

# PROJECTIVE VARIETIES

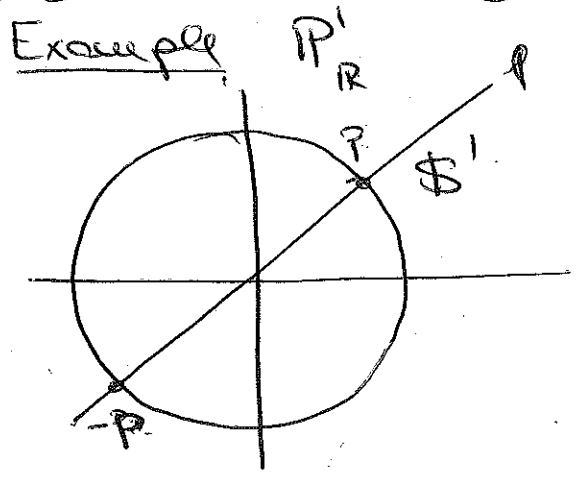
MOTIVATION: Quantum mechanics

- \* States of physical system  $\leadsto$  Hilbert space  $\mathcal{H}$
- \* Measurements  $\leadsto$  observable operators  $\mathcal{O}$
- \* Measuring  $\Rightarrow$  system collapses to eigenstate of  $\mathcal{O}$
- \* Eigenstates provide a basis for  $\mathcal{H}$
- \* Two states  $\psi, \lambda\psi \leadsto$  same physical system
- \* Consider  $\mathcal{H}/\sim$   $\psi \sim \psi' \Leftrightarrow \psi' = \lambda\psi$   
 $\mathbb{P}(\mathcal{H})$

## ① Reminder of differential-geometric construction

Def:  $\mathbb{P}_{\mathbb{R}}^n = \{ \ell \subset \mathbb{R}^{n+1} : \ell \text{ vectorial line} \}$

### Constructions and coordinates



Each line intersects  $H^1$  at 2 points  $\{P, -P\}$   
 Namely,  $\ell$  is totally determined by a point in  $H^1/\mathbb{Z}_2$

Likewise  $\mathbb{P}_{\mathbb{R}}^n \cong \mathbb{S}^n/\mathbb{Z}_2$

In other words, a line in  $\mathbb{R}^{n+1}$  through the origin is fully determined by a vector in  $\mathbb{R}^{n+1} \setminus \{0\}$ .

The vector is not unique, only up to multiplication by scalars  $\lambda \in \mathbb{R}^*$ . Thus

$$\ell \in \mathbb{P}_{\mathbb{R}}^n \leftrightarrow [a_0 : a_1 : \dots : a_n] = \left\{ \lambda(a_0, \dots, a_n) : \lambda \in \mathbb{R}^* \right\}$$

$$\frac{\mathbb{R}^{n+1} \setminus \{0\}}{\mathbb{R}^*}$$

Locally  $\cong \mathbb{R}^n$ : let  $U_i \subset \mathbb{P}_{\mathbb{R}}^n$ .

$$\{ \bar{a} : a_i \neq 0 \} = \left\{ \left[ \frac{a_0}{a_i} : \dots : \frac{a_{i-1}}{a_i} : 1 : \frac{a_{i+1}}{a_i} : \dots : \frac{a_n}{a_i} \right] \right\}$$

$$\mathbb{R}^{n+1}$$

$\mathbb{P}_{\mathbb{R}}^n = \bigcup_{i=0}^n U_i$  covered by affine spaces. This is a property of schemes.

The same construction holds for  $\mathbb{P}_{\mathbb{C}}^n$ .

## ② Algebraic construction

Problems polynomials are not well defined on  $\mathbb{P}_{\mathbb{C}}^n$ .

To define projective algebraic sets  $\rightsquigarrow$  ?

$$\text{If } f(a_1, \dots, a_n) = f(\lambda a_1, \dots, \lambda a_n) \quad \forall \bar{a} \quad \forall \lambda \Rightarrow f = c$$

However if homogeneous  $\rightsquigarrow f=0$  is well defined on  $\mathbb{P}_{\mathbb{C}}^n$

$$\text{e.g. } f(x_0, x_1) = x_0^2 + x_1 x_0 + 5x_1^2$$

$$f(\lambda x_0, \lambda x_1) = \lambda^2 f(x_0, x_1)$$

$$\text{Hence } f(x_0, x_1) = 0 \Leftrightarrow f(\lambda x_0, \lambda x_1) = 0 \quad \lambda \in \mathbb{C}^* = \mathbb{C} \setminus \{0\}$$

Def Let  $IK[x_0, \dots, x_n]_d$  be the subset of degree  $d$  polys.

Given  $f \in IK[x_0, \dots, x_n]$  we write  $f^{(d)}$  for its degree  $d$  part. Namely  $f = \sum_{d=0}^{\deg f} f^{(d)}$ .

Def: a projective algebraic set  $V(I)$  is the zero set of  $I \subset IK[x_0, \dots, x_n]$  homogeneous ideal (i.e., an ideal graded by homogeneous polynomials) inside  $\mathbb{P}^n_{IK}$ .

Remarks:  $I$  homogeneous  $\Leftrightarrow \forall f \in I \quad f^{(d)} \in I$ . Exercise

e.g.  $\langle x+y^2, x-y^2 \rangle = \langle x, y^2 \rangle$

Examples

1)  $L \subset A_{IK}^{n+1}$   $k+1$  dimensional linear subspace  
 $L = \{x_0 = \dots = x_{n-k} = 0\}$

$L = L / IK \times \subset \mathbb{P}^n_{IK}$  is called a linear subspace of  $\mathbb{P}^n_{IK}$

In coordinates  $L = \{ [0 : \dots : 0 : a_{n-k+1} : \dots : a_n] \}$

to be defined  $\rightarrow \cong \mathbb{P}^k_{IK}$

That is, affine varieties induce projective varieties as long as defining ideal homogeneous. To distinguish

$$V_{\text{aff}}(I) \subset A_{IK}^{n+1} \quad V_{\text{proj}}(I) \subset \mathbb{P}^n_{IK}$$

$I \subset IK[x_0, \dots, x_n]$  homogeneous

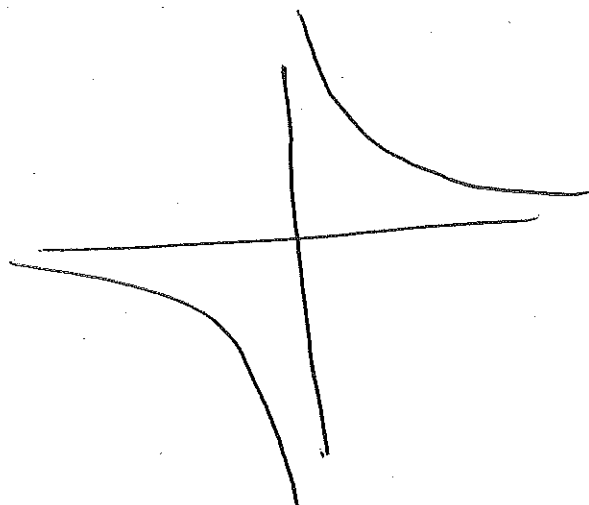
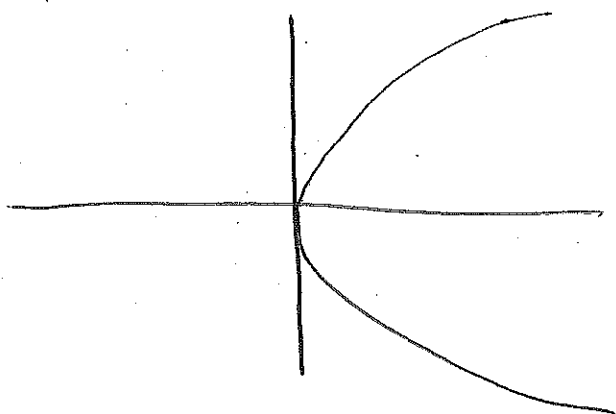
$V_{\text{aff}}(I)$  is what we call the cone of  $V_{\text{proj}}(I)$ , and denote it by  $C(V_{\text{proj}}(I))$ .

Example

(2) Homogenization

$$X_1 = \{x = y^2\}$$

$$X_2 = \{xy = 1\}$$



$$X_i \subset \mathbb{A}_{\mathbb{K}}^2 \subset \mathbb{P}_{\mathbb{K}}^2$$

$$\tilde{X}_1 = \{zx = y^2\}$$

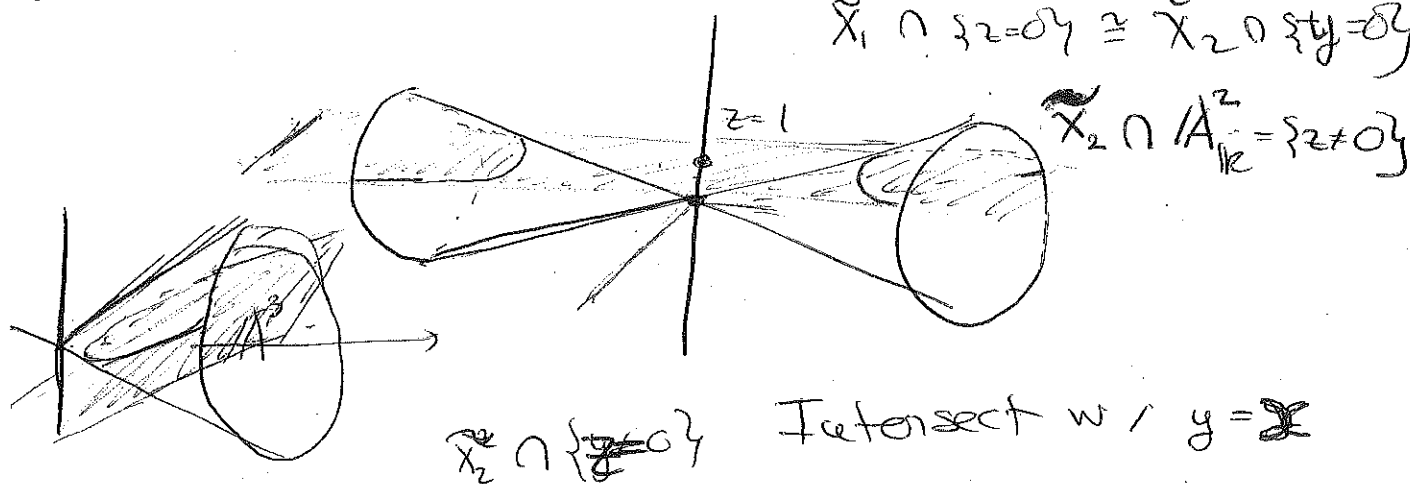
$$\tilde{X}_2 = \{xy = z\}$$

Hence  $X_i = \tilde{X}_i \cap \{z \neq 0\}$

Now,  $\tilde{X}_1 \cap \{z=0\} = \{[0:0:0]\}$  (direction in which  $X_1 \rightarrow \infty$ )

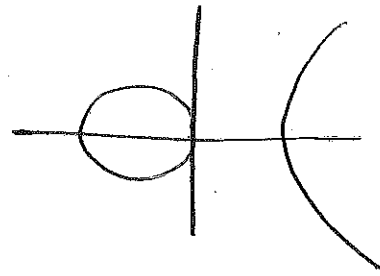
$\tilde{X}_2 \cap \{z=0\} = \{[1:0:0], [0:1:0]\}$  (directions in which  $X_2 \rightarrow \infty$ )

NOTE  $\tilde{X}_1, \tilde{X}_2$  are the same up to a permutation of the constants. That is  $\tilde{X}_1 \cap \{z \neq 0\} \cong \tilde{X}_2 \cap \{y \neq 0\}$   
 $\tilde{X}_1 \cap \{z=0\} \cong \tilde{X}_2 \cap \{y=0\}$



(3)  $y^2 = x(x^2 - 1) = x^3 - x$

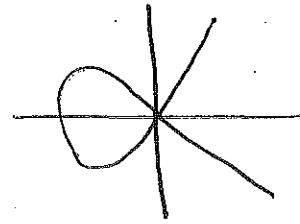
$\downarrow$   
 $y^2 z = x^3 - xz^2$



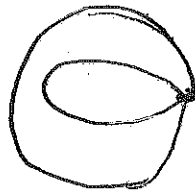
Real locus

$y^2 = x^2(x^2 + 1)$

$\downarrow$   
 $y^2 z = x^2(x+z)$

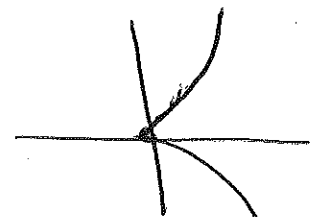


Node



$y^2 = x^3$

No 3D Picture available



Cusp

(4)  $Y \subset \mathbb{A}^3$

$Y = \{(t, t^2, t^3)\} \quad t \in \mathbb{K}$

$I(Y) = \langle x^2 - y, x^3 - z \rangle$

$\tilde{Y} \subset \mathbb{P}^3$  has

$I(\tilde{Y}) = \langle x^2 - yw, x^3 - zw^2 \rangle = \langle w^2 \left[ \left( \frac{x}{w} \right)^2 - \frac{y}{w} \right], w^3 \cdot \left( \left( \frac{x}{w} \right)^3 - \frac{z}{w} \right) \rangle$

Using cones, we have a projective Nullstellensatz:  
over  $\mathbb{C}$

$$\left\{ \begin{array}{l} \text{algebraic sets} \\ \text{in } \mathbb{P}_{\mathbb{C}}^n \end{array} \right\} \xleftrightarrow{1-1} \left\{ \begin{array}{l} \text{homogeneous radical} \\ \text{ideals in } \mathbb{C}[x_0, \dots, x_n] \\ \text{other than } \langle x_0, \dots, x_n \rangle \end{array} \right\}$$

## Gradings

What makes  $X \subset \mathbb{P}_{\mathbb{C}}^n$  projective in an intrinsic way?

- Degree induces a grading on  $\mathbb{C}[x_0, \dots, x_n] \stackrel{=}{=} R$ . This ring w/ extra information of grading has more structure.
- If  $I$  is homogeneous ideal in  $R \Rightarrow R/I$  is also graded by degree, as  $\deg [p^{(d)}]$  is well defined.

## Example

$$\frac{\mathbb{C}[x, y]}{\langle xy \rangle}$$

$$p(x, y)^{(d)} = \sum a_{ij} x^i y^j \quad d \geq 2$$

$$p(x, y)^{(d)} \text{ mod } \langle xy \rangle = a_{d0} x^d + a_{0d} y^d$$

Def:  $R$  ring. A grading is a decomposition

$$R = \bigoplus_{i \in \mathcal{J}} R_i$$

$R_i$  abelian group

$$R_j R_i = R_{i+j} \quad (\mathcal{J} \text{ semigroup})$$

Example  $\mathbb{C}[x_0, \dots, x_n]$  admits different gradings.

We assign to  $x_i$  the degree  $d_i$ . In this case

$x_i + x_j$  is homogeneous of degree  $d_i$  if  $d_j = 1$ .

More concretely

$$\mathbb{P}(1, 1, 2) \quad \begin{array}{l} \text{degree} \quad 1 \quad 2 \quad 3 \\ \text{monomials} \quad x, y \quad x^2, y^2, z \quad x^3, xy^2, xz, y^3, yz \quad \dots \end{array}$$

Rk: A usual grading in  $R = \mathbb{C}[x_0, \dots, x_n]$  is defined by taking a  $\mathbb{C}^\times$  action on  $R$  defined by

$$z * p(x_0, \dots, x_n) = p(z^{-1}x_0, \dots, z^{-1}x_n)$$

Then,  $\deg p = k \Leftrightarrow z * p(x_0, \dots, x_n) = z^{-k} p(x_0, \dots, x_n)$

In a similar way  $\mathbb{P}(d_0, \dots, d_n)$  has associated grading given by

$$z * p(x_0, \dots, x_n) = p(z^{-d_0}x_0, \dots, z^{-d_n}x_n)$$

And  $\deg p = k$  in the new grading  $\Leftrightarrow z * p = z^{-k} p$

With this: it is easy to see

Exercise: give a construction of  $\mathbb{P}_{\mathbb{C}}(1, 1, 2)$  as a quotient.

Rk: different gradings may give rise to isomorphic projective varieties (e.g.  $\mathbb{P}_{\mathbb{C}}(3, 3, 3) \cong \mathbb{P}_{\mathbb{C}}^2$ )

Solution to exercise

$$\mathbb{P}(C, 2) = \frac{\mathbb{C}^3 \setminus \{0\}}{\mathbb{C}^\times}$$

where  $\lambda \cdot (x_0, x_1, x_2) = (x_0, \lambda x_1, \lambda^2 x_2)$

Note that  $\mathbb{P}(C, 2) \xrightarrow{\cong} \mathbb{P}^3 \quad [z_0 : z_1 : z_2 : z_3]$   
 $[x_0 : x_1 : x_2] \mapsto [x_0^2 : x_0 x_1 : x_1^2 : x_2]$

is a cone with cone point  $[0 : 0 : 0 : 1]$ .  
 Coordinates

$$\{z_0 \neq 0\} \cap I_{ij} = \left\{ \left[ 1 : \frac{x_1}{x_0} : \left(\frac{x_1}{x_0}\right)^2 : x_2 \right] \right\} \cong \mathbb{A}^2$$

Likewise for  $\{z_2 \neq 0\} \cap I_{ij}$ . Note that  $\{z_2 \neq 0\} \cap I_{ij}$  is contained in  $\{z_0 \neq 0\} \cap \{z_2 \neq 0\} \cap I_{ij}$ , so it adds no new information.

However,  $\{z_3 \neq 0\}$  intersects  $I_{ij}$  in a way that no preferred point of an orbit exists. Indeed, one orbit is  $[0 : 0 : 0 : 1]$ , for which there is no ambiguity. However, for  $(\{z_0 \neq 0\} \cup \{z_2 \neq 0\}) \cap I_{ij}$ , all other orbits have two points  $\left[ \frac{x_0^2}{x_2} : \frac{x_0 x_1}{x_2} : \frac{x_1^2}{x_2} : 1 \right]$ . No preferred choice can be done. This is an example of an orbifold.

The Zariski topology on  $\mathbb{P}^n_{\mathbb{K}}$

Consider  $\mathbb{K}[X]$  with the usual grading, and let  $I \subset \mathbb{K}[X]$  be homogeneous.

Then, if we let  $X = V(I)$ , the Zariski topology on  $X$  is the one whose closed sets are

$$* V(J) \quad J \supset I \quad \text{homogeneous ideal } J = \sum_{i=1}^r J_i$$

Check: if  $J_i \in I$ , homogeneous  $\Rightarrow$  so is  $\sum J_i = J = \bigcap_{i=1}^r J_i$   
 $\langle f_1^i, \dots, f_{n_i}^i : i \in I \rangle$



③ Projective varieties as ringed spaces

$\mathbb{P}_\mathbb{C}^n$  is compact in the usual topology. This implies it has no non-constant holomorphic functions on it (Liouville's thm). However, locally there is plenty of them.

The same holds in the algebraic setting.  $\mathbb{P}^n_{\mathbb{K}}$  can be endowed with a ring of regular functions

$$\mathcal{O}_{\mathbb{P}^n, P} = \left\{ \frac{f}{g} \mid g(P) \neq 0, f, g \in \mathbb{K}[x_0, \dots, x_n]_d \right\}$$

NOTE  $\frac{f}{g}(\bar{x}_0, \dots, \bar{x}_n)$  is well defined as

$$\frac{f}{g}(\lambda \bar{x}) = \frac{\lambda^d f(\bar{x})}{\lambda^d g(\bar{x})} = \frac{f}{g}(\bar{x})$$

$$\mathcal{O}_{\mathbb{P}^n}(U) = \bigcap_{P \in X} \mathcal{O}_{X, P}$$

$U$  Zariski open  
 $= V_P(I)$

In particular  $\mathcal{O}_{\mathbb{P}^n}(\mathbb{P}^n) = \mathbb{K}$ . Indeed  $g \neq 0 \forall P \Rightarrow g = c$  constant  $\Rightarrow \deg f = \deg g = 0 \Rightarrow f$  constant.

Prop:  $\mathcal{O}_{\mathbb{P}^n}$  is a sheaf (sheaf of regular functions)

The same way, we produce  $\mathcal{O}_X$  for  $X \subset \mathbb{P}^n$  projective.

Prop:  $(X, \mathcal{O}_X)$  is a ringed space locally isomorphic to an affine ringed space.

e.g.  $\mathbb{P}_c^n = \bigcup_{i=0}^n A_i^n$

$A_i^n = \{z_i \neq 0\}$   
 $A_i^n \cong \mathbb{A}^{n-1}$

$\mathcal{O}_{\mathbb{P}_c^n} / A_i^n$  defined by

$\mathcal{O}_{\mathbb{P}_c^n} / A_i^n (U) = \mathcal{O}_{\mathbb{P}_c^n} (U) \quad U \subset A_i^n$

$\left\{ \frac{f}{g} \Big|_U \right\}$  homogeneous of the same degree  
 $g|_U \neq 0$

$\left\{ \frac{f(\frac{z_0}{z_i}, \dots, \frac{z_n}{z_i})}{g(\frac{z_0}{z_i}, \dots, \frac{z_n}{z_i})} \Big|_U \right\} \Big|_{g|_U \neq 0}$

$\mathcal{O}_{A_i^n} (U)$

Rk: this is a particular example of a scheme, in a sense prototypical. Scheme theory unifies affine varieties with locally affine spaces.

Def  $(X, \mathcal{O}_X), (Y, \mathcal{O}_Y)$  projective varieties. A morphism  $(X, \mathcal{O}_X) \xrightarrow{(\beta, \beta^\#)} (Y, \mathcal{O}_Y)$  is a continuous map

$\beta: X \rightarrow Y$

inducing a  $\mathbb{K}$  algebra morphism,

$\beta^\#: \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(\beta^{-1}(U))$

An isomorphism is a morphism that admits an inverse.

Examples

1) Regular functions  $\mathcal{O}_x(X) \cong$  morphisms  $X \rightarrow \mathbb{A}^1$ . We will see that these can only be constant.

2)  $\mathbb{P}^1 \xrightarrow{f} \mathbb{P}^2$

$$[s:t] \mapsto [s^2:st:t^2]$$

Continuous, well defined, and induces

$$f^\# : \mathcal{O}_y(U) \rightarrow \mathcal{O}_x(f^{-1}(U)) \text{ by pullback}$$

$$X := f(\mathbb{P}^1) = V(xz - y^2)$$

$\subseteq$  obvious

$$\supseteq \forall [x:y:z] \in V(xz - y^2) \Rightarrow x \neq 0 \text{ or } z \neq 0$$

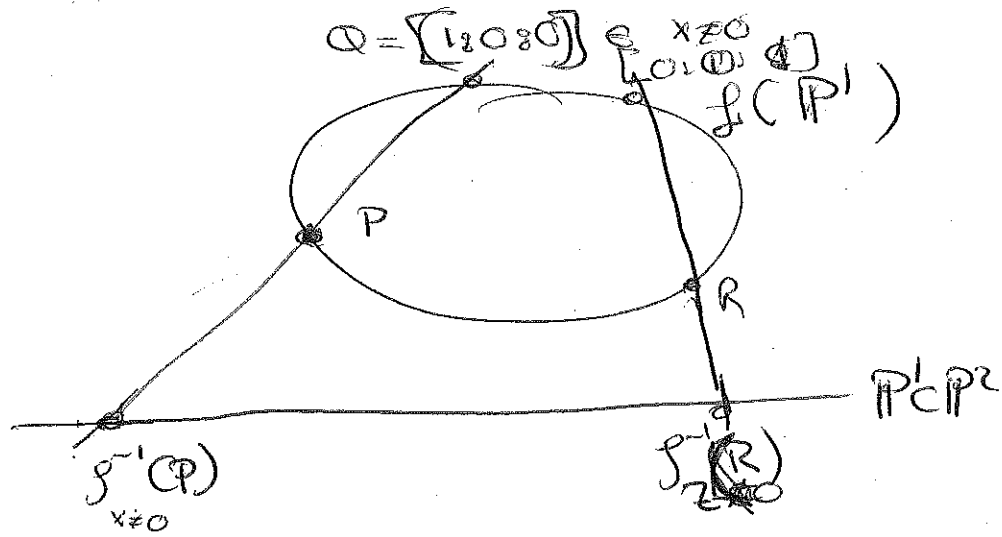
$$\text{If } x \neq 0 \Rightarrow (x, y) \mapsto [z^2:xy:y^2] = [x^2:xy:xz] =$$

$$\text{If } z \neq 0 \quad (y, z) \mapsto [y^2:zy:z^2] = [x:y:z] \\ [zx:zy:z^2] = [xz:yz:z^2]$$

From the above it is easy to see  $\exists f^{-1}$  morphism (just need to check that

$$\begin{array}{l} f(\mathbb{P}^1) \rightarrow \mathbb{P}^1 \\ [x:y:z] \mapsto [x:y] \\ [xz:yz:z^2] \mapsto [y:z] \end{array} \quad \text{match on } x \neq 0 \cup z \neq 0$$

Geometrically



$$3) \mathbb{P}^N \times \mathbb{P}^M \xrightarrow{f} \mathbb{P}^{\binom{N+1}{2} + \binom{M+1}{2} - 1}$$

$$[x_1 \dots x_N, y_1 \dots y_M] \mapsto [x_1 y_1 : \dots : x_1 y_M : \dots : x_N y_1 : \dots : x_N y_M]$$

Well defined

$$\text{Im}(f) = V(z_{ij} z_{i'j'} - z_{i'j} z_{ij'})$$

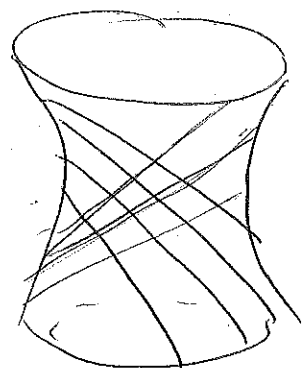
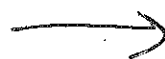
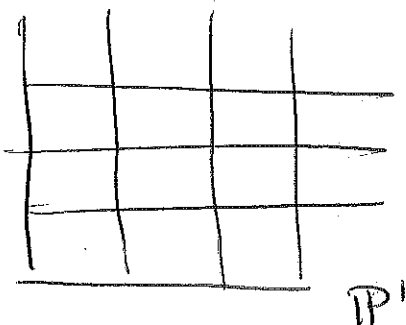
~~Segre~~ Segre embedding.

$$4) \mathbb{P}^1 \times \mathbb{P}^1 \longrightarrow \mathbb{P}^3$$

$$([x_0 : x_1] \times [y_0 : y_1]) \longrightarrow [x_0 y_0 : x_0 y_1 : x_1 y_0 : x_1 y_1]$$

$$V(z_0 z_3 - z_1 z_2) = f(\mathbb{P}^1 \times \mathbb{P}^1)$$

$\mathbb{P}^1$



Completeness

RR in the usual topology  $X \subset \mathbb{P}_e^N$  alg. set is compact.

For  $\mathbb{P}_e^N = \mathbb{S}_e^{N+1} / \mathbb{Z}_2$

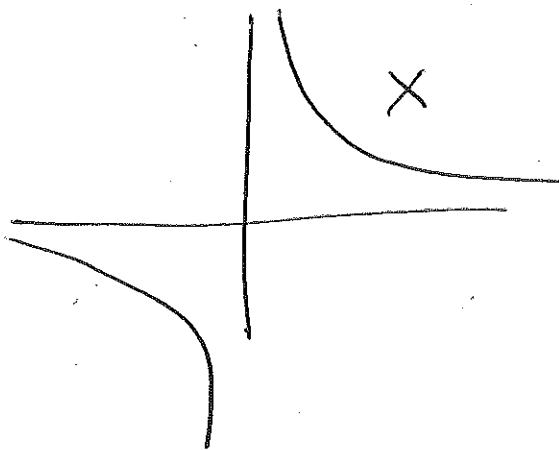
For  $X \subset \mathbb{P}_e^N \rightsquigarrow$  closed in  $\mathbb{P}_e^N$  ✓

→ How do we define compactness? In the Zariski topology even  $\mathbb{A}^n$  is compact...

→ A property we may want to emulate is that

$K$  compact  $K \xrightarrow{f} X$  continuous  $\Rightarrow$   
 $f(K) \subset X$  compact. In our case, closed sets should map to closed sets

Example: The above property does not hold in affine space. Indeed  $\{(x,y) \mid xy=1\} \subset \mathbb{A}^2$



$$\begin{aligned} \mathbb{A}^2 &\rightarrow \mathbb{A}^1 \\ (x,y) &\rightarrow x \end{aligned}$$

But  $\text{Im } X \neq \mathbb{A}^1$  (not open).

Then  $\mathbb{P}^N \times Y \xrightarrow{\pi_2} Y$  is closed (narrowly, if  $X \subset \mathbb{P}^N \times Y$  is closed so is  $\pi_1(X)$ ) for any variety (affine or projective)  $Y$ .

Coro  $Z \subset \mathbb{P}^n$  projective  $\Rightarrow Z \times Y \rightarrow Y$  is closed. (\*)

Def: a variety satisfying (\*) is called complete

Consequences 1) If  $X$  is complete,  $Y$  any variety

then  $f: X \rightarrow Y$  has closed image

$$\left( \begin{array}{l} \text{take } X \hookrightarrow X \times Y \longrightarrow Y \\ x \mapsto (x, f(x)) \longrightarrow f(x) \end{array} \right)$$

$\Gamma(f) = (x, f(x))$  is closed as it is  $f^{-1}(\Delta_C Y) \subset$

2) If  $X \subset \mathbb{P}^n$  var.  $X \neq \mathbb{P}^0 \Rightarrow \forall f \in \mathbb{C}[x_0, \dots, x_n]_d$

$$X \cap Z(f) \neq \emptyset \quad \Downarrow$$

3)  $X \xrightarrow{f} \mathbb{A}^1$  is constant  $\forall X \subset \mathbb{P}^n$  var.

See  $f$  as  $f: X \rightarrow \mathbb{P}^1$  morphism  
 $f(X) \neq \mathbb{P}^1$

$X$  irreducible  $\Rightarrow f(X)$  too

But irreducible subvarieties of  $\mathbb{P}^1$  are  $\mathbb{P}^1$  and a point.

### Examples

2) Does not hold for affine varieties

$$\{x=0\} \subset \mathbb{A}^2$$

$$\{x-1=0\} \cap \{x=0\} = \emptyset$$

3) Obviously does not hold.

④ Projective spectrum of a graded ring

Example: what are the irreducible subvarieties of  $\mathbb{P}^1$ ? They correspond to prime ideals of  $R = \mathbb{C}[x_0, x_1]$

•  $\langle x_0, x_1 \rangle$  NOT allowed;  $\langle x_0, x_1 \rangle = R_+ = \bigoplus_{d>0} \mathbb{C}[x_0, x_1]_d$

• Assume  $\mathcal{P} = \langle f \rangle$ . We claim that  $f = ax_0 + bx_1$ .

Otherwise

$V_0 = V(\langle f \rangle) \cap \{x_0 \neq 0\}$  is a union of points  $\Rightarrow$  NOT irreducible  
 empty

If  $V_0 = \emptyset \Rightarrow V_1 \neq \emptyset$  is a union of points

• Can you argue why  $\mathcal{P} = \langle f_1^{d_1}, f_2^{d_2} \rangle$  is not possible either? (Hint: assume  $1 < d_1 \leq d_2$  and use arguments for  $A'$ )

Def:  $R$  graded ring  $R = \bigoplus_{d=0}^{\infty} R_d$

$\text{Proj}(R) = \left\{ \mathcal{P} \subset R \text{ homogeneous prime ideal} \right\}$   
 $R_+ = \bigoplus_{d>0} R_d$

RR: the definition allows rings such as

$\frac{\mathbb{C}[x_0, x_1]}{\langle x_0^2 \rangle}$  (Also  $\text{Proj}(\frac{\mathbb{C}[x]}{x^2}) = \emptyset$ )

Note however that  $\text{Proj} \frac{\mathbb{C}[x_0, x_1]}{\langle x_0^2 \rangle} = \text{Proj}(\frac{\mathbb{C}[x_0, x_1]}{\langle x_0 \rangle})$

as  $x_0^2 \in \mathcal{P} \Leftrightarrow x_0 \in \mathcal{P}$ .

Thus, there is no 1-1 correspondence between  $\text{Proj}(R) \leftrightarrow \mathbb{P}^n$  and ideals for  $R = \frac{\mathbb{C}[x]}{I}$

Def :  $I \subset \mathbb{C}[x_0 \dots x_n] = R$  homogeneous.

$$\bar{I} = \left\{ p \in R : \exists m \text{ s.t. } x_i^m \cdot p \in I \right. \\ \left. \text{(saturation)} \right. \\ \left. \forall i=0 \dots n \right\}$$

Lemma :

1)  $\bar{I}$  is homogeneous

2)  $\text{Proj } R / \bar{I} = \text{Proj } R / I$

3)  $\text{Proj } R / \bar{I} = \text{Proj } R / \bar{J} \Leftrightarrow \bar{I} = \bar{J}$

4)  $I^{(d)} = \bar{I}^{(d)} \quad \perp \gg 0$

Consequence

$$\left\{ \text{Proj } (R) \subset \text{Proj } (\mathbb{C}[x_0 \dots x_n]) \right\} \xrightarrow{|\cdot|} \left\{ I \subset \text{Proj } (R) \right\} \\ \left\{ \text{saturated} \right\}$$

Rk  $\text{Proj } (\mathbb{C}[x]) = \emptyset$  as  $\bar{I} = \langle 1 \rangle$

The Zariski topology is defined as in the affine case, and likewise for the sheaf of functions.



5 Dimension revisited

We defined the dimension of affine spaces in terms of prime ideals.

The case of projective space should, a priori, not change, as dimension should be (and is) a local property. The main difference is that completeness of projective varieties allow a heavier machinery we do not have in the case of affine varieties. We will compare varieties with one another to compute dimension.

Basic intuition

comparison  $\leftrightarrow$  morphisms

$f: X \rightarrow Y$

$f^{-1}(y) = \{x_1, \dots, x_n\}$  finite

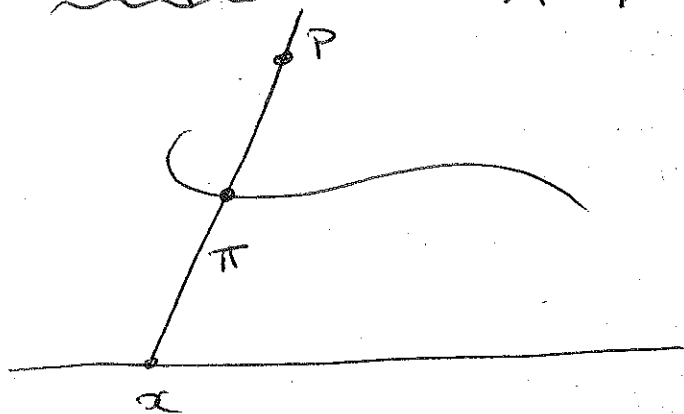
we should have  $\dim X \leq \dim Y$

$f: X \twoheadrightarrow Y$

surjective  $\rightarrow \dim X \geq \dim Y$

Example: let  $X \subset \mathbb{P}^n$

projective variety,  $P \in X$ .



Choosing an arbitrary hyperplane  $P: P \in \mathbb{P}^{n-1}$  we can project  $X$  from  $P$  getting a morphism (parametric equations of a line)

Now  $\forall x \in \mathbb{P}^{n-1}$

$\pi^{-1}(x) \in \overline{P \cap X} \cong \mathbb{P}^1$   
 algebraic  $P \notin \pi^{-1}(x) \Rightarrow \pi^{-1}(x)$  finite.

Rk of  $P = [0: \dots : 0: 1] \Rightarrow \pi([a_0: a_1: \dots : a_n]) = [a_0: \dots : a_n]$

Hence  $\dim X = \dim \text{Im}(\pi)$  (inductively)

If  $\exists p \in \mathbb{P}^{n-1} \setminus \text{Im}(\pi) \Rightarrow$  repeat the process. We get some  $g: X \rightarrow \mathbb{P}^r$  after arbitrarily many steps (by composing all projections) which is surjective & w/ finite fibers:

Lemma: Let  $X$  be an <sup>med</sup> topological space of finite dimension.

(i) If  $\emptyset \neq X_0 \subsetneq X_1 \subsetneq \dots \subsetneq X_m = X$  is a maximal chain  $\Rightarrow \dim X_i = i$

(ii) If  $Y \subsetneq X$  closed <sup>and irreducible</sup>  $\Rightarrow \dim Y < \dim X$

(iii)  $X, Y$  projective varieties, w/ the Zariski topology  
 if  $g: X \rightarrow Y$  surjective morphism  $\Rightarrow$  every longest chain  $Y_0 \subsetneq \dots \subsetneq Y_n$  lifts to a chain in  $X$ .

Lemma:  $X \subsetneq \mathbb{P}^n$  projective variety st.  $[0: \dots: 0: 1] \in X$  (always possible via change of coordinates). Then

$\forall f \in \mathbb{K}[x_0, \dots, x_n]$   $\exists D > 0$  and  $a_i \in \mathbb{K}[x_0, \dots, x_n]$  homogeneous s.t.

$$f^D + f^{D-1} a_1 + \dots + a_D = 0$$

Corollary:  $X \subsetneq \mathbb{P}^n$   $X \xrightarrow{\pi} \mathbb{P}^{n-1}$ . If  $Y \subset X$  subvariety st.  $\pi(Y) = \pi(X) \Rightarrow Y = X$ .

Proof if  $Y \neq X \Rightarrow \exists f \in \mathcal{I}(Y) \setminus \mathcal{I}(X)$

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Let  $D$  be as in the preceding lemma, and let it be minimal. Then

$$f^D + a_1 f_+^{D-1} + \dots + a_D = 0$$

$\Rightarrow a_D \in \langle f \rangle \subset \mathcal{I}(Y)$ . Not only that,  $a_D$  is a function on  $\mathbb{P}^{n-1}$  vanishing on the image  $\pi(Y)$ , but not on  $\pi(X)$ . Contradiction.

Corollary:  $\dim(X) = \dim \pi(X)$

Proof: lift  $Y_0 \subsetneq Y_1 \subsetneq \dots \subsetneq Y_n \subseteq \pi(X)$  maximal chain

(if  $Y_n \neq \pi(X) \Rightarrow$  it is a closed irred. component of it)

This means  $\dim X \geq \dim \pi(X)$ .

On the other hand  $X_0 \subsetneq \dots \subsetneq X_n = X$  produces a chain of closed irred

$$Y_0 \subsetneq \dots \subsetneq Y_n = \pi(X)$$

$\neq \rightarrow$  previous lemma closed by completeness

So  $\dim \pi(X) \geq \dim X$  □

Coro:  $\dim \mathbb{P}^n = n$

Proof  $\mathbb{P}^0 \subsetneq \mathbb{P}^1 \subsetneq \dots \subsetneq \mathbb{P}^n$

Since they are irreducible

$$\dim \mathbb{P}^i < \dim \mathbb{P}^{i+1}$$

Now, since every projective variety surjects onto some  $\mathbb{P}^n \Rightarrow$  any  $d = \dim X$  is the dimension of some projective space.

But any  $i \geq 0$  is the dimension of some variety  
 (by  $\dim X_i = i$  on a longest chain and  $\mathbb{P}^0 \subset \dots \subset \mathbb{P}^n$ )  $\square$

Prop: if  $U \subset X$  open  $\Rightarrow \dim U = \dim X$

Proof:  $\leq$   $U_0 \subsetneq \dots \subsetneq U_n = U$  longest chain in  $U$   
 (topology induced from  $X$ )  $\Rightarrow \overline{U_0} \subsetneq \dots \subsetneq \overline{U_n} = X$

$\geq$  a bit messy. Idea:  $X_0 \subsetneq \dots \subsetneq X_n = X$  maximal

~~open~~  $\Rightarrow X_0 \cap U \subsetneq \dots \subsetneq X_n \cap U = U$   
 (Assume  $X_0 \subset U$ )

Express  $X_{i+1} = X_i \cup (X_{i+1} \cap X \setminus U)$  if  $U_i = U_{i+1}$ .

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$\square$

### Examples

1)  $\dim \mathbb{A}^n = \dim \mathbb{P}^n$  by the above.

2)  $\mathbb{A}^{m+n} \subset \mathbb{P}^n \times \mathbb{P}^m$  open  $\Rightarrow \dim \mathbb{P}^n \times \mathbb{P}^m = m+n$

3)  $f \in \mathbb{K}[x_1, \dots, x_n] \setminus \mathbb{K}$ ;  $Z(f) \subset \mathbb{A}^n$  &  $Z(f) \subset \mathbb{P}^{n-1}$   
 have dimension  $n-1$

$\therefore$  (Krull's Hauptidealsatz)  $\dagger$   $Y \subset X$  closed

$\Rightarrow \dim Y \leq \dim X$

$\uparrow$   
 $\text{codim } Z(f) \leq 1$

⑥ Analytic projective space vs. algebraic projective space.

Just as it happened for affine varieties, given that  $\mathbb{P}^n_{\mathbb{C}}$  is a complex manifold (indeed, it consists of ~~but~~ open sets  $\cong \mathbb{C}^n$  glued to one another by a holomorphic map on their intersection) we can take the holomorphic point of view.

Indeed  $V(f_1, \dots, f_m)$  can be assigned an analytic homogeneous variety  $V^{an}(f_1, \dots, f_m)$

In this case, the converse is also true:

Theorem (Chow) Let  $f_1, \dots, f_m$  be holomorphic functions on  $\mathbb{C}^{n+1}$ , and let  $V(f_1, \dots, f_m) \subset \mathbb{C}^{n+1}$  map to  $X$  under  $\mathbb{C}^{n+1} \setminus \{0\} \xrightarrow{\pi} \mathbb{P}^n$ . Then  $X$  is projective algebraic.

Proof (sketch)

- $V' = \pi^{-1}(X) \setminus \{0\}$  is a cone, i.e.  $t\bar{x} \in V'$  whenever  $\bar{x} \in V'$
- Locally around 0, we can find holomorphic functions  $g_i$  s.t.  $V'|_{U \setminus \{0\}} = V(g_1, \dots, g_k)$  [Remmert, Stein]
- By reducing  $U \ni g_i(z) = \sum_{i=0}^{\infty} p_{i,j}(z)$   $p_{i,j}$  homogeneous of degree  $j$  on the whole of  $U$ .

$$g_i(tz) = \sum t^j p_{i,j}(z) \quad \text{Fix } z=z_0 \Rightarrow g_i(tz_0)$$

is a power series in one variable vanishing on an open set  $(|t| < 1) \Rightarrow$  it vanishes on  $\mathbb{C}$

\* Hence  $\mathbb{P}^1 = 0$  on  $U \Rightarrow$  also on  $V$ .

RKS 1) The proof is much more general and works in the setting of analytic subspaces of  $\mathbb{P}^n$ . These are closed subspaces locally defined by the vanishing of holomorphic sections.

The key point is that the [Remmert-Sten] theorem also applies to this context.

That is,  $V \subset \mathbb{P}^n$  analytic subspace  
 $\pi^{-1}(V) \cup \{0\}$  is analytic <sup>subspace of  $\mathbb{C}^{n+1}$</sup>  locally defined by vanishing of holomorphic sections.

2) From the proof we deduce that analytic cones in  $\mathbb{C}^n$  are algebraic.