)

A spacetime  $(M, g)$  is called globally hyperbolic if:

(i)  $(M, g)$  is non-totally imprisoning  
:  $\nexists$  no future / past inextendible causal curve contained in a compact set

(ii)  $\forall p, q \in M: \mathcal{I}(p, q) := \mathcal{I}^+(p) \cap \mathcal{I}^-(q)$   
is compact.

Limit curve theorems inspire the following definition:

$$\tilde{\mathcal{C}}(p, q) := \overline{C_h(p, q)}^{\mathcal{C}_{co}}$$

$$C_h(p, q) := \left\{ \alpha: [0, 1] \rightarrow M \mid \begin{array}{l} \alpha \text{ causal,} \\ \alpha(0) = p, \alpha(1) = q \\ |\dot{\alpha}|_g = \text{const} \end{array} \right\}$$

Remark: One can show that condition (ii) in Def (4.1) can instead be formulated as  $\tilde{\mathcal{C}}(p, q)$  being compact  $\forall p, q \in M$

### Lemma (4.2)

If  $(M, g)$  is globally hyperbolic and  $q \in \mathcal{I}^+(p)$   
 $\Rightarrow \exists \gamma$  causal geodesic from  $p$  to  $q$  such that  
 $L_g(\gamma) = \mathcal{I}(p, q)$ .

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Further characterization of global hyperbolicity:

Def (4.3)

We call  $S \subseteq M$  a Cauchy hypersurface if it is met exactly once by every inextendible causal curve.

Remark Let  $S \subseteq M$  be a Cauchy hypersurface.

- $S$  is a closed acausal topological hypersurface
- $M = I^-(S) \cup S \cup I^+(S)$  (disjoint)
- $M$  is globally hyperbolic and  $M \cong \mathbb{R} \times S$

This new characterization motivates us to examine maximal geodesics starting from a hypersurface.





## (V) Calculus of Variations

- study extremal properties of  $L\gamma$
- find conditions which prevent maximality

### Notation

- $\gamma : [0, b] \rightarrow M \in \mathcal{C}^2_{PC}$ ,  $|\dot{\gamma}|_g = 1$ , timelike
- $\Gamma : [0, b] \times (-\varepsilon, \varepsilon) \rightarrow M \in \mathcal{C}^2_{PC}$

$$\Gamma(t, 0) = \gamma(t)$$

$$V(t) := \partial_s \Gamma(t, s) |_{s=0} \quad (\text{'Variation vector field'})$$

→  $\Gamma$  Variation of  $\gamma$

- $P \subseteq M$  spacelike hyper surface
- $\Gamma$  is a  $(P, g)$ -variation if  
 $\Gamma(b, s) = \gamma(b) = q$ ,  $\Gamma(0, s) \in P$

## ○ First variation

$$\begin{aligned} d_2 L(V) &\equiv \left. \frac{d}{ds} \right|_{s=0} L_g(\Gamma(-, s)) \\ &= \int_0^b g(\dot{\gamma}, V) dt \\ &\quad - \sum_{i=1}^k g(\Delta \dot{\gamma}, V)(t_i) \\ &\quad - g(\dot{\gamma}, V) \Big|_0^b \end{aligned}$$

$\Rightarrow$  A  $\mathcal{C}_{pc}^2$ -curve  $\gamma$  of constant speed  $|\dot{\gamma}|_g = c > 0$  fulfills  $d_2 L(V) = 0$  for every  $(P, \gamma(b))$ -Variation if and only if  $\gamma$  is a geodesic normal to  $P$ .

From now on let  $\gamma$  be a geodesic which starts orthogonal to  $P$  a spacelike hypersurface

## ○ Second Variation (Synge's Formula)

$$\bullet I_2^{\perp}(\dot{v}, \dot{v}) = \frac{d^2}{ds^2} \Big|_{s=0} L_g(\Gamma(-, s))$$

↓  
bilinear  
Form

$$= - \int_0^b \{ g(\dot{v}, \dot{v}) - R(\dot{v}, \dot{v}, \dot{v}, \dot{v}) \} dt + g(\dot{v}(0), \underline{\underline{\Pi}}(v(0), v(0)))$$

$$\bullet \underline{\underline{\Pi}}(X, Y) = \underline{\underline{\Pi}}_{\dot{v}_x} \dot{v}_x(Y) \quad \left( \begin{array}{l} \text{second fundam} \\ \text{ental form} \end{array} \right)$$

-  $\dot{c} : (P, g^1) \hookrightarrow (M, g)$  isometric  
Immersion

-  $\underline{\underline{\Pi}} : \dot{c}^* T M \rightarrow \mathcal{N}_{\dot{c}}$

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Def (5.1)

- We call  $V$  a P-Jacobi-field if it is a variation vector field through P-normal geodesics.

Def (5.2) (Focal point)

We call  $\gamma(b)$  a focal point of  $P$  along  $\gamma$  if there is a P-Jacobi field  $V$  on  $\gamma$  such that  $V(b) = 0$ ,



Theorem (5.4) (O'Neil Theorem/34)

Let  $\gamma$  be a geodesic such that:  
 $\gamma(0) \in P$ ,  $\gamma'(0) \perp P$ . Then:

- (1) If there are no focal points of  $P$  along  $\gamma$ , then  $I_\gamma^\perp$  is negative definite
- (2) If  $q := \gamma(b)$  is a focal point along  $\gamma$  then  $I_\gamma^\perp$  is semi-definite, but not definite
- (3) If  $\gamma(a)$  is a focal point such that  $0 < a < b$  then  $I_\gamma^\perp$  is not semi-definite

Important conclusion :

If we can somehow prove the existence of focal points along a (normal) geodesic we would have also proven that  $\gamma$  is not maximal  
→ Exactly what we wanted to show for our Singularity Theorem

Def. 5.5 (convergence)

We define the convergence of  $P$  as the real valued function on the normal bundle  $NP$  :

$$\bullet K(z) := g(z, H_T) = \frac{1}{n-1} \operatorname{tr}(S_z)$$

$$\text{where } H_T := \frac{1}{n-1} \sum_{i=1}^{n-1} II(e_i, e_i), \quad z \in T_p(P)^{\perp}$$

is the mean curvature field

To further analyze the existence of focal points we need to use Synge's Formula

$$\textcircled{*} I_z^{\perp}(\dot{V}, \dot{V}) = -\frac{1}{c} \int_0^b \{ g(\dot{V}', \dot{V}') - D(\dot{V}, \dot{V}, \dot{V}, \dot{V}) \} dt + \frac{1}{c} g(\dot{V}(0), II(\dot{V}(0), \dot{V}(0)))$$

↳ Now let  $f: [0, b] \rightarrow \mathbb{R}$  be a piecewise smooth function such that  $f(0) = 1, f(b) = 0$ .

⇒ for every  $e_i \in T_{z(0)}P, (e_i): ONB$ ; we can parallel translate  $e_i$  along  $\gamma$  to get a Variation Vector field:

$$V^i(t) := f(t) e_i(t)$$

$$\begin{aligned} \downarrow \\ I_r(v^i, v^j) &= -\frac{1}{2} \int_0^b \{ (f')^2 - f^2 R(e_i, \dot{r}, e_i, \dot{r}) \} dt \\ \downarrow & \qquad \qquad \qquad + \frac{1}{2} g(\dot{r}(0), \Pi(e_i, e_i)) \end{aligned}$$

$$\begin{aligned} \sum_{i=1}^{n-1} I_r(v^i, v^i) &= -\frac{1}{2} \int_0^b \{ (n-1)(f')^2 - f^2 \text{Ric}(\dot{r}, \dot{r}) \} dt \\ & \qquad \qquad \qquad + \frac{1}{2} (n-1) k(\dot{r}(0)) \\ & \equiv \gamma[f] \end{aligned}$$

$\implies$  If we can find an  $f$  such that  $\gamma[f] \geq 0 \implies \exists$  of a local point

$\rightarrow$  just guess!

Example (5.6 / O'Neil Prop. 37)

$$\left. \begin{array}{l} (1) k(\dot{r}(0)) > 0 \\ (2) \text{Ric}(\dot{r}, \dot{r}) \geq 0 \end{array} \right\} \xrightarrow{\substack{f(t) = 1 - k/\epsilon \\ b \geq 1/k}} \gamma[f] \geq 0 //$$

Variation  $\therefore k(\dot{r}(0)) \geq \beta > 0$ ,

$$\text{Ric}(\dot{r}, \dot{r}) \geq -S, \quad 0 \leq S \leq \frac{3\beta}{b} (1-c), \quad 0 < c \leq 1$$

$\implies$  local point if  $b \geq 1/c\beta$

### Excursion : Energy conditions

Since the Einstein-field equations

$$G_{\mu\nu} = \text{Ric}_{\mu\nu} - \frac{R}{2} g_{\mu\nu} = 8\pi T_{\mu\nu}$$

connect curvature to the energy-momentum tensor we can formulate curvature conditions as  $\text{Ric}(X, X) \geq 0$  as Energy conditions:

$$T(X, X) \geq \frac{\epsilon(T)}{n-1} g(V, V)$$

This condition is fulfilled by most of the reasonable classical matter-models in our universe.

Though if one incorporates Quantum-mechanical effects this so called Strong energy condition is most certainly not fulfilled.

A concrete example is given by the Klein-Gordon-field (scalar field) described by the Klein-Gordon-equation

$$(\square_g + m^2 + \xi R)\phi = 0$$

(non-minimally coupled)

with Energy-momentum Tensor:

$$T_{\mu\nu} = (\nabla_\mu \phi)(\nabla_\nu \phi) + \frac{1}{2} g_{\mu\nu} (m^2 \phi^2 - (\nabla \phi)^2) \\ + \xi (g_{\mu\nu} \square \phi - \nabla_\mu \nabla_\nu \phi - g_{\mu\nu}) \phi^2$$



...  $\int Ric(X, X) \geq 0$



Quantum-Energy inequalities (C.M. Fewster / E.A. Kottou)  
(inspired, still classical though...)

Worldline inequality:

- $|\phi| \leq \phi_{\max} \leq (8\pi\xi)^{1/2}$
- $|\nabla_{\dot{\gamma}} \phi| \leq \phi'_{\max} < \infty$
- $\gamma: I \rightarrow M$  causal geodesic

$$\int_{\gamma} Ric(\dot{\gamma}, \dot{\gamma}) \phi^2 d\epsilon \geq Q \|\phi\|_{L^2}^2 + \tilde{Q} \|\phi'\|_{L^2}^2$$

- $\forall \phi \in W_0^1(I); Q < 0, \tilde{Q} \leq 0$

Is it still possible to predict focal points?

- ... yes under some further conditions  
 $Ric(\dot{\gamma}, \dot{\gamma}) \geq 0$  initially,  $\gamma$  extendible to the past, ...

Back to the classical theorem.

## (VI) Hawking's singularity Theorem

We only need one further preparatory Lemma :

Lemma 6.1 (O'Neil / 44)

- Let  $S \subseteq M$  be a Cauchy hypersurface and  $q \in M$ .  
 $\Rightarrow$  There exists a geodesic from  $S$  to  $q$  of length  $\mathcal{J}(S, q)$ .

Remark :  $\mathcal{J}(S, q) := \sup_{s \in S} \mathcal{J}(s, q)$

Proof (6.2) (Sketch)

- $\mathcal{J} : M \times M \rightarrow \mathbb{R}$  is continuous if  $M$  is globally hyperbolic (lower semi-continuity always from twin paradox)
- $\mathcal{J}^{-1}(q) \cap S$  is compact

$$\Rightarrow \exists p \in S: \mathcal{I}(S, g) = \mathcal{I}(p, g)$$

Lemma

4.3)  $\exists$  causal geodesic  $\gamma: L_g(\gamma) = \mathcal{I}(p, g) = \mathcal{I}(S, g)$

( $\gamma$  then has to be normal) //

### Theorem (6.2) (Hawking)

Let  $(M, g)$  be time-orientable Lorentzian manifold with  $g \in C^2$ . If the strong energy condition:  $\text{Ric}(X, X) \geq 0 \forall X \in \mathcal{X}(M)$  holds and there exists a spacelike Cauchy-hypersurface  $S$  such that  $K(n) \geq \beta > 0$  on  $S$  with  $n$  the unit normal vector, then:

$$\mathcal{I}(S, g) \leq 1/\beta \quad \forall g \in I^+(S)$$

in particular  $(M, g)$  is singular.

Proof (6.2) ( / O'Neil / Thm. 55a )

• Let  $q \in I^+(S)$ . By Lemma (6.1) there exists a (timelike) normal



geodesic  $\gamma$  from  $S$  to  $y$  such that  
 $L_g(\gamma) = J(S, y)$ .

• Since  $\gamma$  is maximizing it cannot have any focal points.

• The example on (IV) showed that  $\text{Ric}(X, X) \geq 0$ ,  $K(n) \geq \beta > 0$  implies the existence of a focal point after  $t \geq 1/|\beta|$

$$\Rightarrow L_g(\gamma) = J(S, y) \leq 1/\beta$$

• Since  $y \in I^+(S)$  was arbitrary the claim follows. //

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What's about the  
Regularity question?

## (VII) The question of regularity

What remains true if the metric is only of  $C^1$  regularity?

A lot! Most importantly:

### Theorem 7.1 (Melanie Graf)

Let  $(M, g)$  be globally hyperbolic and  $g \in C^1$ .

• Then for any  $q \in M^+(p) - p$  there exists at least one maximizing geodesic  $\gamma$  from  $p$  to  $q$ .

• Moreover  $\gamma$  can be obtained as the  $C^1$ -limit of a sequence of maximizing  $\check{g}_{\epsilon_n}$ -causal geodesics  $\gamma_{\epsilon_n}$  for  $\epsilon_n \rightarrow 0$ .

All  $\check{g}_{\epsilon}$  can be chosen with smaller lightcones than  $g$ :

$$\text{if } \checkmark \ddot{g}_{\varepsilon_n}(X, X) \leq 0 \Rightarrow g(X, X) < 0.$$

Problem :

$$\text{Ric}_{ij} = \partial_m \Gamma_{ij}^m - \partial_j \Gamma_{im}^m + \Gamma_{im}^m \Gamma_{km}^k - \Gamma_{ik}^m \Gamma_{jm}^k$$

$$\text{with } \Gamma_{ij}^k = \frac{1}{2} g^{kl} (\partial_i g_{jk} + \partial_j g_{ik} - \partial_k g_{ij})$$

$$\Rightarrow: g \in C^1 \Rightarrow \Gamma_{ij}^k \in C^0 \Rightarrow \text{Ric}_{ij} \text{ c.g. not well defined}$$

But! We can define  $\partial_m \Gamma_{ij}^k$  as a Distribution :

$$\rightarrow \langle \partial_m \Gamma_{ij}^k, \phi \rangle := - \int_{\Omega} \Gamma_{ij}^k \partial_m \phi \, d\Omega$$

$$\Omega \subseteq \mathbb{R}^n$$

On a manifold?

|



## 1) Densities on vector spaces

- $W$  (real) vector space of dim  $n$
- $\Lambda^n W$  -  $n$  fold antisymmetrized tensor product of  $W$

### Def 7.2 ( $q$ -density)

- For all  $q \in \mathbb{R}$  we call:  $\mu: \Lambda^n W \setminus \{0\} \rightarrow \mathbb{R}$   
a  $q$ -density if for all  $0 \neq s \in \mathbb{R}$   
and  $0 \neq w \in \Lambda^n W$ :

$$\mu(s w) = |s|^q \mu(w)$$

$\Rightarrow \text{Vol}^q(W)$  real one-dimensional  
vector space

- (\*)  $(v^i), (w^i)$  basis of  $W$   
 $A = (a^{ij})$  matrix of basis change  
 $v^i = \sum_j a^{ij} w^j$

$$\Rightarrow \mu(v_1 \wedge \dots \wedge v_n) = |\det(A)|^q \mu(w_1 \wedge \dots \wedge w_n)$$

## 2) Densities on manifolds

Def (7.3) ( $q$ -density)

Let  $(V_\alpha, \psi_\alpha)_\alpha$  be an Atlas for  $M$ .

We call the one-dimensional vector bundle (line bundle) given by the cocycle of transition functions:

$$\begin{aligned} \epsilon_{\alpha\beta} &: \psi_\beta(V_\alpha \cap V_\beta) \rightarrow \mathbb{R} \setminus \{0\} = GL(1, \mathbb{R}) \\ \epsilon_{\alpha\beta}(y) &:= |\det D(\psi_\alpha \circ \psi_\beta^{-1})(y)|^{-q} \\ &= |\det D(\psi_\beta \circ \psi_\alpha^{-1})(\psi_{\alpha\beta}(y))|^{-q} \end{aligned}$$

Denoted as  $\text{Vol}^q(M)$ ,

In the following we will always consider  $q = 1$ .

Concrete description:

- $\text{Vol}(M) = \bigcup_{p \in M} \{p\} \times \text{Vol}(T_p M)$

- $(V_\alpha, \psi_\alpha)$  chart:  $\exists$  unique density  $|dx^1 \wedge \dots \wedge dx^n| (\partial x_1, \dots, \partial x_n)|_p = 1$

↓ Trivializations given as:

- $\chi_\alpha(p, \nu_p) = (p, \nu_p(\partial x_1, \dots, \partial x_n(p)))$
- $\Phi_\alpha(p, \nu_p) := (\chi_\alpha(p), \nu_p(\partial x_1, \dots, \partial x_n(p)))$

- local expression of a  $\mathcal{C}^k$ -section:  
 $\nu \in \mathcal{P}^k(M, \text{Vol}(M))$  is given by:

$$\nu_\alpha = (\Phi_\alpha)_* (\nu|_{V_\alpha}) = \Phi_\alpha \circ \nu|_{V_\alpha} \circ \gamma_\alpha^{-1}$$

$$\rightarrow \nu|_{V_\alpha} = (\nu_\alpha \circ \gamma_\alpha) |dx^1 \wedge \dots \wedge dx^n|$$

- Transformation of local expressions:

$$\nu_\alpha(x) = |\det(D(\gamma_\beta \circ \gamma_\alpha^{-1}))|(x) \nu_\beta(\gamma_\beta \circ \gamma_\alpha^{-1}(x))$$

exactly the transformation rule which is needed to use the Trafo-formula for the Lebesgue measure!

### Def (7.4) (Integral on manifold)

Let  $\nu \in \mathcal{P}_c^0(M, \text{Vol}(M))$ ,  $(V_\alpha, \gamma_\alpha)_\alpha$  an Atlas with  $V_\alpha$  compact and  $(\xi_\alpha)_\alpha$  a partition of unity subordinate to  $(V_\alpha)_\alpha$

$$\downarrow \int_M \nu = \sum_\alpha \int_{V_\alpha} \xi_\alpha \nu := \sum_\alpha \int_{\gamma_\alpha(V_\alpha)} \xi_\alpha(\gamma_\alpha^{-1}(x)) \nu_\alpha(x) dx$$


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We aim to define distributions on

manifolds as the topological dual of  $\Gamma_c(M, \text{Vol}(M))$ .

↳ need to define a topology on  $\Gamma_c(M, \text{Vol}(M))$  ... not here ...

Def (7.5) (Distributions)

• We define the space of distributions on  $M$  as

$$D'(M) := \Gamma_c(M, \text{Vol}(M))'$$

• We define the space of  $(r, s)$ -tensor distributions as :

$$\begin{aligned} D' \mathcal{T}_s^r(M) &:= \Gamma_c(M, T_r^s M \otimes \text{Vol}(M)) \\ &\hat{=} \Gamma_c(M, \text{Vol}(M)) \otimes_{\mathcal{C}^\infty} \mathcal{T}_s^r(M) \\ &= D'(M) \otimes_{\mathcal{C}^\infty} \mathcal{T}_s^r(M) \end{aligned}$$

(•  $D' \mathcal{T}_s^r(M)$  is a fine sheaf of  $\mathcal{C}^\infty$ -modules)

↓

Let  $T \in D' \mathcal{T}_s^r(M)$  ;  $(V_\alpha, \psi_\alpha)$  Atlas

$$\begin{aligned} (i) T|_{V_\alpha} &= \binom{\alpha T}{\partial_{i_1} \dots \partial_{i_s}}^{c_1 \dots c_r} \partial_{i_1} \otimes \dots \otimes \partial_{i_r} \otimes dx^{a_1} \otimes \dots \otimes dx^{a_s} \\ &\in D'(V_\alpha) \end{aligned}$$

$$(ii) u \in D'(V_\alpha) \quad : \quad \langle (\psi_\alpha)_* u, \varphi \rangle := \langle T, (\psi_\alpha)^* \varphi \rangle$$

$$\rightarrow \binom{\alpha T}{\partial_{i_1} \dots \partial_{i_s}}^{c_1 \dots c_r} := (\psi_\alpha)_* \left( \binom{\alpha T}{\partial_{i_1} \dots \partial_{i_s}}^{c_1 \dots c_r} \right) \in D'(\psi_\alpha(V_\alpha))$$

$$(iii) \left\{ \left( (\alpha \hat{T})_{\partial_1 \dots \partial_s}^{c_1 \dots c_r} \right) \in D'(\mathcal{V}_2(\mathcal{V}_2)) \right\}_{\alpha \in \mathcal{A}}$$

$\Rightarrow \exists T \in D' \mathcal{T}_s^r(M)$  such that

$$(\mathcal{V}_\alpha)_* \left( (\alpha T)_{\partial_1 \dots \partial_s}^{c_1 \dots c_r} \right) = (\alpha \hat{T})_{\partial_1 \dots \partial_s}^{c_1 \dots c_r}$$

Def (7.6) :

Let  $u \in D'(M)$ .

We say  $u$  is non-negative:  $u \geq 0$

if  $\langle u, \nu \rangle \geq 0 \quad \forall \nu \in \Gamma_c(M, \text{Vol}(M))$

with  $\nu$  being non-negative



$(M, g)$  satisfies the strong energy

condition if  $\left\{ \begin{array}{l} \text{Ric}(X, X) \geq 0 \\ \forall X \in \mathcal{K}(M) \text{ timelike} \end{array} \right.$

( $\Leftrightarrow \text{Ric}_{ij} X^i X^j \in D'(\mathcal{V}_2(\mathcal{V}_2))$  non negative)



### (V III) Regularization of distributions

For  $U_\alpha \in \mathcal{D}'(\mathbb{R}^n)$ ,  $u \in \mathcal{D}'(\mathbb{R}^n)$   
and  $\rho_\varepsilon$  a standard mollifier:

$$u * \rho_\varepsilon(x) := \langle u, \rho_\varepsilon(x - \cdot) \rangle$$



$$T *_{\mathcal{D}} \rho_\varepsilon := \sum_{\alpha \in \mathbb{N}^n} \chi_\alpha (\chi_\alpha)^* \left[ ((\chi_\alpha)_* (\rho_\varepsilon * T)) * \rho_\varepsilon \right]$$

$$! \quad u \geq 0 \Rightarrow u *_{\mathcal{D}} \rho_\varepsilon \geq 0 \quad !$$

This construction is crucial to  
prove the existence of smooth  
approximations of  $y \in \mathcal{E}'$ .

In fact one can prove:

## Lemma (8.1)

$\forall g \in \mathcal{C}^1$  there exists a net  $(\check{g}_\varepsilon)$  of smooth metrics which converge in  $\mathcal{C}_{loc}^1$  to  $g$  and fulfill:

$\check{g}_\varepsilon(X, X) \leq 0 \Rightarrow g(X, X) < 0$   
(approximation of lightcones from inside)

---

By further showing that :

$$\text{Ric}[\check{g}_\varepsilon] - \text{Ric} *_{\mu} \beta_\varepsilon \rightarrow 0 \text{ in } \mathcal{C}_{loc}^0$$

The following fundamental theorem can be proven:

---

## Theorem (8.2) (Melanie Graf)

- $(M, g)$   $C^1$ -spacetime
- $K \subseteq TM$  compact
- $\text{Ric}(X, X) \geq 0 \quad \forall$  timelike  $X \in \mathcal{Z}(M)$

$\Rightarrow \forall \delta > 0 \exists \varepsilon_0 > 0 : \forall \varepsilon < \varepsilon_0 \forall X \in K$   
mit  $\check{g}_\varepsilon(X, X) = -1$ :

$$\text{Ric}[\check{g}_\varepsilon](X, X) > -\delta$$

---

## Theorem 8.3 / $C^1$ -Hawking

(Melanie Graf)

Let  $(M, g)$  be a time-orientable Lorentzian manifold with  $g \in C^1$ .

If  $(M, g) :$

(1) fulfills the distributional strong energy condition

(non-negative Ricci-curvature)

(2) contains a spacelike Cauchy hypersurface  $S$  with

$$K(m) > \beta > 0 \quad \text{on } S$$

then :  $\mathcal{D}(S, P) \leq 1/\beta$   
 $\forall P \in I^+(S)$

$\Rightarrow$   $(M, g)$  is singular

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(IX)  $C^1$ -Theorem with  
QEI-inspired energy condition?

Problem :  $Ric \in \mathcal{D}\mathcal{D}_2^0(M)$

$\Rightarrow$  all energy conditions have to be formulated as world volume instead of world line one qualities.

- $M[f]$  is dependent on estimates of  $(\int_I \text{Ric}(X, X) f^2 dt)^*$

↳ a world volume inequality does not tell us much about \*

One special case would be a condition like:

$$\text{Ric}(X, X)[f^2] \geq Q \|f\|_{L^1(M)}^2$$

( $\cdot$ )  
↓

$$\int \text{Ric}[\check{g}_\varepsilon] f^2 d\text{Vol} \geq Q \|f\|_{L^1(M)}^2$$

$$\forall f \Rightarrow \text{Ric}[\check{g}_\varepsilon] \geq Q$$

⇒ Singularity if  $K$  big enough ...

What if  $g \in C^{1,1}$

⇒  $\exp^t: NS \rightarrow M$  bi-Lipschitz homeomorphism

• uniqueness of geodesics

• Radmacher's Theorem  $\Rightarrow$  Ric a.e. defined  
 $\rightarrow$  world line inequalities?

also stars, shock waves all  $C^{2,1}$ ...